

#### **COUNTY OF LOS ANGELES**

#### DEPARTMENT OF PUBLIC WORKS

"To Enrich Lives Through Effective and Caring Service"

900 SOUTH FREMONT AVENUE ALHAMBRA, CALIFORNIA 91803-1331 Telephone: (626) 458-5100 http://dpw.lacounty.gov

ADDRESS ALL CORRESPONDENCE TO: P.O. BOX 1460 ALHAMBRA, CALIFORNIA 91802-1460

IN REPLY PLEASE
REFER TO FILE: AS-0

September 4, 2012

NOTICE OF REQUEST FOR PROPOSALS FOR SAN GABRIEL DAM HYDROELECTRIC PROJECT - MAINTENANCE AND INSPECTION SERVICES (2012-AN031)

PLEASE TAKE NOTICE that Public Works requests proposals for the contract for San Gabriel Dam Hydroelectric Project - Maintenance and Inspection Services (2012-AN031). The total contract amount of this service is estimated to be \$300,000 annually. The Request for Proposals (RFP) with contract specifications, forms, and instructions for preparing and submitting proposals may be accessed at <a href="http://dpw.lacounty.gov/asd/contracts">http://dpw.lacounty.gov/asd/contracts</a> or may be requested from Mr. Edwin Manoukian at (626) 458-4057, <a href="maintenance-emanous top-maintenance-emanous top-main

PLEASE CHECK THE WEBSITE FREQUENTLY FOR ANY CHANGES TO THIS SOLICITATION. ALL ADDENDA AND INFORMATIONAL UPDATES WILL BE POSTED AT http://dpw.lacounty.gov/asd/contracts.

**Minimum Requirement(s)**: Proposers must meet all minimum requirements set forth in the RFP document at the time of proposal submission including, but not limited to:

- 1. Proposer or its managing employee must have a minimum of five years of experience providing inspection and appraisal testing of electrical system services to large organizations and performing the type of service solicited.
- 2. Proposer's on-site supervising employee must have at least three years of experience performing the service solicited to a large organization.
- 3. Proposer must hold a valid and active State of California-issued C-10 State Contractor's License to perform the electrical work.

A Proposers' Conference will be held on <u>Monday, September 17, 2012, at 9 a.m.</u> at Public Works Headquarters, 900 South Fremont Avenue, Alhambra, California 91803, in

Conference Room A. A walk-through will be conducted after the conference ATTENDANCE BY THE PROPOSER OR AN AUTHORIZED REPRESENTATIVE AT THE CONFERENCE IS MANDATORY. Public Works will reject proposals from those whose attendance at the conference and walk-through cannot be verified. Attendees should be prepared to ask questions at that time about the specifications, proposal requirements, and contract terms. After the conference, Proposers must submit questions in writing and request information for this solicitation within three business days from the date of the conference. After the third business day, it may be impossible to respond to further requests for information.

The deadline to submit proposals is <u>Monday, October 1, 2012, at 5:30 p.m.</u> Please direct your questions to Mr. Manoukian at (626) 458-4057.

Individuals requiring reasonable accessibility accommodations may request written materials in alternate formats, physical accessibility accommodations, sign language interpreters, or other reasonable accommodations by contacting our departmental Americans with Disabilities Act Coordinator at (626) 458-4081, from 7:30 a.m. to 5 p.m., Monday through Thursday (excluding holidays). Persons who are deaf or hard of hearing may make contact by first dialing the California Relay Service at 7-1-1. Requests should be made at least one week in advance to ensure availability. When making a reasonable accommodation request, please reference AS-0.

Very truly yours,

GAIL FARBER
Director of Public Works

DIEGO CADENA Deputy Director

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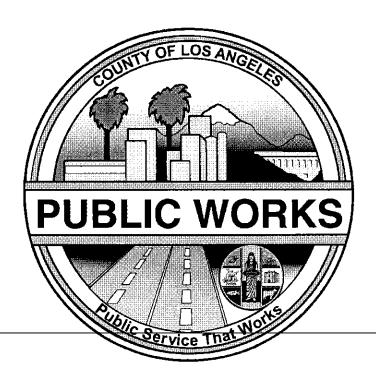
## **COUNTY OF LOS ANGELES**

### **DEPARTMENT OF PUBLIC WORKS**

## **REQUEST FOR PROPOSALS**

#### **FOR**

# SAN GABRIEL DAM HYDROELECTRIC PROJECT - MAINTENANCE AND INSPECTION SERVICES (2012-AN031)



Approved \_\_ Gail Farber

Director of Public Works

**Deputy Director** 

#### REQUEST FOR PROPOSALS

#### **FOR**

# SAN GABRIEL DAM HYDROELECTRIC PROJECT - MAINTENANCE AND INSPECTION SERVICES (2012-AN031)

#### **TABLE OF CONTENTS**

#### PART I

#### **SECTION 1 - INTRODUCTION**

Α.	Proposers' Conference	
----	-----------------------	--

- B. Minimum Mandatory Requirements
- C. Contract Analyst
- D. Child Support Compliance Program
- E. County Rights and Responsibilities
- F. Defaulted Property Tax and Reduction Program
- G. GAIN and GROW Programs
- H. SPARTA Program
- Indemnification and Insurance
- J. Injury and Illness Prevention Program
- K. Interpretation of Request for Proposals
- L. Jury Service Program
- M. Local Small Business Enterprise Preference Program
- N. Notification to County of Pending Acquisitions/Mergers by Proposing/Bidding Company
- O. Prompt Payment Program
- P. Proposer's Charitable Contributions Compliance
- Q. Proposal Requirements and Contract Specifications
- R. Security And Background Investigations
- S. Transitional Job Opportunities Preference Program
- T. Vendor Registration
- U. Prevailing Wage

#### SECTION 2 - PROPOSAL PREPARATION AND SUBMISSION

- A. Proposal Format and Content Requirements
- B. Proposal Submission

#### SECTION 3 - GENERAL CONDITIONS OF REQUEST FOR PROPOSALS

- A. Acceptance or Rejection of Proposals
- B. Altering Solicitation Document
- C. County Responsibility
- D. Determination of Proposer Responsibility

- E. Disqualification of Proposers
- F. Gratuities
- G. Knowledge of Work to be Done
- H. Notice to Proposers Regarding the Public Records Act
- I. Notice to Proposers Regarding the County Lobbyist Ordinance
- J. Opening of Proposals
- K. Proposer Debarment
- L. Proposal Prices and Agreement of Figures
- M. Proposer's Safety Record
- N. Qualification of Proposer
- O. Qualifications of Subcontractors
- P. Safely Surrendered Baby Law
- Q. Term of Proposals
- R. Truth and Accuracy of Representations
- S. Wages, Materials, and Other Costs
- T. Withdrawal of Proposals
- U. Consultant Independent

# <u>SECTION 4 - EVALUATION OF PROPOSALS; AWARD AND EXECUTION OF CONTRACT</u>

- A. Award of Contract
- B. Final Contract Award by Board
- C. Evaluation of Proposals
- D. Pass/Fail Review
- E. Evaluation Criteria
- F. Negotiation

#### SECTION 5 - PROTEST POLICY

- A. Protest Policy Review Process
- B. Grounds for Review
- C. Solicitation Requirements Review
- D. Place to Submit Requests for Review
- E. Disqualification Review
- F. Debriefing Process
- G. Proposed Contractor Selection Review
- H. County Review Panel Process

#### **FORMS**

PW-1	Verification of Proposal
PW-2	Schedule of Prices
PW-3	County of Los Angeles Contractor Employee Jury Service Program
	Application for Exception and Certification Form
PW-4	Contractor's Industrial Safety Record
PW-5	Conflict of Interest Certification
PW-6	Proposer's Reference List
PW-7	Proposer's Equal Employment Opportunity Certification

PW-8 List of Subcontractors Request for Local Small Business Enterprise (SBE) Preference PW-9 Program Consideration and CBE Firm/Organization Information Form PW-10 GAIN and GROW Employment Commitment Transmittal Form to Request an RFP Solicitation Requirements PW-11 Review PW-12 Charitable Contributions Certification PW-13 Transitional Job Opportunities Preference Application Proposer's List of Terminated Contracts PW-14 PW-15 Proposer's Pending Litigations and Judgments Proposer's Insurance Compliance Affirmation PW-16 PW-17 Certification of Compliance with the County's Defaulted Property Tax Reduction Program Proposer's Compliance with the Minimum Requirements of the RFP PW-18

#### **ATTACHMENTS**

- 1. County of Los Angeles Policy on Doing Business with Small Business
- Debarred Vendors Report
- 3. County of Los Angeles Lobbyist Ordinance

#### PART II

SAMPLE AGREEMENT FOR SAN GABRIEL HYDROELECTRIC PROJECT - MAINTENANCE AND INSPECTION SERVICES (2012-AN031)

#### **EXHIBITS**

- A. Scope of Work
- B. Service Contract General Requirements
  - Section 1 Interpretation of Contract
  - Section 2 Standard Terms and Conditions Pertaining to Contract Administration
  - Section 3 Terminations/Suspensions
  - Section 4 General Conditions of Contract Work
  - Section 5 Indemnification and Insurance Requirements
  - Section 6 Contractor Responsibility and Debarment
  - Section 7 Compliance with County's Jury Service Program
  - Section 8 Safely Surrendered Baby Law Program
  - Section 9 Transitional Job Opportunities Preference Program
  - Section 10 Local Small Business Enterprise Preference Program
  - Section 11 Compliance with County's Defaulted Property Tax Reduction Program
  - Section 12 Prevailing Wages

- C. Internal Revenue Service Notice 1015
- D. Safely Surrendered Baby Law Posters
- E. Defaulted Property Tax Reduction Program
- F. San Gabriel Dam Hydroelectric Project Location Map
- G. San Gabriel Dam Hydroelectric Project Site Plan
- H. San Gabriel Dam Hydroelectric Project Operational Diagram
- 1. San Gabriel Dam Hydroelectric Project Various Part Weights
- J. San Gabriel Dam Hydroelectric Project Lubricants and Capacities

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#### PART I

#### REQUEST FOR PROPOSALS

#### SECTION 1

#### INTRODUCTION

#### A. <u>Proposers' Conference</u>

Each Proposer or an authorized representative must attend a Proposers' Conference and walk-through to be held at the place, date, and time announced in the Notice of Request for Proposals. ALL INTERESTED PROPOSERS OR REPRESENTATIVE MUST AUTHORIZED ATTEND THEIR CONFERENCE AND WALK-THROUGH. Proposals received from Proposers not signed in as attending this Conference and walk-through will be rejected as nonresponsive. Proposers are encouraged to be prepared to ask questions concerning the Request for Proposals (RFP), contract requirements, specifications, terms, and conditions. For example, questions may address concerns, if any, that the application of minimum mandatory requirements, evaluation criteria, and/or business requirements would unfairly disadvantage Proposers or, due to unclear instructions, may result in the County not receiving the best possible responses from Proposers. Upon conclusion of the Proposers' Conference, Public Works will only provide further clarifications and/or answers concerning this solicitation through an addendum and/or informational update to all who attended the Conference.

#### B. <u>Minimum Mandatory Requirements</u>

Interested and qualified Proposers, who can demonstrate their ability to successfully provide the required services outlined in Exhibit A, Scope of Work, of this RFP are invited to submit a proposal, provided they meet the following requirement(s) at the time of proposal submission:

- Proposer or its managing employee must have a minimum of five years of experience providing inspection and appraisal testing of electrical system services to large organizations and performing the type of service solicited.
- 2. Proposer's on-site supervising employee must have at least three years of experience performing the service solicited to a large organization.
- 3. Proposer must hold a valid and active State of California-issued C-10 State Contractor's License to perform the electrical work.

#### C. Contract Analyst

Proposers are instructed not to contact any County personnel other than the Contract Analyst listed below regarding this solicitation. All contact regarding this RFP or any matter relating thereto must be in writing and may be mailed, e-mailed, or sent via facsimile to:

County of Los Angeles Department of Public Works Administrative Services Division – 9th Floor Attention Mr. Edwin Manoukian P.O. Box 1460 Alhambra, California 91802-1460

E-mail: emanoukian@dpw.lacounty.gov

Telephone: (626) 458-4057 Facsimile: (626) 458-4194

If it is discovered that a Proposer contacted and received material information from any County personnel, other than the contract analyst named in the Notice of Request for Proposals and above, regarding this solicitation, the County, in its sole determination, may disqualify their proposal from further consideration.

#### D. Child Support Compliance Program

Proposers shall: 1) fully comply with all applicable State and Federal reporting requirements relating to employment reporting for its employees; and 2) comply with all lawfully served Wage and Earnings Assignment Orders and Notices of Assignment, and continue to maintain compliance during the term of any contract that may be awarded pursuant to this solicitation. Failure to comply may be cause for termination of a contract and/or initiation of debarment proceedings against the noncompliant contractor (County Code Chapter 2.202).

#### E. County Rights and Responsibilities

The County has the right to amend this RFP by written addendum prior to the proposal submission deadline. The County is responsible only for that which is expressly stated in this solicitation document and any authorized written addenda. Addendums shall be made available to each person or organization that attended the Proposers' Conference. Should an addendum(s) require additional information not previously requested, failure to address the requirements of such addendum may result in the proposal not being considered, as determined in the sole discretion of the County. The County is not responsible for and shall not be bound by any representations otherwise made by any individual acting or purporting to act on its behalf.

#### F. Defaulted Property Tax and Reduction Program

1. The resultant contract from this RFP will be subject to the requirements of

the County's Defaulted Property Tax Reduction Program ("Defaulted Tax Program") (Los Angeles County Code, Chapter 2.206). The successful contractors should carefully read the Defaulted Tax Program Ordinance, Exhibit E. Proposers should carefully read the pertinent Defaulted Tax Program provisions in Part II, Exhibit B, Service Contract General Requirements, Section 11, Compliance with County's Defaulted Property Tax Reduction Program. The Defaulted Tax Program applies to both contractors and their subcontractors, if any.

2. Proposers shall be required to certify that they are in full compliance with the provisions of the Defaulted Tax Program and shall maintain compliance during the term of any contract that may be awarded pursuant to this solicitation or shall certify that they are exempt from the Defaulted Tax Program by completing Certification of Compliance with Tax County's Defaulted Property Reduction (Form PW-17). Failure to maintain compliance, or to timely cure defects, may be cause for termination of a contract or initiation of debarment proceedings against the non-compliant contractor (Los Angeles County Code, Chapter 2.202). Proposals that fail to comply with the certification requirements of the Defaulted Tax Program will be considered nonresponsive and excluded from further consideration.

#### G. GAIN and GROW Programs

As a threshold requirement for consideration for contract award, Proposers shall demonstrate a proven record of hiring participants in the County's Department of Public Social Services' Greater Avenue for Independence (GAIN) and General Relief Opportunity for Work (GROW) Programs or shall attest to a willingness to consider GAIN and GROW participants for any future employment opening if they meet the minimum qualifications for that opening. Additionally, Proposers shall attest to a willingness to provide employed GAIN and GROW participants access to Proposer's employee mentoring program, if available, to assist these individuals in obtaining permanent employment and/or promotional opportunities. Proposers who are unable to meet this requirement shall not be considered for contract award. Proposers shall certify compliance on Form PW-10, GAIN and GROW Employment Commitment.

#### H. SPARTA Program

A County program, known as 'SPARTA' (Service Providers, Artisan and Tradesman Activities) may be able to assist potential Contractors in obtaining affordable liability insurance. The SPARTA Program is administered by the County's insurance broker, Merriwether & Williams. For additional information, Proposers may call Merriwether & Williams toll-free at (800) 420-0555 or can access their website directly at www.2sparta.com

#### I. Indemnification and Insurance

The successful contractor will be required to comply with the indemnification provisions contained in Exhibit B, Section 5, Indemnification and Insurance Requirements. The contractor will be required to procure, maintain, and provide the County proof of insurance coverage for all programs of insurance along with associated amounts specified throughout the entire term of the proposed contract, without interruption or break in coverage.

#### J. <u>Injury and Illness Prevention Program</u>

The successful contractor will be required to comply with the State of California's Cal/OSHA's regulations. Section 3203 of Title 8 in the California Code of Regulations requires all California employers to have a written, effective Injury and Illness Prevention Program that addresses hazards pertaining to the particular workplace covered by the program.

#### K. <u>Interpretation of Request for Proposals</u>

The definitions and other rules of interpretation set forth in Part II, Sample Agreement and Exhibit B, Section 1, Interpretation of Contract, also apply to interpretation of this RFP.

#### L. <u>Jury Service Program</u>

- The resultant contract from this RFP will be subject to the requirements of the County's Contractor Employee Jury Service Ordinance (Jury Service Program, Los Angeles County Code Chapter 2.203). Proposers should carefully read the pertinent jury service provisions in the Part II, Exhibit B, Service Contract General Requirements, Section 7, Compliance with County's Jury Service Program. The Jury Service Program applies to both Contractors and their subcontractors, if any. Proposals that fail to comply with the requirements of the Jury Service Program will be considered nonresponsive and excluded from further consideration.
- 2. The Jury Service Program requires contractors and their subcontractors, if any, to have and adhere to a written policy that provides that its employees shall receive from the contractor, on an annual basis, no less than five days of regular pay for actual jury service. The policy may provide that employees deposit any fees received for such jury service with the contractor or that the contractor deduct from the employee's regular pay the fees received for jury service. For purposes of the Jury Service Program, "employee" means any California resident who is a full-time employee of a contractor, and "full-time" means 40 hours or more worked per week or a lesser number of hours if: 1) the lesser number is a recognized industry standard as determined by the County; or 2) the Proposer has a long-standing practice that defines the lesser number of hours as full-time. Therefore, the Jury Service Program applies to all of a contractor's full-time

California employees, even those not working specifically on the County project. Full-time employees providing short-term, temporary services of 90 days or less within a 12-month period are not considered full-time for purposes of the Jury Service Program.

- 3. There are two ways in which a contractor might not be subject to the Jury Service Program. The first is if the contractor does not fall within the Jury Service Program's definition of "contractor." The Jury Service Program defines "contractor" to mean a person, partnership, corporation, or other entity which has a contract with the County or a subcontract with a County contractor and has received or will receive an aggregate sum of \$50,000 or more in any 12-month period under one or more County contracts or The second is if the contractor meets one of the subcontracts. two exceptions to the Jury Service Program. The first exception concerns small businesses and applies to contractors that have: 1) ten or fewer employees; and 2) annual gross revenues in the preceding 12 months which, if added to the annual amount of this proposed contract is less than \$500,000; and 3) is not an "affiliate or subsidiary of a business dominant in its field of operation." The second exception applies to Contractors that possess a collective bargaining agreement that expressly supersedes the provisions of the Jury Service Program. The Contractor is subject to any provision of the Jury Service Program not expressly superseded by the collective bargaining agreement.
- 4. If a contractor does not fall within the Jury Service Program's definition of "contractor" or if it meets any of the exceptions to the Jury Service Program, then the Contractor must so indicate in the Contractor Employee Jury Service Program Application for Exception and Certification Form (Form PW-3) and include with its submission all necessary documentation to support the claim, such as tax returns or a collective bargaining agreement, if applicable. Upon reviewing the contractor's application, the County will determine, in its sole discretion, whether the contractor falls within the definition of "contractor" or meets any of the exceptions to the Jury Service Program. The County's decision will be final.

#### M. Local Small Business Enterprise Preference Program

1. To the extent permitted by State and federal law and when the price category is scored, the County will give Local SBE preference during the solicitation process to businesses that meet the definition of a Local Small Business Enterprise (Local SBE), consistent with Chapter 2.204.030C.1 of the Los Angeles County Code. A Local SBE is defined as: 1) A business certified by the State of California as a small business and 2) has had its principal office located in Los Angeles County for at least one year. The business must be certified by the Office of Small Business as meeting the requirements set forth in 1 and 2 above prior to requesting the Local SBE Preference in a solicitation.

2. To apply for certification as a Local SBE, businesses may register at the Los Angeles County Office of Small Business' website at:

#### http://www.laosb.org

- 3. Certified Local SBEs must request the SBE Preference in their solicitation responses and may not request the preference unless the certification process has been completed and certification affirmed. Businesses must attach their Local SBE Certification Letter to a completed Form PW-9, Request for Local SBE Preference Program Consideration and CBE Firm/Organization Information Form with their proposal. Sanctions and financial penalties may apply to a business that knowingly, and with intent to defraud, seeks to obtain or maintain certification as a certified Local SBE.
- 4. Information about the State's small business enterprise certification regulations is in the California Code of Regulations, Title 2, Subchapter 8, Section 1896 et seq., and is also available on the California Department of General Services Office of Small Business Certification and Resources website at <a href="http://www.dgs.ca.gov/pd/program/osds.aspx">http://www.dgs.ca.gov/pd/program/osds.aspx</a>.
- N. <u>Notification to County of Pending Acquisitions/Mergers by Proposing/Bidding Company</u>

The Proposer shall notify the County of any pending acquisitions/mergers of their company. This information shall be provided by the Proposer on Form PW-1, Verification of Proposal. The proposed contract will only be awarded to the entity that submitted the proposal. Any acquisitions and merger will be handled pursuant to Exhibit B, Section 2.B, Assignment and Delegation and evaluated in accordance with the Board's policy regarding contractors engaged in mergers and acquisitions. Failure of the Proposer to provide this information may eliminate its proposal/bid from any further consideration.

#### O. <u>Prompt Payment Program</u>

It is the intent of the County that Certified Local SBEs receive prompt payment for services they provide to County departments. Prompt payment is defined as 15 calendar days after the receipt of an undisputed and approved invoice.

#### P. <u>Proposer's Charitable Contributions Compliance</u>

California's "Supervision of Trustees and Fundraisers for Charitable Purposes Act" regulates receiving and raising charitable contributions. Among other requirements, those subject to the Charitable Purposes Act must register. The 2004 Nonprofit Integrity Act (SB 1262, Chapter 919) increases Charitable Purposes Act requirements. New rules cover California public benefit corporations, unincorporated associations, trustee entities, and may include similar foreign corporations doing business or holding property in California. Key

Nonprofit Integrity Act requirements affect executive compensation, fund-raising practices, and documentation. Charities with over \$2 million of revenues (excluding funds that must be accounted for to a governmental entity) have new audit requirements.

All prospective contractors must determine if they receive or raise charitable contributions, which subject them to the Charitable Purposes Act and complete the certification form attached as Form PW-12. A completed Form PW-12 is a required part of any agreement with the County.

In Form PW-12, prospective contractors certify either that:

- They have determined that they do not now receive or raise charitable contributions regulated under the California Charitable Purposes Act (including the Nonprofit Integrity Act) but will comply if they become subject to coverage of those laws during the term of a County contract; or
- 2. They are currently complying with their obligations under the Charitable Purposes Act, attaching a copy of their most recent filing with the Registry of Charitable Trusts.

Prospective County contractors that do not complete Form PW-12 as part of the solicitation process may, in the County's sole discretion, be disqualified for contract award. A County contractor that fails to comply with its obligations under the Charitable Purposes Act is subject to either contract termination or debarment proceedings or both (County Code Chapter 2.202).

#### Q. <u>Proposal Requirements and Contract Specifications</u>

- 1. Persons who wish to contract with the County may respond to this RFP by submitting a proposal in the form described in the following Sections and Attachments. Proposers are instructed to carefully read these Terms, Requirements, Specifications, Conditions, Attachments, and Exhibits.
- 2. Requirements for proposals are explained in Part I of this RFP.
- 3. The proposed contract's specifications and requirements are fully described in Part II, Sample Agreement; Exhibit A, Scope of Work; and Exhibit B, Service Contract General Requirements. Proposers are also requested to review Attachment 1, Policy on Doing Business with Small Business; Attachment 2, Debarred Vendors Report; and Attachment 3, County of Los Angeles Lobbyist Ordinance.
- 4. Dates and times of the Proposers' Conference and for the submission of Proposals are set forth in the Notice of Request for Proposals.

#### R. <u>Security and Background Investigations</u>

Each of the Contractor's staff performing services under this Contract who is in a designated sensitive position, as determined by the County in County's sole discretion, shall undergo and pass a background investigation to the satisfaction of County as a condition of beginning and continuing to perform services under this Contract. Such background investigation must be obtained through fingerprints submitted to the California Department of Justice to include State and local-level review, which may include, but shall not be limited to, criminal conviction information. The fees associated with the background investigation shall be at the expense of the Contractor, regardless if the member of Contractor's staff passes or fails the background investigation.

#### S. Transitional Job Opportunities Preference Program

To the extent permitted by State and federal law in evaluating proposals and when the price category is scored, the County will give preference to businesses that are certified by the County as Transitional Job Opportunity vendors, consistent with Chapter 2.205 of the Los Angeles County Code. A Certified Transitional Job Opportunity vendor is, and has been such for three years, an entity: that is a nonprofit organization recognized as tax exempt pursuant to section 501 (c)(3) of the Internal Revenue Services Code; set forth, under penalty of perjury, such information as requested by the County on either electronic or hard copy forms, along with their application form and three most recent annual tax returns to Public Works with their proposal response to contracting solicitation for which they are competing; has been in services to program participants; and provided a profile of their program a description of their program components designed to assist program participants, number of past program participants, and any other information requested by Public Works. Transitional Job Opportunities vendors must request the preference in their solicitation responses (Form PW-13) and may not receive the preference until their certification has been affirmed by Public Works. County must verify the Transitional Job Opportunity vendor certification prior to applying the preference. Sanctions and financial penalties may apply to a vendor that knowingly and with intent to defraud seeks to obtain or maintain certification as a Transitional Job Opportunities vendor.

#### T. <u>Vendor Registration</u>

Proposers must register on-line with the County's web-based vendor registration system to facilitate the contract award process. Registration can be accomplished online via the Internet by accessing the County's home page at <a href="http://lacounty.info/doing\_business/main\_db.htm">http://lacounty.info/doing\_business/main\_db.htm</a> and click on "Vendor Registration Information — Self Registration." Being registered will assist the Proposer in receiving notifications of the release of County solicitations that may be of interest to the Proposer.

#### U. Prevailing Wage

The Director of the California Department of Industrial Relations has established the general prevailing rate of per diem wages for each craft, classification, type of worker, or mechanic needed to execute public works and improvements. The current general prevailing wage rate determinations are available at <a href="https://www.dir.ca.gov/dlsr/pwd/index.htm">www.dir.ca.gov/dlsr/pwd/index.htm</a>. The Contractor is required to pay its agents and employees the applicable, current prevailing wage rate and is responsible for selecting the classification of workers required to perform this service.

#### **SECTION 2**

#### PROPOSAL PREPARATION AND SUBMISSION

#### A. <u>Proposal Format and Content Requirements</u>

Proposals shall be bound and presented in the sequence, with the content, and tabbed and paginated in the format stated below. Failure to provide the required information or to strictly comply with these guidelines may be a basis for rejection of the Proposal as nonresponsive at the County's sole discretion:

#### 1. Title page

The title page shall show the Proposer's name, title of the service requested, local address, telephone number, and date of submittal.

#### Table of Contents

A comprehensive table of contents shall list all material included in the Proposal.

#### Letter of Transmittal

A person legally authorized to enter into contracts for the Proposer shall sign the Letter of Transmittal. The letter must include a brief statement of the Proposer's understanding of the work to be accomplished and a list of names of individuals authorized to make representations for the Proposer, their titles, addresses, e-mail addresses and telephone numbers.

#### 4. Support Documents for Corporations and Limited Liability Companies

#### a. Corporations

Proposer must provide a copy of the corporation's "Certificate of Good Standing" with the State of California or state of incorporation and the most recent "Statement by Domestic (or Foreign) Stock Corporation" as filed with the California Secretary of State or state of incorporation. The "Statement of Information" must list the corporate officers. If Proposer's most recent Statement has only the "No change in information" box checked, the Proposer must also submit the most recent endorsed "Statement of Information," which includes a list of corporate officers.

#### b. Limited Liability Companies

Proposer must provide a copy of the most recent "Statement by Domestic (or Foreign) Stock Corporation" as filed with the California Secretary of State or state of incorporation. If Proposer's most recent Statement has only the "No change in information" box

checked, the Proposer must also submit the most recent endorsed "Statement of Information," which includes a list of corporate officers. The "Statement of Information" must list the corporate officers.

#### 5. Experience

## FAILURE TO PREPARE AND INCLUDE AN EXPERIENCE SECTION MAY RESULT IN DISQUALIFICATION OF THE PROPOSAL.

Proposer's capabilities and experience shall be described comprehensively in order to provide for a meaningful evaluation and assessment. The narrative should discuss each of the following subject areas:

- Background.
- Organization (provide a chart or outline of the firm's organizational structure showing the roles of all personnel involved with this Contract, if awarded, identifying each by name/position).
- Identify the roles of and submit resumes for the firm, principals, managing employees, on-site supervisors, other key staff, presenters, Subcontractors, and any other staff involved with this Contract, if awarded.
- Provide additional information for staff involved with this Contract, if awarded, with specific information regarding length and quality of experience providing similar services as described in Exhibit A, Scope of Work.
- Demonstrate how the Proposer complies with requirements outlined in Part I, Section 1.B, Minimum Mandatory Requirements: Proposer or its managing employee must have a minimum of 5 years' experience providing inspection and appraisal testing of electrical system services to large organizations and performing the type of service solicited, and Proposer's on-site supervising employee must have at least 3 years' experience performing the service solicited to a large organization.

#### Work Plan

## FAILURE TO PREPARE AND INCLUDE A WORK PLAN MAY RESULT IN DISQUALIFICATION OF THE PROPOSAL.

Describe comprehensively and in detail how the service will be performed to meet or exceed the requirements of Exhibit A, Scope of Work. Prepare and include a staffing plan that specifically describes the number of staff who will be committed to the project and their qualifications. If possible, list them by name. Describe and include the schedules, procedures, techniques, and methods that will be employed in meeting the objectives outlined in the

Scope of Work. These may include personnel management, training, subcontracting, emergency and contingency planning, recruitment and replacement, supervision, supplies, equipment, uniforms, identification badges, safety, communications, and quality control. The work plan must include the following specific information:

- Proposer's estimated duration of work to complete the required work described in Task 1 and Task 2 of Scope of Work.
- Necessary equipment, materials and personnel to perform the requested services.
- Daily schedule/critical path, including time duration for each task.
- Proposer's Safety implementation and policies.

#### 7. Quality Assurance Program

Describe Proposer's Quality Assurance Program (Program) that will be utilized by the Proposer as a self-monitoring tool to ensure that these services are performed in accordance with the County's contract requirements and recommendations. The Program must ensure service deliveries outlined in Exhibit A, Scope of Work, are completed in a timely manner, the services will be free of defects, and how those results will be achieved. The Program must comprehensively address the Proposer's organizational process for consistently delivering those requirements.

The Proposer's staffing plan must include a qualified inspector to monitor compliance with the Program and deal with customer complaints and inquiries.

At a minimum, the Program outlined in your proposal shall address in detail:

- a. Policies and Procedures Quality control procedures for the Proposer, subcontractors, if any, and suppliers must be described. If a subcontractor is to perform work, the Program must detail how that subcontractor will interface with the Proposer and how the Proposer will ensure that the subcontractor complies with the Program.
- b. Inspection Fundamentals The Proposer shall provide samples of forms that outline required operations and quality levels. The Proposal must indicate the Proposer's inspection schedules, a methodology to correct deficiencies, level of supervision, and how the inspections are to be performed. The Proposal shall document the name, authority, relevant experience, and qualifications of the person with overall responsibility for the inspection system.
- c. Quality Control Documentation, Review, and Reporting The Program shall describe and list the records to be maintained. The

Program shall detail how the Proposer will maintain inspection records and make them available to the County.

#### Subcontractors

If subcontractors are to be used, submit a description of their proposed assignments, qualifications, experience, staffing, and schedules.

#### 9. Licenses and Certifications

Submit copies of the Proposer's, employees', and/or subcontractors' valid and active State of California-issued C-10 State Contractor's License to perform the electrical work.

#### 10. Insurance

Submit completed and signed Form PW-16, Proposer's Insurance Compliance Affirmation, acknowledging that the Proposer will comply with all provisions set forth in Exhibit B, Section 5, Indemnification and Insurance Requirements of this Request for Proposals if awarded the contract. In Form PW-16, Proposer affirms that the Proposer will procure, maintain, and provide the County with proof of insurance and coverage as specified by this Request for Proposals throughout the entire term of the proposed contract, without interruption or break in coverage.

#### 11. Forms List

Complete and submit the following forms which are included in the RFP package:

PW-1	Verification of Proposal
PW-2	Schedule of Prices
PW-3	County of Los Angeles Contractor Employee Jury Service Program Application for Exception and Certification Form
PW-4	Contractor's Industrial Safety Record
PW-5	Conflict of Interest Certification
PW-6	Proposer's Reference List
PW-7	Proposer's Equal Employment Opportunity Certification
PW-8	List of Subcontractors
PW-9	Request for Local Small Business Enterprise (SBE) Preference Program Consideration and CBE

PW-10 GAIN and GROW Employment Commitment  PW-11 Transmittal Form to Request an RFP Solicitation Requirements Review (Submit only if requesting a review. If requesting a review, please submit form as early as possible but no later than ten business days of issuance of this RFP to the listed Contract Analyst)  PW-12 Charitable Contributions Certifications  PW-13 Transitional Job Opportunities Preference Application  PW-14 Proposer's List of Terminated Contracts  PW-15 Proposer's Pending Litigations and Judgments  PW-16 Proposer's Insurance Compliance Affirmation  PW-17 Certification of Compliance with the County's Defaulted Property Tax Reduction Program  PW-18 Proposer's Compliance with the Minimum Requirements of the RFP		
Requirements Review (Submit only if requesting a review. If requesting a review, please submit form as early as possible but no later than ten business days of issuance of this RFP to the listed Contract Analyst)  PW-12 Charitable Contributions Certifications  PW-13 Transitional Job Opportunities Preference Application  PW-14 Proposer's List of Terminated Contracts  PW-15 Proposer's Pending Litigations and Judgments  PW-16 Proposer's Insurance Compliance Affirmation  PW-17 Certification of Compliance with the County's Defaulted Property Tax Reduction Program  PW-18 Proposer's Compliance with the Minimum Requirements of	PW-10	GAIN and GROW Employment Commitment
PW-13 Transitional Job Opportunities Preference Application  PW-14 Proposer's List of Terminated Contracts  PW-15 Proposer's Pending Litigations and Judgments  PW-16 Proposer's Insurance Compliance Affirmation  PW-17 Certification of Compliance with the County's Defaulted Property Tax Reduction Program  PW-18 Proposer's Compliance with the Minimum Requirements of	PW-11	Requirements Review (Submit only if requesting a review. If requesting a review, please submit form as early as possible but no later than ten business days of issuance of this RFP to
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PW-15 Proposer's Pending Litigations and Judgments  PW-16 Proposer's Insurance Compliance Affirmation  PW-17 Certification of Compliance with the County's Defaulted Property Tax Reduction Program  PW-18 Proposer's Compliance with the Minimum Requirements of	PW-13	Transitional Job Opportunities Preference Application
PW-16 Proposer's Insurance Compliance Affirmation  PW-17 Certification of Compliance with the County's Defaulted Property Tax Reduction Program  PW-18 Proposer's Compliance with the Minimum Requirements of	PW-14	Proposer's List of Terminated Contracts
PW-17 Certification of Compliance with the County's Defaulted Property Tax Reduction Program  PW-18 Proposer's Compliance with the Minimum Requirements of	PW-15	Proposer's Pending Litigations and Judgments
Property Tax Reduction Program  PW-18 Proposer's Compliance with the Minimum Requirements of	PW-16	Proposer's Insurance Compliance Affirmation
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	PW-18	·

certification form if requesting SBE preference)

Firm/Organization Information Form (Attach Local SBE

(Proposer should note that any change, edit, deletion, etc., of these forms by the Proposer may subject the Proposer's Proposal to disqualification, at the sole discretion of the County.)

#### 12. Subcontractors' Forms List

The County seeks diverse, broad-based participation in its contracting. Subcontractors, if any, shall be subject to all requirements set forth in the RFP that are applicable to contractors in general. If subcontractors are to be employed, Proposer must submit a statement of their proposed assignments, qualifications, experience, staffing, and schedules. In addition to this statement, the following forms must be completed and submitted for each subcontractor contemplated:

PW-3	County of Los Angeles Contractor Employee Jury Service Program Application for Exception and Certification Form
PW-4	Contractor's Industrial Safety Record
PW-5	Conflict of Interest Certification
PW-7	Proposer's Equal Employment Opportunity Certification

PW-9 Request for Local Small Business Enterprise (SBE)
Preference Program Consideration and CBE
Firm/Organization Information Form (Part II of form only)

PW-10 GAIN and GROW Employment Commitment Form

PW-12 Charitable Contributions Certifications

#### 13. Additional Information

Additional information that is not presented elsewhere and is essential to a fair evaluation must appear in the last Section of the Proposal and be labeled "Additional Information." If there is no additional information the Proposer wishes to present, this Section will consist of the statement: "There is no additional information we wish to present."

#### B. Proposal Submission

- 1. Proposals shall be submitted with **six (6)** complete sets of the Proposal and any related information.
  - One (1) original and three (3) copies.
  - Two (2) electronic copies on a CD in PDF format as follows:
    - One original electronic copy.
    - One redacted electronic copy Proposer shall redact any trade secret, confidential, proprietary, or other personal information from the Proposal such as Social Security numbers.

Proposals received after the closing date and time specified in the Notice of Request for Proposals will be rejected by Public Works as nonresponsive.

- Submit Proposals to the County of Los Angeles Department of Public Works Cashier, located on the Mezzanine Floor, 900 South Fremont Avenue, Alhambra, California 91803, in a package that clearly identifies the Proposer and this RFP. Proposals are received only when accepted and time stamped by the Cashier. All other indications of apparent timely delivery may be disregarded.
- It is the responsibility of the Proposer to instruct delivery services, such as United Parcel Service and Federal Express, to deliver Proposals directly to the Cashier. Proposals submitted via facsimile or e-mail will not be accepted.
- 4. Proposals delivered by other means, including United States Postal Service, may be delayed in Public Works' mail system, resulting in untimely delivery to the Cashier and possible failure to meet the Proposal submission deadline. Delays and missed deadlines for submission of proposals not delivered in strict compliance with this RFP shall be the sole responsibility of the Proposer, not of the County, Public Works, or any Special District.

#### **SECTION 3**

#### GENERAL CONDITIONS OF REQUEST FOR PROPOSALS

#### A. Acceptance or Rejection of Proposals

The right is reserved to reject any or all proposals that, in the judgment of the Board or Director, are not in the best interests of the County/Public Works/Special Districts. The County further reserves the right to cancel this request for proposals at any time at its sole discretion. In the event of any such rejection of proposals or cancellation of this solicitation, the County will not be liable for any costs incurred in connection with the preparation and submittal of a Proposal.

Proposals signed by an agent other than the president and secretary of a corporation or a member of a general copartnership must be submitted with a power of attorney or corporate resolution, certified by the secretary or assistant secretary, authorizing such signature; otherwise, the Proposal may be rejected as unauthorized and nonresponsive.

No proposal will be considered unless the Proposer submits a Proposal for all requested items. If the solicitation document requests multiple quotations, no Proposal will be considered unless the Proposer submits a price on all items within each category; however; the solicitation document may not require the Proposer to submit a price on all of the categories.

#### B. <u>Altering Solicitation Document</u>

The wording of the solicitation document shall not be changed. Any additions, conditions, limitations, or provisions inserted by the Proposer will render their Proposal irregular and may cause its rejection as nonresponsive.

#### C. County Responsibility

The County will not be responsible for representation made by any of its officers or employees prior to the execution of the proposed contract unless such understanding or representation is included in the proposed contract.

#### D. <u>Determination of Proposer Responsibility</u>

- A responsible Proposer is a Proposer who has demonstrated the attribute of trustworthiness as well as quality, fitness, capacity, and experience to satisfactorily perform the proposed contract. It is the County's policy to conduct business only with responsible contractors.
- 2. Proposers are hereby notified that, in accordance with Chapter 2.202 of the Los Angeles County Code, the County may determine whether the Proposer is responsible based on a review of the Proposer's performance on any contracts, including, but not limited to, County contracts. Particular attention will be given to violations of labor laws related to employee

compensation and benefits and evidence of false claims made by the Proposer against public entities. Labor law violations which are the fault of subcontractors and of which the Proposer had no knowledge shall not be the basis of a determination that the Proposer is not responsible.

- 3. The County may declare a Proposer to be nonresponsible for purposes of the proposed contract if the Board, in its discretion, finds that the Proposer has done any of the following: (1) violated a term of a contract with the County or a nonprofit corporation created by the County; (2) committed an act or omission which negatively reflects on the Proposer's quality, fitness, or capacity to perform a contract with the County, any other public entity, or a nonprofit corporation created by the County, or engaged in a pattern or practice which negatively reflects on same; (3) committed an act or omission which indicates a lack of business integrity or business honesty; or (4) made or submitted a false claim against the County or any other public entity.
- 4. If there is evidence that the highest-rated Proposer may not be responsible, Public Works will notify the Proposer in writing of the evidence relating to the Proposer's responsibility and its intention to recommend to the Board that the Proposer be found not responsible. Public Works will provide the Proposer and/or the Proposer's representative with an opportunity to present evidence as to why the Proposer should be found to be responsible and to rebut evidence, which is the basis for Public Works' recommendation.
- 5. If the Proposer presents evidence in rebuttal to Public Works, Public Works will evaluate the merits of such evidence, and based on that evaluation, make a recommendation to the Board. The final decision concerning the responsibility of the Proposer will reside with the Board.
- 6. These terms shall also apply to any proposed subcontractors of Proposer on County contracts.

#### E. <u>Disqualification of Proposers</u>

More than one proposal from an individual, firm, partnership, corporation, or association under the same or different names will not be considered. Reasonable grounds for believing that any Proposer has an interest in more than one proposal for the work contemplated may cause the rejection of all proposals in which such Proposer has interest on the basis of nonresponsibility and/or nonresponsiveness. If there is reason for believing that collusion exists among the Proposers, such collusion by the participants may be cause for the rejection of their proposals or future proposals on the basis of nonresponsibility and/or nonresponsiveness and may subject such Proposers to debarment.

#### F. Gratuities

- 1. It is improper for any County officer, employee, or agent to solicit consideration, in any form, from a Proposer with the implication, suggestion, or statement that the Proposer's provision of the consideration may secure more favorable treatment for the Proposer in the award of the proposed contract or that the Proposer's failure to provide such consideration may negatively affect the County's consideration of the Proposer's submission. A Proposer shall not offer or give, either directly or through an intermediary, consideration, in any form, to a County officer, employee, or agent for the purpose of securing favorable treatment with respect to the award of the proposed contract.
- 2. A Proposer shall immediately report any attempt by a County officer, employee, or agent to solicit such improper consideration. The report shall be made either to the County manager charged with the supervision of the employee or to the County Auditor-Controller's Employee Fraud Hotline at (800) 544-6861. Failure to report such a solicitation may result in the Proposer's submission being rejected on the basis of nonresponsibility and/or nonresponsiveness.
- Among other items, such improper consideration may take the form of cash; discounts; services; and the provision of travel, entertainment, or tangible gifts.

#### G. Knowledge of Work to be Done

By submitting a Proposal, Proposer shall be held to have carefully read this RFP, all attachments, and exhibits; satisfied themselves before the delivery of their Proposal as to their ability to meet all of the requirements and difficulties attending the execution of the proposed work; and agreed that if awarded a contract, no claim will be made against the County based on this RFP including, without limitation, claims based on any ambiguity or misunderstanding. Furthermore, the Proposer has carefully examined the location(s) of the proposed work, and is familiar with all of the physical and climatic conditions, and makes this Proposal solely upon the Proposer's own knowledge. The Proposer has carefully examined these specifications and requirements, both in general and in detail, any drawings attached, and any additional communications sent and makes their Proposal in accordance therewith. If Proposer's Proposal is accepted, the Proposer will enter into a written contract with the County for the performance of the proposed work and will accept payment based on the prices shown in Form PW-2, Schedule of Prices, as full compensation for work performed. It is understood and agreed that the quantities set forth in Form PW-2, Schedule of Prices and this RFP are only estimates, and the unit prices will apply to the actual quantities, whatever they may be.

#### H. Notice to Proposers Regarding the Public Records Act

- 1. All responses to this solicitation shall become the exclusive property of the County. Absent extraordinary circumstances, at such time as (a) with respect to the recommended Proposer's proposal and corresponding Public Works evaluation documents, Public Works completes contract negotiations and obtains a letter from an authorized officer of the recommended Proposer that the negotiated contract is a firm offer of the recommended Proposer, which shall not be revoked by the recommended Proposer pending the Department's completion of the process under Board Policy No. 5.055 and approval by the Board of Supervisors (Board) and (b) with respect to each Proposer requesting a County Review Panel, the County Review Panel convenes as a result of such Proposers' request, and (c) with respect to all other Proposers, Public Works recommends the recommended Proposer(s) to the Board and such recommendation appears on the Board agenda, proposals submitted in response to this solicitation and corresponding Public Works evaluation documents become a matter of public record, with the exception of those parts of each proposal which are justifiably defined as business or trade secrets, and, if by the proposer, plainly marked as "Trade Secret," "Confidential," or "Proprietary."
- 2. The County shall not, in any way, be liable or responsible for the disclosure of any such record or any parts thereof, if disclosure is required or permitted under the California Public Records Act or otherwise by law. A blanket statement of confidentiality or the marking of each page of the proposal as confidential shall not be deemed sufficient notice of exception. The proposers must specifically label only those provisions of their respective proposal which are "Trade Secret," "Confidential," or "Proprietary" in nature. Only those provisions labeled as "Trade Secret," "Confidential," or "Proprietary" in nature at the time of proposal submission will be accepted. The proposers will not be granted opportunity to make any change or label any portion of their respective proposal as "Trade Secret," "Confidential," or "Proprietary" after the submission deadline of the proposals.
- 3. In the event County is required to defend an action on a Public Records
  Act request for any of the aforementioned documents, information, books, records, and/or contents of a proposal marked "trade secret,"
  "confidential," or "proprietary," Proposer agrees to defend and indemnify County from all costs and expenses, including reasonable attorney's fees, in connection with any requested action or liability arising under the Public Records Act.

#### I. Notice to Proposers Regarding the County Lobbyist Ordinance

The Board has enacted an ordinance regulating the activities of persons who lobby County officials. This ordinance, referred to as the "Lobbyist Ordinance," defines a County Lobbyist and imposes certain registration requirements upon individuals meeting the definition. The complete text of the ordinance can be found in Los Angeles County Code Chapter 2.160. In effect, each person, corporation, or other entity that seeks a County permit, license, franchise, or contract must certify compliance with the ordinance. As part of this solicitation process, it will be the responsibility of each Proposer to review the ordinance independently as the text of the ordinance is not contained in this RFP. Each person, corporation, or other entity submitting a response to this solicitation, must certify that each County Lobbyist, as defined by Los Angeles County Code Section 2.160.010, retained by the Proposer is in full compliance with Chapter 2.160 of the Los Angeles County Code and each County Lobbyist is **not** on the Executive Office's List of Terminated Registered Lobbyist. The Proposer's signature on the Proposal submission is its certification that it is in full compliance with Los Angeles County Code Chapter 2.160. See Attachment 3 regarding County Lobbyist.

#### J. Opening of Proposals

Proposals will not be publicly opened.

#### K. Proposer Debarment

- The Proposer is hereby notified that, in accordance with Chapter 2.202 of 1. the Los Angeles County Code, the County may debar the Proposer from bidding or proposing on, or being awarded, and/or performing work on other County contracts for a specified period of time, which generally will not exceed five years but may exceed five years or be permanent if warranted by the circumstance, and the County may terminate any or all of the Proposer's existing contracts with County, if the Board finds, in its discretion, that the Proposer has done any of the following: (1) violated a term of a contract with the County or a nonprofit corporation created by the County; (2) committed an act or omission which negatively reflects on the Proposer's quality, fitness, or capacity to perform a contract with the County or any other public entity, or a nonprofit corporation created by the County or engaged in a pattern or practice which negatively reflects on same; (3) committed an act or offense which indicates a lack of business integrity or business honesty; or (4) made or submitted a false claim against the County or any other public entity.
- If there is evidence that the highest-rated Proposer may be subject to debarment, Public Works will notify the Proposer in writing of the evidence, which is the basis for the proposed debarment, and will advise the Proposer of the scheduled date for a debarment hearing before the contractor Hearing Board.
- 3. The contractor Hearing Board will conduct a hearing where evidence on the proposed debarment is presented. The Proposer and/or the Proposer's representative shall be given an opportunity to submit evidence at that hearing. After the hearing, the contractor Hearing Board will prepare a tentative proposed decision, which will contain a recommendation regarding.

whether the Proposer should be debarred, and, if so, the appropriate length of time of the debarment. The Proposer and Public Works shall be provided an opportunity to object to the tentative proposed decision prior to its presentation to the Board.

- 4. After consideration of any objections, or if no objections are received, a record of the hearing, the proposed decision, and any other recommendation of the contractor Hearing Board shall be presented to the Board. The Board shall have the right to modify, deny, or adopt the proposed decision and recommendation of the Contractor Hearing Board.
- 5. If a Proposer has been debarred for a period longer than five years, that Proposer may, after the debarment has been in effect for at least five years, submit a written request for review of the debarment determination to reduce the period of debarment or terminate the debarment. The County may, in its discretion, reduce the period of debarment or terminate the debarment if it finds that the Proposer has adequately demonstrated one or more of the following: (1) elimination of the grounds for which the debarment was imposed; (2) a bona fide change in ownership or management; (3) material evidence discovered after debarment was imposed; or (4) any other reason that is in the best interests of the County.
- 6. The Contractor Hearing Board will consider requests for review of a debarment determination only where (1) the Proposer has been debarred for a period longer than five years; (2) the debarment has been in effect for at least five years; and (3) the request is in writing, states one or more of the grounds for reduction of the debarment period or termination of the debarment and includes supporting documentation. Upon receiving an appropriate request, the Contractor Hearing Board will provide notice of the hearing on the request. At the hearing, the Contractor Hearing Board shall conduct a hearing where evidence on the proposed reduction of debarment period or termination of debarment is presented. This hearing shall be conducted and the request for review decided by the Contractor Hearing Board pursuant to the same procedure as for a debarment hearing.
- 7. The Contractor Hearing Board's proposed decision will contain a recommendation on the request to reduce the period of debarment or terminate the debarment. The Contractor Hearing Board shall present its proposed decision and recommendation to the Board. The Board will have the right to modify, deny, or adopt the proposed decision and recommendation of the Contractor Hearing Board.
- 8. These terms shall also apply to proposed subcontractors of Proposer on County contracts.
- 9. Attachment 2 is a listing of contractors currently debarred.
- L. Proposal Prices and Agreement of Figures

If the total amount arrived at by multiplying the unit price times the quantity does not agree with the total amount entered for the item or if the total amount is not entered, the unit price and the corrected total amount will be considered as representing the Proposer's intentions. If the total amount is entered for the item, but not the unit price, the unit price will be that which is derived by dividing the total amount proposed for the item by the number of units in the item as representing the Proposer's intentions. If the items are incorrectly calculated, the corrected total will be considered as representing the Proposer's intentions.

#### M. Proposer's Safety Record

A review of the Proposer's safety record will be made before the award. Proposers are required to submit this information, with their Proposal, on Form PW-4, Contractor's Industrial Safety Record form provided. Nonsubmission or an adverse finding as to the Proposer's safety record may be cause for rejection of the Proposal on the basis of nonresponsibility and/or nonresponsiveness.

#### N. Qualification of Proposer

No award will be made to any Proposer who cannot give satisfactory assurance as to its ability to carry out the intended contract, based both on financial strength and experience as a contractor on work of the nature contemplated in the proposed contract. Proposers are encouraged to submit records of work of similar nature, size, or extent to that proposed under these specifications and requirements. A reasonable inquiry to determine the responsibility of a Proposer will be conducted. The unreasonable failure of a Proposer to promptly supply information in connection with such inquiry, including, but not limited to, information regarding past performance, financial stability, and ability to perform on schedule, may be grounds for a determination of nonresponsibility and/or nonresponsiveness with respect to such Proposer. Unfamiliarity with the type of work required by Public Works may be cause for rejection of the Proposal on the basis of nonresponsibility and/or nonresponsiveness.

#### O. Qualifications of Subcontractors

Proposers shall list all subcontractors, if any, to be used on the List of Subcontractors (Form PW-8). The use of subcontractors shall be subject to Public Works' approval. Subcontractors shall be properly licensed under the laws of the State of California for the type of work, which they are to perform. Alternate Subcontractors shall not be listed for the same work.

#### P. Safely Surrendered Baby Law

The Proposer shall notify and provide to its employees, and shall require each subcontractor, if any, to notify and provide to its employees, a fact sheet regarding the Safely Surrendered Baby Law, its implementation in Los Angeles County, and where and how to safely surrender a baby. The fact sheet is set

forth in Part II, Exhibit D of this solicitation document and is also available on the Internet at <a href="https://www.babysafela.org">www.babysafela.org</a> for printing purposes.

#### Q. <u>Term of Proposals</u>

All proposals shall be firm offers and may not be withdrawn for a period of 270 days following the deadline for submission of proposals.

#### R. Truth and Accuracy of Representations

False, misleading, incomplete, or deceptively unresponsive statements in connection with a proposal will be sufficient cause for the rejection of the proposal. The evaluation and determination in this area will be at the Director's sole judgment and the Director's judgment will be final.

#### S. <u>Wages, Materials, and Other Costs</u>

It is the responsibility of the Proposer to calculate the Proposal price to take into consideration a possible escalation of wages, materials, and other costs during the contract period. The Board, County, Public Works, District(s), or Director make no representations regarding future costs or the rate of wages that may become necessary to pay employees of the contractor for the work performed during the contract period.

#### T. Withdrawal of Proposals

Proposers may withdraw their Proposal anytime before the date and hour set for submission set forth in the Notice for Request for Proposals upon presentation of a written request to the Director signed by an authorized representative of the Proposer or by the person filing the Proposal.

#### U. Consultant Independent

Proposers that assisted the County in developing or preparing a solicitation document, pursuant to a consultant contract, is precluded from subsequently being involved in the bidding process on that solicitation document.

#### **SECTION 4**

#### EVALUATION OF PROPOSALS; AWARD AND EXECUTION OF CONTRACT

#### A. Award of Contract

Subject to the right of the Board to make the ultimate decisions concerning the award of contracts, the County intends to award a contract to the highest-rated Proposer or Proposers based on the evaluation criteria in Part I, Section 4.E, Evaluation Criteria, whose Proposal(s) provide(s) the most beneficial program and price, with all other factors considered. The County retains the right to select a proposal other than the proposal receiving the highest number of points, if County determines, in its sole discretion, another proposal is the most overall qualified, cost-effective, responsive, responsible, and in the best interest of the County. The awardee shall sign and return the agreement within 14 calendar days of its mailing to the awardee for signature by Public Works. The awardee shall submit copies of its proof of insurance coverage, within 14 days after Board approval of the proposed contract or at least 14 days prior to the proposed contract's start date, whichever occurs last. Work under the proposed contract cannot begin before proof of valid insurance coverage is submitted to Public Works.

#### B. Final Contract Award by Board

Notwithstanding a recommendation by Public Works and/or the Chief Executive Office, the Board retains the right to exercise its judgment concerning the selection of a proposal, the terms of any resultant contract/agreement, and to determine which proposal best serves the interests of the County. The Board is the ultimate decision-making body and makes the final determinations necessary to arrive at a decision to award, or not award, a contract, or to award a contract to a Proposer other than the highest-rated Proposer.

#### C. Evaluation of Proposals

- All responses to this RFP become the property of the County. Upon receipt
  of the Proposal as specified and evaluation of Proposals in accordance with
  the evaluation criteria set forth below, Public Works may recommend the
  award of a contract to one or more of those submitting Proposals. The
  proposed contract may be submitted to the Board for consideration and
  possible approval.
- 2. The County may require whatever evidence it deems necessary to determine the Proposer's overall and specific abilities to meet the requirements of proposed contract over the entire contract term. This determination will be based on, but not limited to, an evaluation of the Proposer's experience, personnel, financial stability and resources, work plan, cost to perform requested services, and staffing plan.

- The County reserves the sole right to judge the Proposer's written and oral representations and to review, evaluate, and select the successful proposal(s).
- The County may make on-site inspections of Proposer's current jobs and/or facilities.
- 5. The County, in its sole discretion, may elect to waive any error or informalities in the form of a proposal or any other disparity, if, as a whole, the proposal substantially complies with the RFP's requirements.
- 6. The County may utilize the services of appropriate experts to assist in the evaluation process.

#### D. Pass/Fail Review

Proposals will be reviewed on a Pass/Fail basis concerning the items listed below. Proposals not meeting all of these requirements may be rejected as nonresponsive:

- Proposer will comply with the insurance requirements, outlined in Exhibit B, Section 5, Indemnification and Insurance Requirements, as evidenced by submitting a completed and signed Form PW-16.
- 2. Proposer and any subcontractors, if any, have met the GAIN and GROW Programs requirements (Form PW-10).
- 3. Proposer and any subcontractors, if any, have completed and submitted the Charitable Contributions Certification (Form PW-12).
- 4. Proposer and any subcontractors, if any, have submitted the Jury Service Program Application for Exception and Certification Form (Form PW-3) stating that they accept and will comply with the program requirements or establish their entitlement to an exception to the program.
- 5. Proposer and subcontractors, if any, have completed and signed all appropriate forms, and Proposer has completed and signed Form PW-2, Schedule of Prices.
- 6. Proposer complies with all minimum requirements as outlined in Part I, Section 1.B, Minimum Mandatory Requirements, as evidenced by submitting a completed and signed Form PW-18.
- 7. Proposer has submitted a valid and active State of California-issued C-10 State Contractor's License to perform the electrical work.

Proposers who do not possess and/or have listed subcontractors who do not possess the required licenses/certifications/permits at the proposal deadline date will be disqualified as nonresponsive.

- 8. Proposer submitted information regarding Experience and Work Plan as outlined in Part I, Section 2.A
- 9. Proposer is signed in as attending the Proposers' Conference and walk-through.
- 10. Proposal was time stamped by the Cashier prior to the deadline for submission of the Proposal. Any proposal without a Public Works time stamp verifying that the deadline for submission has been met will be rejected.

#### E. Evaluation Criteria

All proposals will receive a composite score (rating) and be ranked in numerical sequence from high to low based on the following criteria:

1. Proposed Price (40 points)

The proposed price should accurately reflect the Proposer's cost of providing the required products and services and any profit expected during the contract term. Prior to scoring, the proposed prices must be adjusted in accordance with the Transitional Job Opportunities Preference or the Local Small Business Preference, as applicable.

- Transitional Job Opportunities Preference. To the extent permitted by State and federal law, should one or more of the Proposers qualify for the Transitional Job Opportunities Preference (Form PW-13), the price component points will be adjusted prior to scoring as follows: Eight percent of the lowest price proposed will be calculated, and that amount will be deducted from the prices submitted by all Proposers who requested and were granted the Transitional Job Opportunities Preference. The Transitional Job Opportunities Preference will not reduce or change the Proposer's payment, which will be based on the Proposer's bid amount.
- Local Small Business Enterprise (SBE) Preference. To the extent permitted by State and federal law, should one or more of the Proposers qualify for the Local SBE Preference (Form PW-9), the price component points will be adjusted prior to scoring as follows: Eight percent of the lowest price proposed will be calculated, which shall not exceed \$50,000, and that amount will be deducted from the prices submitted by all Local SBE Proposers who requested and were granted the Local SBE Preference. The Local SBE Preference will not reduce or change the Proposer's payment, which is based on the Proposer's bid amount.

Subject to such adjustment(s), the lowest Total Proposed Annual Price quoted in the Schedule of Prices (Form PW-2) will receive the full weight of this evaluated item. Other Proposals will receive a prorated score calculated

as follows: divide the lowest Total Proposed Annual Price by each other Proposer's Total Proposed Annual Price and multiply the result by the maximum possible points for this evaluation criterion. The Proposal with the lowest Total Proposed Annual Price may not necessarily be awarded a contract.

#### 2. Performance History/References (15 points)

Public Works will check at least three of the Proposer's references for overall satisfaction with Proposer's services with priority will be given to services provided in the following order: County of Los Angeles departments, other county departments, cities, governmental entities, non-profit entities, private companies, etc. Proposer may receive up to a maximum of 5 points for each responding reference up to a total of three responding references. Proposer's references for all contracts with the County during the previous three years must be listed on Form PW-6. Proposer's Reference List. Public Works reserves the right to utilize any reference of Proposer, County or other, listed or not listed. In addition to the references provided, the review will include the County's Contract Database and Contractor Alert Reporting Database (CARD), if applicable, reflecting past performance history on County or other contracts. Additionally, a review of terminated contract(s) reported on Form PW-14, Statement of Terminated Contracts, will be conducted which may result in point deductions. If references fail to substantiate Proposer's description of services provided; references fail to support that Proposer has a continuing pattern of providing capable, productive, and skilled personnel and services; or a significant unacceptable weakness in references may result in a low or zero score. Additionally, a Proposer's unacceptable performance on another County contract(s), as documented by either the County's Contract Database or Contractor Alert Reporting Database (CARD) by an unfavorable reference, may result in point deductions up to 100 percent of the total points awarded in this evaluation category. A score of zero in this evaluation category may result in rejection of the Proposal as nonresponsive.

References may be contacted by telephone, facsimile, mail, express delivery, or e-mail. It is the Proposer's responsibility to ensure that accurate and timely contact information is included in the proposal. Public Works will ordinarily not make repeated attempts to contact references and will ordinarily not contact the Proposer to correct bad phone numbers, etc. It is the Proposer's responsibility to ensure that its references respond promptly to Public Works' requests for information.

#### 3. Experience (30 points)

Proposer or its managing employee must have a minimum of 5 years' experience providing inspection and appraisal testing of electrical system services to large organizations and performing the type of service solicited. In addition, Proposer's on-site supervising employee must have at least 3

years' experience performing the service solicited to a large organization. Failure to demonstrate the minimum lengths of experience performing the service may result in rejection of the Proposal as nonresponsive.

The evaluators may award higher points for the higher quality and quantity of experience of the Proposer, its key personnel, and subcontractors, if any, in providing the requested services to organizations. Greater weight will be given to services provided to agencies of similar size and nature. The evaluators may consider the Proposer's description of its capabilities, resumes of key personnel (Part I, Section 2.A.5), and any other relevant information, including, but not limited to, pending litigation and judgments. The evaluators may consider the safety record of the Proposer and any subcontractors to ensure that they have provided services in a safe manner. Significant unacceptable weakness in quality or quantity of experience may result in a low or zero score. A score of zero in this evaluation category may result in rejection of the Proposal as nonresponsive.

#### 5. Work Plan (15 points)

Evaluation and scoring of the Proposer's Work Plan will be based on the extent to which it demonstrates that the Proposer is likely to meet or exceed the performance requirements set forth in Exhibit A, Scope of Work; to demonstrate creativity and innovation that exceed the minimum requirements of the Scope of Work; to render timely and responsive service to Public Works; to respond to contingencies and emergencies; and to provide a professional level of quality in the service and work product. The highest scores will be awarded to the most comprehensive and detailed work plans that are highly likely to lead the Contractor to exceed minimum performance expectations.

The evaluators will award higher scores to work plans that commit to specific staffing levels and staff qualifications that exceed the requirements of the work.

The evaluators may give reduced scores to work plans that omit any of the following:

- Proposer's estimated duration of work to complete the required work described in Task 1 and Task 2 of Scope of Work.
- Necessary equipment, materials and personnel to perform the requested services.
- Daily schedule / critical path, including time duration for each task.
- Proposer's safety implementation and policies

Comprehensiveness of the Work Plan will be evaluated based on detailed, specific discussion of all issues relevant to the work. These may include

personnel management, training, subcontracting, recruitment and replacement, supervision, supplies, equipment, identification badges, safety, communications, quality control, and other issues.

The evaluation committee may make these determinations from all relevant information presented in the Proposal, which may include the work plan, staffing plan, quality assurance plan, schedules, and other documents. If an interview or presentation is scheduled, it may also be considered.

The evaluation committee may also make this determination from all relevant information presented in the Proposer's written Quality Assurance Program (Program), which may include, but is not limited to, policies and procedures, inspection fundamentals as well as a description of quality control documentation, review, and reporting. Failure of the Proposer to designate a qualified inspector that will be provided by Proposer to monitor compliance of the Program and deal with customer complaints and inquiries will result in a reduced score in this category. If a subcontractor is to perform Quality Assurance, the Program must detail how that subcontractor will interface with the Proposer and comply with the Program.

Significant unacceptable weakness in any of the Work Plan subject areas or omission of a Work Plan from the Proposal at the time of submission may result in a low or zero score. A score of zero in this evaluation category may result in rejection of the Proposal as nonresponsive.

#### 6. Optional Interview/Clarification

The County may, at its option, invite one or more Proposers to make a written or verbal clarification, presentation, and/or participate in an interview before a final selection is made. Evaluation criteria for any additional information provided is the same as that for written Proposals. A separate score will not be given for a presentation or interview, but the Proposer's performance may be considered as part of the overall evaluation. The evaluators may, in their sole discretion, limit the offer to give a presentation or interview, if any, to the two or more Proposers who receive the highest scores in a preliminary scoring of Proposals in accordance with the evaluation criteria set forth in this Part I, Section 4.E, Evaluation Criteria.

#### Additional Criteria

These criteria are not exclusive. The County reserves the right to apply additional evaluation criteria.

#### F. Negotiation

The County reserves the right to negotiate the terms, conditions, and price of the Proposal(s), in the sole discretion of the County, to achieve the most beneficial program and price for the County. The County, in its sole discretion, may limit the

negotiation, if any, to one or more responsive and responsible Proposers in accordance with the evaluation criteria set forth in this Part I, Section 4.E, Evaluation Criteria. The negotiation with the Proposers will not result in a change in the rating of the Proposers. If a satisfactory contract cannot be negotiated, the County may, at its sole discretion, begin contract negotiations with the next highest-rated Proposer who submitted a proposal, as determined by the County.

#### **SECTION 5**

#### PROTEST POLICY

#### A. Protest Policy Review Process

- Under Board Policy No. 5.055 (Services Contract Solicitation Protest), any prospective Proposer may request a review of the requirements under a solicitation for a Board-approved services Contract, as described in paragraph C, Solicitation Requirements Review, below. Any Proposer may request a review of a disqualification or of a proposed Contract award under such a solicitation, as described respectively in Sections below. Additionally, any Proposer may obtain copies of proposals and Public Works evaluation documents as provided in Part I, Section 3, paragraph H. Under any such review, it is the responsibility of the Proposer challenging the decision of Public Works to demonstrate that Public Works committed a sufficiently material error in the solicitation process to justify invalidation of a solicitation or a proposed Contract award as the case may be.
- Throughout the review process, the County has no obligation to delay or otherwise postpone an award of Contract based on a Proposer protest. In all cases, the County reserves the right to make an award when it is determined to be in the best interest of the County of Los Angeles to do so.

#### B. Grounds for Review

Unless State or Federal statutes or regulations otherwise provide, the grounds for review of a solicitation for Board-approved services Contract provided for under Board Policy No. 5.055 (Services Contract Solicitation Protest) are limited to the following:

- Review of the Solicitation Requirements
- Review of a Disqualified Bid/Proposal
- Review of the Proposed Contractor Selection

#### C. Solicitation Requirements Review

Any person or entity may seek a Solicitation Requirements Review by submitting a written request for review to Public Works conducting the solicitation as described in this Paragraph. A Request for a Solicitation Requirements Review may be denied, in Public Works' sole discretion, if the request does not satisfy all of the following criteria:

1. The request for a Solicitation Requirements Review is made within ten (10) business days of the issuance of the solicitation document.

- The request for a Solicitation Requirements Review includes documentation, which demonstrates the underlying ability of the person or entity to submit a proposal.
- 3. The request for a Solicitation Requirements Review itemizes in appropriate detail, each matter contested and factual reasons for the requested review.
- 4. The request for a Solicitation Requirements Review asserts either that:
  - a. Application of the minimum requirements, evaluation criteria and/or business requirements unfairly disadvantages the person or entity; or,
  - b. Due to unclear instructions, the process may result in the County not receiving the best possible responses from prospective Proposers.
- 5. The Solicitation Requirements Review will be completed and Public Works' determination will be provided to the requesting person or entity, in writing, within a reasonable time prior to the bid/proposal due date.

#### D. Place to Submit Requests for Review

All Requests for Review shall be submitted to the Contract Analyst.

#### E. Disqualification Review

- A bid/proposal may be disqualified from consideration because Public Works
  determined it was nonresponsive at any time during the review/evaluation
  process. If Public Works determines that a bid/proposal is disqualified due to
  nonresponsiveness, Public Works shall notify the Proposer in writing.
- Upon receipt of the written determination of nonresponsiveness, the Proposer may submit a written request for a Disqualification Review within the timeframe specified in the written determination.
- 3. A request for a Disqualification Review may, in Public Works' sole discretion, be denied if the request does not satisfy all of the following criteria:
  - a. The person or entity requesting a Disqualification Review is a Proposer.
  - b. The request for a Disqualification Review is submitted timely (i.e., by the date and time specified in the written determination).
  - c. The request for a Disqualification Review asserts that the determination of disqualification due to bid/proposal nonresponsiveness was erroneous (e.g., factual errors, etc.) and provides factual support on each ground asserted as well as copies of all documents and other material that support the assertions.

 The Disqualification Review shall be completed and the determination shall be provided to the requesting Proposer, in writing, prior to the conclusion of the evaluation process.

#### F. Debriefing Process

For solicitations where proposals are evaluated and scored in accordance to Section 4, Evaluation of Proposals, the following provisions shall apply:

- 1. Upon completion of the evaluation, Public Works will notify the remaining Proposers in writing that Public Works is entering negotiations with another Proposer. Upon receipt of the letter, any nonselected Proposer may submit a written request for a Debriefing within the time frame specified in the letter. A request for a Debriefing may, in Public Works' sole discretion, be denied if the request is not received within the specified time frame.
- The purpose of the Debriefing is to compare the requesting Proposer's response to the solicitation document with the evaluation document. The requesting Proposer shall be debriefed only on its response. Because Contract negotiations are not yet complete, responses from other Proposers shall not be discussed, although Public Works may inform the requesting Proposer of its relative ranking.
- During or following the Debriefing, Public Works will instruct the requesting Proposer of the manner and time frame in which the requesting Proposer must notify Public Works of its intent to request a Proposed Contractor Selection Review, below, if the requesting Proposer is not satisfied with the results of the Debriefing.

#### G. Proposed Contractor Selection Review

Any Proposer that has timely submitted a notice of its intent to request a Proposed Contractor Selection Review as described in paragraph F, above, may submit a written request for a Proposed Contractor Selection Review in the manner and timeframe as specified by Public Works. For low-bid solicitations, where applicable, upon selection of the lowest-cost, responsive, and responsible bidder, Public Works will notify the remaining bidders in writing that Public Works is entering negotiations with another bidder. Public Works will instruct the remaining bidders of the manner and time frame in which each remaining bidder must notify Public Works of its intent to request a Proposed Contractor Selection Review, should such remaining bidder desire to have such a review performed.

A request for a Proposed Contractor Selection Review may, in Public Works' sole discretion, be denied if the request does not satisfy all of the following criteria:

1. The person or entity requesting a Proposed Contractor Selection Review is a Proposer.

- 2. The request for a Proposed Contractor Selection Review is submitted timely (i.e., by the date and time specified by Public Works).
- The person or entity requesting a Proposed Contractor Selection Review asserts in appropriate detail with factual reasons one or more of the following grounds for review:
  - a. Public Works materially failed to follow procedures specified in its solicitation document. This includes:
    - i. Failure to correctly apply the standards for reviewing the proposal format requirements.
    - Failure to correctly apply the standards, and/or follow the prescribed methods, for evaluating the proposals as specified in the solicitation document.
    - iii. Use of evaluation criteria that were different from the evaluation criteria disclosed in the solicitation document.
  - b. Public Works made identifiable mathematical or other errors in evaluating bids/proposals, resulting in the Proposer receiving an incorrect score and not being selected as the recommended Contractor.
  - c. For applicable solicitations where responses are evaluated and scored, a member of the Evaluation Committee demonstrated bias in the conduct of the evaluation.
  - d. Another basis for review as provided by State or Federal law.
- 4. The request for a Proposed Contractor Selection Review sets forth sufficient detail to demonstrate that, but for Public Works' alleged failure, the Proposer would have been the lowest-cost, responsive, and responsible bid or the highest-scored proposal, as the case may be.

Upon completing the Proposed Contractor Selection Review, Public Works representative shall issue a written decision to the Proposer within a reasonable time following receipt of the request for a Proposed Contractor Selection Review, and always before the date the Contract award recommendation is to be heard by the Board. The written decision shall additionally instruct the Proposer of the manner and time frame for requesting a review by a County Review Panel, paragraph H, below.

#### H. County Review Panel Process

 Any Proposer that is not satisfied with the results of the Proposed Contractor Selection Review may submit a written request for review by a County Review Panel in the manner and time frame specified by Public Works in Public Works' written decision regarding the Proposed Contractor Selection Review.

- A request for review by a County Review Panel may, in the County's sole discretion, be denied if the request does not satisfy all of the following criteria:
  - a. The person or entity requesting review by a County Review Panel is a Proposer.
  - b. The request for a review by a County Review Panel is submitted timely (i.e., by the date and time specified by Public Works).
  - c. The person or entity requesting review by a County Review Panel has limited the request to items raised in the Proposed Contractor Selection Review and new items that (a) arise from Public Works' written decision and (b) are one of the appropriate grounds for requesting a Proposed Contractor Selection Review as listed in paragraph G above.
- Upon completion of the County Review Panel's review, the Panel will forward its report to Public Works, which will provide a copy to the Proposer.

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#### **TABLE OF FORMS**

PW-1	VERIFICATION OF PROPOSAL
PW-2	SCHEDULE OF PRICES
PW-3	COUNTY OF LOS ANGELES CONTRACTOR EMPLOYEE JURY SERVICE PROGRAM APPLICATION FOR EXCEPTION AND CERTIFICATION FORM
PW-4	CONTRACTOR'S INDUSTRIAL SAFETY RECORD
PW-5	CONFLICT OF INTEREST CERTIFICATION
PW-6	PROPOSER'S REFERENCE LIST
PW-7	PROPOSER'S EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION
PW-8	LIST OF SUBCONTRACTORS
PW-9	REQUEST FOR LOCAL SMALL BUSINESS ENTERPRISE (SBE) PREFERENCE PROGRAM CONSIDERATION AND CBE FIRM/ORGANIZATION INFORMATION FORM
PW-10	GAIN AND GROW EMPLOYMENT COMMITMENT
PW-11	TRANSMITTAL FORM TO REQUEST AN RFP SOLICITATION REQUIREMENTS REVIEW (Submit only if requesting a review.)
PW-12	CHARITABLE CONTRIBUTIONS CERTIFICATION
PW-13	TRANSITIONAL JOB OPPORTUNITIES PREFERENCE APPLICATION
PW-14	PROPOSER'S LIST OF TERMINATED CONTRACTS
PW-15	PROPOSER'S PENDING LITIGATIONS AND JUDGMENTS
PW-16	PROPOSER'S INSURANCE COMPLIANCE AFFIRMATION
PW-17	CERTIFICATION OF COMPLIANCE WITH THE COUNTY'S DEFAULTED PROPERTY TAX REDUCTION PROGRAM
PW-18	PROPOSER'S COMPLIANCE WITH THE MINIMUM REQUIREMENTS OF THE RFP
	ATTACHMENTS

- 1. COUNTY OF LOS ANGELES POLICY ON DOING BUSINESS WITH SMALL BUSINESS
- 2. DEBARRED VENDORS REPORT
- 3. COUNTY OF LOS ANGELES LOBBYIST ORDINANCE

#### **VERIFICATION OF PROPOSAL**

DATE: , 201	12	THE UNDE	RSIGNE	HEREBY DE	CLARE	ES AS FO	LLOWS:
<ol> <li>This Declaration is given in su incomplete, or deceptively unres his/her judgment shall be final.</li> </ol>							
2. Name of Service:							
3. Name Of declarant:		DECLARA	NT INFORM	ATION			
4. I Am duly vested with the auth	ority to make and sign instru	uments for and on	behalf of the	Proposer(s).			
5. My Title, Capacity, Or Relation	nship to the Proposer(s) is:						
		PROPOSE	ER INFORM	ATION			
6. Proposer's full legal name:					Telepho	ne No.:	
Physical Address (NO P.O. B	OX):				Mobile	No.:	
e-mail:					Fax No	.:	
County WebVen No.:	IRS	No.:			Busines	s License No	).:
7. Proposer's fictitious business	name(s) or dba(s) (if any):		<del></del> -		l		
County(s) of Registration:			State:		Year(s)	became DBA	<del></del>
8. The Proposer's form of busin	ness entity is (CHECK ONL	Y ONE):			1 (7		
□ Sole proprietor	Name of Proprietor:	······································	<del> </del>				<del></del>
	Corporation's principal pl	lace of business:					
□ A corporation:	State of incorporation:					Year incorpo	orated:
☐ Non-profit corporation	certified under IRS 501(c)	3 and registered	ed President/CEO:				
	General's Registry of Charit		Secretary:				
☐ A general partnership: Names		Names of p	es of partners:				
☐ A limited partnership	:	Name of ge	neral partner	;		-	
☐ A joint venture of:		Names of jo	oint venturers	;			
A limited liability com	pany:	Name of ma	anaging mem	ber:			
9. The only persons or firms inte	rested in this proposal as pr	rincipals are the fo	llowing:		-		
Name(s)	Title			Phone			Fax
Street	City			State			Zip
Name(s)	Title			Phone			Fax
Street	City			State			Zip
10. Is your firm wholly or majorit     If yes, name of parent firm:     State of incorporation/registration							
11. Has your firm done business Name(s): Name(s):				Year of na	me chang	list the other ne: e:	name(s):
12. Is your firm involved in any If yes, indicate the associated c	ompany's name:						
13. Proposer acknowledges tha may be rejected. The evaluation	n and determination in this a	rea shall be at the	e Director's so	le judgment and the	Director's j	udgment shall	be final.
<ol> <li>14. I am making these represent information and belief.</li> </ol>	itations and all representatio	in contained in this	s proposai das	sed on information th	aumey are	uue and corre	ect to the best of my
I declare under penalty of perjur	y under the laws of Californi	ia that the above i	nformation is	true and correct.			
Signature of Proposer or Author	rized Agent:					Date:	
Type name and title:						•	

## REVISED SCHEDULE OF PRICES FOR SAN GABRIEL DAM HYDROELECTRIC PROJECT-MAINTENANCE AND INSPECTION SERVICES (2012-AN031)

The undersigned Proposer offers to perform the work described in the Request for Proposals (RFP) for the following price(s). The Proposer rate(s) (hourly, monthly, etc.) shall include all administrative costs, labor, supervision, materials, transportation, taxes, equipment, and supplies unless stated otherwise in the RFP. It is understood and agreed that where quantities, if any, are set forth in the Schedule of Prices, they are only estimates, and the unit prices quoted, if any, will apply to the actual quantities, whatever they may be.

Item Subtas	k Description	Unit	Annual Price

#### A. Task 1-Mechanical Maintenance-Unit 1 and 2

main		nent of Public Works' hy	Scope of Work, for the inspection and vdroelectric facility located at 9700 N.
а	Provide Crane - Unit 1 (Silo Unit)	Lump Sum	\$
b	Provide Crane - Unit 2 (In- Line Unit)	Lump Sum	\$
c.1	Provide Lubricants Unit 1	Lump Sum	\$
c.2	Provide Lubricants Unit 2	Lump Sum	\$
d.1	Inspect Turbine Unit 1	Lump Sum	\$
d.2	Inspect Turbine Unit 2	Lump Sum	\$
e.1	Inspect Generator Unit 1	Lump Sum	\$
e.2	Inspect Generator Unit 2	Lump Sum	\$
f.1	Inspect Turbine shut-off Valve TSV-1	Lump Sum	\$
f.2	Inspect Turbine shut-off Valve TSV-2	Lump Sum	\$
g.1	Inspect Hydraulic Power Control Unit HPCU-1	Lump Sum	\$
g.2	Inspect Hydraulic Power Control Unit HPCU-2	Lump Sum	\$

ltem	Subtask Description	Unit	Annual Price
h	Inspect 16-Inch By-Pass Valve	Lump Sum	\$
I	Inspect 18-Inch Monojet Fixed Cone Sleeve Valve (B-2)	Lump Sum	\$
j	Inspect 30-Inch Butterfly Valve B-2A and 4-Inch Gate Valve	Lump Sum	\$
k	PLC Program for Back-up Valves and related appurtenances	Lump Sum	\$
ı	Maintenance and Inspection Report	Lump Sum	\$
m.1	Vibration Test for Unit 1	Lump Sum	\$
m.2	Vibration Test for Unit 2	Lump Sum	\$
A. To Task	otal Annual Price for 1	Total Annual Price (items a-m.2) \$_	·

#### B. Task 2-Electrical Maintenance and Inspection (Optional)

Annual lump sum shall include all work described for Task 2 in Exhibit A, Scope of Work for the inspection and maintenance of Public Works' hydroelectric facility located at 9700 North San Gabriel Canyon Road, Azusa, California.

B. Annual Price		
for Task 2	Annual Lump Sum Price	<b>\$</b>

#### C. Additional Work

- 3. Necessary personnel and hourly, overtime\*. and double time\*\* rates to perform as-needed work as described in Exhibit Α, paragraph Ε, Additional Work. (Note: Money allocated to this section may also be utilized to purchase parts)
- \*Overtime is Standard rate x 1.5. Proposer's proposed Overtime rate for each category cannot exceed 1.5 times of the same category's standard rate (Unit Price x 1.5 = Overtime quoted in this Form PW-2, Schedule of Prices.)
- \*\*Double Time is Standard rate x 2. Proposer's proposed Double Time rate for each category cannot exceed 2 times of the same category's standard rate (Unit Price x 2 = Double Time quoted in this Form PW-2, Schedule of Prices.)

Item	Subtask Description	Unit	Estimated Annual Hour	Hourly Rate	Annual Price (Estimated Annual Hour X Hourly Rate)
3.a	Field Superintendent Standard Rate Overtime Rate Double Time Rate	Hours	32 4 2	\$ \$	\$\$ \$\$
3.b	Millwright Foreman Standard Rate Overtime Rate Double Time Rate	Hours	160 46 24	\$ \$	\$ \$ \$
3.c	Journeyman Millwright Standard Rate Overtime Rate Double Time Rate	Hours	160 46 24	\$ \$	\$ & & & & & & & & & & & & & & & & & & &
3.d	Millwright Apprentice top step Overtime Rate Standard Rate Double Time Rate	Hours	160 46 24	\$ \$	\$ \$
3.e	Machine Shop Standard Rate Overtime Rate Double Time Rate	Hours	80 24 12	\$ \$	\$ \$
3.f	Senior Electrical Engineer Standard Rate Overtime Rate Double Time Rate	Hours	16 4 2	\$ \$ \$	\$ \$ \$
3.g	Field Engineer Supervisor Standard Rate Overtime Rate Double Time Rate	Hours	32 10 4	\$ \$	\$ \$
3.h	Field Engineer Standard Rate Overtime Rate Double Time Rate	Hours	32 46 24	\$ \$ \$	\$ \$ \$
3.i	Technician Standard Rate Overtime Rate Double Time Rate	Hours	160 46 24	\$ \$ \$	\$ \$ \$
	otal Annual Price for itional Work	\$		Total Annual F (items 3.a-3	·

TOTAL PROPOS ANNUAL PRICE TASK 1, TASK 2 ADDITIONAL W (A+B+C)	FOR 2, AND	\$		
LEGAL NAME OF PROPOSE	<b>ER</b>			<del></del>
SIGNATURE OF PERSON A	UTHORIZED TO SUBM	IT PROPOSAL		
TITLE OF AUTHORIZED PE	RSON			
DATE	STATE CONTRACTO	PR'S LICENSE NUMBER	LICENSE TYPE	<del></del>
Proposer's Address:				
E-MAIL				
PHONE	MOBILE		FACSIMILE	

#### COUNTY OF LOS ANGELES CONTRACTOR EMPLOYEE JURY SERVICE PROGRAM APPLICATION FOR EXCEPTION AND CERTIFICATION FORM

This contract is subject to the County of Los Angeles Contractor Employee Jury Service Program (Program) (Los Angeles County Code, Chapter 2.203). All contractors and subcontractors must complete this form to either (1) request an exception from the Program requirements or (2) certify compliance. Upon review of the submitted form, the County department will determine, in its sole discretion, whether the bidder or proposer is excepted from the Program.

Comp	pany Name:		· · · · · ·	
_	pany Address:			<del></del>
City:			State:	Zip Code:
Telep	hone Number:			
(Туре	of Goods or Services):			
appro Servi	u believe the Jury Service P opriate box in Part I (you must a ce Program applies to your bu ram. Whether you complete Par	attach documen ısiness, comple	itation to support te Part II to certi	your claim). If the Jury ify compliance with the
Part I:	Jury Service Program Is Not Applicable	le to My Business		
	My business does not meet the definit aggregate sum of \$50,000 or more in a (this exception is not available if the confidence exception will be lost and I must complisum of \$50,000 in any 12-month period	any 12-month period ontract/purchase ord y with the Program if	under one or more Coller itself will exceed \$5	ounty contracts or subcontracts 50,000). I understand that the
	My business is a small business as defigross revenues in the preceding twel \$500,000 or less; and, 3) is not an affiliabelow. I understand that the exemptemployees in my business and my gross	lve months which, if ate or subsidiary of a tion will be lost and	f added to the annua business dominant in I must comply with t	I amount of this contract, are its field of operation, as defined the Program if the number of
	"Dominant in its field of operation" me employees, and annual gross revenues the contract awarded, exceed \$500,000	s in the preceding two		
	"Affiliate or subsidiary of a business d percent owned by a business domina stockholders, or their equivalent, of a bu	ant in its field of op	peration, or by partne	
	My business is subject to a Collective Bargaining Agreement that expressly provides that it supersedes al provisions of the Program. ATTACH THE AGREEMENT.			
Part II:	: Certification of Compliance			
	My business has and adheres to a wiregular pay for actual jury service for fu company will have and adhere to such	III-time employees of	the business who are	•
eclare u I correc	under penalty of perjury under the law	s of the State of Ca	alifornia that the info	ormation stated above is true
nt Name:	<del></del>	Title:		
nature:		Date:		

# CONTRACTOR'S INDUSTRIAL SAFETY RECORD

PROPOSED CONTRACT FOR:	SERVICE BY PROPOSER	PROPOSAL DATE:

information shall be submitted for each particular partnership, joint venture, corporate, or individual proposer. The proposer may attach any additional information or explanation of date which the proposer would like taken into consideration in evaluating the safety record. An explanation must be attached to the circumstances This information must include all work underlaken in the State of California by the proposer and any partnership, joint venture, or corporation that any principal of the proposer participated in as a principal or owner for the last five calendar years and the current calendar year prior to the date of proposal submittal. Separate surrounding any and all fatalities.

# 5 CALENDAR YEARS PRIOR TO CURRENT YEAR

		2007	2008	2009	2010	2011	Total	Current Year to Date
1. Number of contracts.								
2. Total dollar amount of Contracts (in thousands of dollars).	ids of dollars).							
3. Number of fatalities.								
4. Number of lost workday cases.								
5. Number of lost workday cases involving permanent transfer another job or termination of employment.	manent transfer to							
6. Number of lost workdays.								

The above information was compiled from the records that are available to me at this time, and I declare under penalty of perjury that the information is true and accurate within the limitations of those records

Date
Signature
Name of Proposer or Authorized Agent (print)

#### **CONFLICT OF INTEREST CERTIFICATION**

I,		<del></del>
	sole o	owner
		ral partner
		iging member
		dent, Secretary, or other proper title)
of		
<u> </u>		Name of proposer
		in support of a proposal for a contract with the County of Los Angeles for services within the County Code Section 2.180.010, which provides as follows:
c b	contract with,	rohibited. A. Notwithstanding any other section of this code, the county shall not and shall reject any bid or proposal submitted by, the persons or entities specified the board of supervisors finds that special circumstances exist which justify the uch contract.
	1.	Employees of the county or of public agencies for which the board of supervisors is the governing body;
	2.	Profit-making firms or businesses in which employees described in subdivision 1 of subsection A serve as officers, principals, partners, or major shareholders;
	3.	Persons who, within the immediately preceding 12 months, came within the provisions of subdivision 1 of subsection A, and who:
		(a) Were employed in positions of substantial responsibility in the area of service to be performed by the contract; or
		(b) Participated in any way in developing the contract of its service specifications; and
	4.	Profit-making firms or businesses in which the former employees described in subdivision 3 of subsection A, serve as officers, principals, partners, or major shareholders.
contract that no C competin capacity understa	do not fall w County emplong contract, by the Continuous and agreement	informed and believe that personnel who developed and/or participated in the preparation of this ithin scope of the Los Angeles County Code Section 2.180.010 as cited above. Furthermore yee whose position in the County enables him/her to influence the award of this contract, or any and no spouse or economic dependent of such employee is or shall be employed in any ractor herein, or has or shall have any direct or indirect financial interest in this contract. See that any falsification in this Certificate will be grounds for rejection of this Proposal and intract awarded pursuant to this Proposal.
I certify u	ınder penalty	of perjury under the laws of California that the foregoing is true and correct.

#### **PROPOSER'S REFERENCE LIST**

PROPOSER NAME:						
PROPOSED CONTRA	ACT FOR:					
previous three years. Ple Incorrect names, telephon A. COUNTY OF	ease verify all contact names ne and/or fax numbers, or e-m LOS ANGELES AGENO	s, telephone and fax numbers, nail addresses will be disregard	provided by the Proposer during the and e-mail addresses before listing. led. Use additional pages if required.			
SERVICE:	SERVICE DATES:	SERVICE:	SERVICE DATES:			
DEPT/ DISTRICT:		DEPT/DISTRICT:				
CONTACT:		CONTACT:				
TELEPHONE:		TELEPHONE:				
FAX:		FAX:				
E-MAIL:		E-MAIL:				
SERVICE:	SERVICE DATES:	SERVICE:	SERVICE DATES:			
DEPT/ DISTRICT:		DEPT/DISTRICT:				
CONTACT:		CONTACT:				
TELEPHONE:		TELEPHONE:				
FAX:		FAX:	FAX:			
E-MAIL:		E-MAIL;				
B. OTHER GOV	ERNMENTAL AGENCIE	ES AND PRIVATE COMP	ANIES			
SERVICE:	SERVICE DATES:	SERVICE:	SERVICE DATES:			
AGENCY/ FIRM:		AGENCY/ FIRM:				
ADDRESS:		ADDRESS:				
CONTACT:		CONTACT:				
TELEPHONE:		TELEPHONE:				
FAX:		FAX:				
E-MAIL:		E-MAIL:				
SERVICE:	SERVICE DATES:	SERVICE:	SERVICE DATES:			
AGENCY/ FIRM:		AGENCY/ FIRM:				
ADDRESS:		ADDRESS:				
CONTACT:		CONTACT:				
TELEPHONE:		TELEPHONE:	TELEPHONE:			
FAX:		FAX:	FAX:			
E-MAIL:		E-MAIL:	E-MAIL:			

#### PROPOSER'S EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION

Propose	er's Name			
Address	3		<del></del>	
Internal	Revenue Service Employer Identification Number			
In acthat treat sex	ccordance with Los Angeles County Code Section 4.32.010, the Proposer certicall persons employed by it, its affiliates, subsidiaries, or holding companies ed equally by the firm without regard to or because of race, religion, ancestry, neand in compliance with all anti-discrimination laws of the United States of Americalifornia.	are ar ational	nd will be origin, or	
1.	The proposer has a written policy statement prohibiting any discrimination in all phases of employment.		YES NO	
2.	The proposer periodically conducts a self- analysis or utilization analysis of its work force.		YES NO	
3.	The proposer has a system for determining if its employment practices are discriminatory against protected groups.		YES NO	
4.	Where problem areas are identified in employment practices, the proposer has a system for taking reasonable corrective action to include establishment of goals and timetables.		YES NO	
		-		
Authorized representative				
Signatu	ure Date			

	LIST O	F SUBCONTRACTORS		
the laws of the State of Cal NUMBERS MUST BE LISTE	Proposer is required to complete the following. Any Subcontractors fisted must be properly licensed under the laws of the State of California for the type of service that they are to perform, AND THEIR LICENSE NUMBERS MUST BE LISTED HEREIN. Failure to do so may result in delay of the award of contract. Do not list alternate subcontractors for the same service.			
Proposer in providing required services.	the requested	services will not utilize Subcontract	ctors. Proposer will perform all	
Name Under Which Subcontractor Is Licensed	License Number	Address	Specific Description of Subcontract Service	

## FOR County of Los Angeles Request for Local Small Business Enterprise (SBE) Preference Program Consideration and CBE Firm/Organization Information Form

	proposers responding sideration of the propos			, 110pu			una i			iii ioi piopei
	FIRM NAME:									
	My County (WebVen) Ve	ndor Numb	er:							
•	LOCAL SMALL BUSINES	S ENTER	PRISE PR	EFEREN	CE PROGI	RAM:				
	As Local SBE certif									
	Attached is a copy of Loc	al SBE certific	cation issued	by the Cou	inty.			•••		
	FIRM/ORGANIZATION INFORI award, contractor/vendor will be									
	Business Structure:	Sole Propriet	orship 🔲	Partnership		Corporation	Nonp	orofit	Franchi	se
	Other (Please Specify):		·		·					•
	Total Number of Employees	(including ov	wners):							
	Race/Ethnic Composition of	Firm. Pleas	e distribute t	he above to	tal number o	f individuals int	the follow	ving cate	egories:	
	Race/Ethnic Compositi	on	071526 <b>1</b> 15026933650240362625253	ners/Parti ciate Par	9668661466187178165571 - 1552518	Мала	gers			Staff
			Ma	le F	emale	Male	Fema	ale	Male	Female
	Black/African American									
	Hispanic/Latino									
	Asian or Pacific Islander									
	American Indian									
	Filipino									
	White									
II.	PERCENTAGE OF OWNERSH	IP IN FIRM:	Please indic	ate by perce	entage (%) h	ow <u>ownership</u> o	of the firm i	s distrib	uted.	
	Black/Africa American	n Hisp	anic/ Latino	and the second second	or Pacific lander	American	ndian	Filip	pino	White
	Men	%	. %		%		%		%	%
	Women	%	<u></u> %	<u> </u>	%		%		<u></u>	%
٧.	CERTIFICATION AS MINORITOR Currently certified as a minoritor following and attach a copy of y	y, women, d	isadvantaged	d or disable	ed veteran o	wned business				
	Agency N	ame		Minority	Women	Disadvant	aged D	isabled	Veteran	Expiration Date
						•				
۷.	<u>DECLARATION</u> : I DECLARE INFORMATION IS TRUE AND		ALTY OF PE	ERJURY UN	IDER THE L	AWS OF THE	STATE OF	CALIF	ORNIA TH	AT THE ABOVE
	Authorized Signature:				Title:				Date:	

#### GAIN and GROW EMPLOYMENT COMMITMENT

	i ne undersigned:							
	has hired participants from the County's Department of Social Services' Greater Avenue for Independence (GAIN) and General Relief Opportunity for Work (GROW) employment programs.							
		OR						
	<ul> <li>declares a willingness to consider GAIN and GROW participants for any future employment opening if participant(s) meet the minimum qualification for that opening, and</li> </ul>							
declares a willingness to provide employed GAIN and GROW participants access to proposer's employee mentoring program(s), if available, to assist those individuals in obtaining permanent employment and/or promotional opportunities.								
Signature								
Firm Name Date								

#### TRANSMITTAL FORM TO REQUEST AN RFP SOLICITATION REQUIREMENTS REVIEW

A Solicitation Requirements Review must be received by the County within 10 business days of issuance of the solicitation document

Proposer Name:	Date of Request:							
Project Title:	Project No.							
A <b>Solicitation Requirements Review</b> is being requunfairly disadvantaged for the following reason(s): (ch	ested because the Proposer asserts that they are beir eck all that apply)							
□ Application of Minimum Requirements								
<ul> <li>Application of Evaluation Criteria</li> </ul>								
☐ Application of Business Requirements								
<ul> <li>Due to unclear instructions, the process may result in the County not receiving the best possible responses</li> </ul>								
I understand that this request must be received by the solicitation document.	e County within 10 business days of issuance of the							
For each area contested, Proposer must explain in de (Attach additional pages and supporting documentation)								
Request submitted by:								
(Name)	(Title)							
For County use	only							
Date Transmittal Received by County:	Date Solicitation Released:							
Reviewed by:								
Results of Review - Comments:								
Date Response sent to Proposer:	-							

#### **CHARITABLE CONTRIBUTIONS CERTIFICATION**

Company Name						
Address						
Internal Revenue Service Employer Identification Number					<del>-</del>	•
California Registry of Charitable Trusts "CT" number (if applie	cable)					
The Nonprofit Integrity Act (SB 1262, Chapter 919) added re Trustees and Fundraisers for Charitable Purposes Act which charitable contributions.						
CERTIFICAT	ION	ΥE	S	N	)	
Proposer or Contractor has examined its activities and determit does not now receive or raise charitable contributions under California's Supervision or Trustees and Fundra Charitable Purposes Act. If Proposer engages in activities it to those laws during the term of a County contract, it comply with them and provide County a copy of its initial rewith the California State Attorney General's Registry of Trusts when filed.	regulated aisers for subjecting will timely egistration	(	)	(	)	
OR						
		,		,		
Proposer or Contractor is registered with the California Recompliance Trusts under the CT number listed above compliance with its registration and reporting requireme California law. Attached is a copy of its most recent filing Registry of Charitable Trusts as required by Title 11 California Regulations, sections 300-301 and Government Code 12585-12586.	and is in ents under g with the ornia Code	(	)		)	
Signature	Date					
Name and Title (please type or print)						
ivaine and time (blease lybe of brint)						

#### TRANSITIONAL JOB OPPORTUNITIES PREFERENCE APPLICATION

	COMPANY NAME:		<del></del>							
	COMPANY ADDRESS:									
	CITY:	STATE:	ZIP CODE:							
	I am <u>not</u> requesting consideration ι Preference Program.	ınder the Cou	nty's Transitional	Job Opportunities						
l h	ereby certify that I meet all the requiremen	nts for this pro	gram:							
	My business is a non-profit corporation Section 501(c)(3) and has been such for	•								
	I have submitted my three most recent a	nnual tax return	s with my applicat	ion;						
	I have been in operation for at least one services to program participants; and	e year providing	transitional job ar	nd related supportive						
	I have submitted a profile of our program; including a description of its components designed to help the program participants, number of past program participants and any othe information requested by the contracting department.									
	l declare under penalty of perjury u information herein is true and correct		of the State of	California that the						
	PRINT NAME:		TIT	LE:						
	SIGNATURE:		DA	TE:						
				:						
RE	EVIEWED BY COUNTY:									
	SIGNATURE OF REVIEWER	APPROVED	DISAPPROVED	DATE						

#### PROPOSER'S LIST OF TERMINATED CONTRACTS

PROPOSER'S NAME:								
☐ Proposer has not	Proposer has not had any contracts terminated in the past three years.							
those contracts terminat terminated, please attach Proposer or not. Any ar	ed by an agency or firm I an explanation on a <u>sepa</u> Ind all terminated contracts It naturally expired need n	before the contract's e rate sheet, whether the should be accompanie	ree years. Terminated contracts ar xpiration date. If a contract(s) wa termination was at the fault of the with an explanation. It should be nty is only seeking information or					
SERVICE:	TERMINATING DATE:	SERVICE:	TERMINATING DATE:					
NAME OF TERMINATING	FIRM	NAME OF TERMINA	ATING FIRM					
ADDRESS OF FIRM		ADDRESS OF FIRM	1					
CONTACT PERSON:		CONTACT PERSON	CONTACT PERSON:					
TELEPHONE:		TELEPHONE:						
FAX:		FAX:						
E-MAIL:		E-MAIL:						
0550,005			7-2					
SERVICE:	TERMINATING DATE:	SERVICE:	TERMINATING DATE:					
NAME OF TERMINATING	FIRM	NAME OF TERMINATING FIRM						
ADDRESS OF FIRM		ADDRESS OF FIRM						
CONTACT PERSON:		CONTACT PERSON:						
TELEPHONE:		TELEPHONE:						
FAX:		FAX:						
E-MAIL:		E-MAIL:						
SIGNATURE		DATE:						

#### PROPOSER'S PENDING LITIGATIONS AND JUDGMENTS

Propo	ser's N	ame:						
	Proposer and/or principals are <b>not</b> currently involved in any pending litigation; are not aware of any threatened litigation where they would be a party; and have not had any judgments entered against them within the last five years as of the date of proposal submission.							
pendi	ing litiga	d/or principals of the Proposer must list below (use additional pages if necessary) all ation, threatened litigation, and/or any judgments entered against them within the last five the date of proposal submission.						
A.	□ Per	nding Litigation						
	2. 3.	Against  Proposer;  Principal;  Both (check as appropriate)  Name of Litigation/Judgment:  Case Number:  Court of Jurisdiction:  Please provide a statement describing the size and scope of the pending/threatened litigation or judgment (use additional page if necessary):						
		<del></del>						
В.	☐ Per	nding Litigation   ☐ Threatened Litigation ☐ Judgment (check one)						
	1. 2. 3. 4.	Against  Proposer;  Principal;  Both (check as appropriate)  Name of Litigation/Judgment:  Case Number:  Court of Jurisdiction:						
	5.	Please provide a statement describing the size and scope of the pending/threatened litigation or judgment (use additional page if necessary):						
	<u> </u>							
Cian	atura at	F Droponer						

### SAN GABRIEL HYDROELECTRIC PROJECT - MAINTENANCE AND INSPECTION SERVICES (2012-AN031) PROPOSER'S INSURANCE COMPLIANCE AFFIRMATION

Prop	oser's Name
Addr	ess
	If awarded the contract: Proposer <u>will</u> comply with the insurance coverage provisions set forth in Exhibit B, Section 5, Indemnification and Insurance Requirements of this Request for Proposals, and Proposer <u>will</u> procure, maintain, and provide the County with proof of insurance coverage in the coverage amounts and types specified in Exhibit B, Section 5 throughout the entire term of the proposed contract, without interruption or break in coverage.
	If you check this box, your proposal will be determined nonresponsive and your proposal will be disqualified. Proposer will not comply with the insurance coverage provisions set forth in Exhibit B, Section 5, Indemnification and Insurance Requirements of this Request for Proposals, and Proposer will not procure, maintain, and provide the County with proof of insurance coverage in the coverage amounts and types specified in Exhibit B, Section 5 throughout the entire term of the proposed contract, without interruption or break in coverage.
Signa	ature of Proposer: Date:

#### **FORM PW-17**

#### CERTIFICATION OF COMPLIANCE WITH THE COUNTY'S DEFAULTED PROPERTY TAX REDUCTION PROGRAM

The	e Proposer certifies that:					
Π		It is familiar with the terms of the County of Los Angeles Defaulted Property Tax Reduction Program, Los Angeles County Code Chapter 2.206; <b>AND</b>				
	To the best of its knowledge, after default, as that term is defined in Los County property tax obligation; <b>AND</b>					
	· · · · · · · · · · · · · · · · · · ·	The Proposer/Bidder/Contractor agrees to comply with the County's Defaulted Property Tax Reduction Program during the term of any awarded contract.				
		-OR-				
	I am exempt from the County of Los Los Angeles County Code Section 2.					
	eclare under penalty of perjury under the i	aws of the State of California that	the information stated above is			
Print	nt Name:	Title:				
Sign	nature:	Date:				

#### SAN GABRIEL DAM HYDROELECTRIC PROJECT - MAINTENANCE AND INSPECTION SERVICES (2012-AN031)

#### PROPOSER'S COMPLIANCE WITH MINIMUM REQUIREMENTS OF THE RFP Note: Proposer must check a box under each section.

		Note: Proposer must check a box under each section.						
		me of proposal submission, Proposer must meet the following minimum nents:						
1.	provid	roposer or its managing employee must have a minimum of 5 years' experience roviding inspection and appraisal testing of electrical system services to large rganizations and performing the type of service solicited.						
	(I F p	Yes. Proposer does meet the experience requirement stated above. In addition to responding on this form, as specified in Part I, Section 2.A.5, Experience, please provide a detailed narrative in your proposal to support this minimum mandatory requirement in order to provide for meaningful evaluation).						
1333	No. of	Description of Service						
	Years							
_		1						
		<u>OR</u>						
		es. Proposer's managing employee does meet the experience requirement ated above.						

#### SAN GABRIEL DAM HYDROELECTRIC PROJECT - MAINTENANCE AND INSPECTION SERVICES (2012-AN031)

	Name	No. of Years	Description of Service
	□ No. Propo	eer or its r	nanaging employee does not meet the experience
	requirement	stated abov	e. <u>If you check this box, your proposal will be</u> I as non-responsive.
			g employee must have at least 3 years' experience I to a large organization.
	requirement Part I, Secti	stated above on 2.A.5, Ex support this r	e supervising employee does meet the experience . (In addition to responding on this form, as specified in perience, please provide a detailed narrative in you ninimum mandatory requirement in order to provide fo
-	Name	No. of Years	Description of Service
			supervising employee does not meet the experience
			e. <u>If you check this box, your proposal will b</u>
	<u>immediatel</u>	<u>/ aisquaiifie</u>	d as non-responsive.
Ιd			
			that the information stated above is true and accurate  Title



#### **COUNTY OF LOS ANGELES**

#### Policy on Doing Business With Small Business

Forty-two percent of businesses in Los Angeles County have five or fewer employees. Only about four percent of businesses in the area exceed 100 employees. According to the <u>Los Angeles Times</u> and local economists, it is not large corporations, but these small companies that are generating new jobs and helping move Los Angeles County out of its worst recession in decades.

#### WE RECOGNIZE...

#### The importance of small business to the County:

- o In fueling local economic growth.
- Providing new jobs.
- Creating new local tax revenues.
- Offering new entrepreneurial opportunity to those historically under-represented in business.

#### The County can play a positive role in helping small business grow:

- As a multi-billion dollar purchaser of goods and services.
- As a broker of intergovernmental cooperation among numerous local jurisdictions.
- By greater outreach in providing information and training.
- By simplifying the bid/proposal process.
- By maintaining selection criteria which are fair to all.
- By streamlining the payment process.

#### **WE THEREFORE SHALL:**

- 1. Constantly seek to streamline and simplify our processes for selecting our vendors and for conducting business with them.
- 2. Maintain a strong outreach program, fully coordinated among our departments and districts, as well as other participating governments to: (a) inform and assist the local business community in competing to provide goods and services; and, (b) provide for ongoing dialogue with and involvement by the business community in implementing this policy.
- 3. Continually review and revise how we package and advertise solicitations, evaluate and select prospective vendors, address subcontracting, and conduct business with our vendors, in order to: (a) expand opportunity for small business to compete for our business; and, (b) to further opportunities for all businesses to compete regardless of size.
- 4. Ensure that staff who manage and carry out the business of purchasing goods and services are well-trained, capable, and highly motivated to carry out the letter and spirit of this policy.

#### **Listing of Contractors Debarred in Los Angeles County**

List of Debarred Contractors in Los Angeles County may be obtained by going to the following website:

http://lacounty.info/doing\_business/DebarmentList.htm

# County of Los Angeles Lobbyist Ordinance



IT'S THE LAW

#### It may affect you!

Chapter 2.160 of the Los Angeles County Code requires Lobbyists, Lobbying Firms and Lobbyist Employers to register with the Executive Office of the Board of Supervisors. This ordinance imposes extensive reporting requirements on individuals, businesses and other organizations. It places restrictions on the activities of anyone seeking to influence an official action of the County of Los Angeles including actions of the Board of Supervisors or the granting or denial of County contracts, licenses, permits, grants and franchises.

#### YOU MAY BE CONSIDERED A COUNTY LOBBYIST.

If you are compensated to communicate directly (or through agents) with any County official for the purpose of influencing official action, then you may be required to register with the Executive Office of the Board of Supervisors. The requirement to register is the same whether you are an employee of, or on contract with, a firm or organization with business before the County. Additionally, an individual or business entity may be considered a County Lobbying Firm if it receives compensation to influence the County on behalf of any other persons or businesses. An individual, business entity or organization that employs or contracts with another individual or firm to represent or make contacts with a County agency on their behalf to influence County action may be considered a County Lobbyist Employer who must also register. If in doubt, it is best to register.

Furthermore, each person or entity who is not otherwise required to register as a County Lobbyist, Lobbying Firm or Lobbyist Employer, but who directly or indirectly expends \$5,000 or more during a calendar quarter to influence official action need not register BUT must report the expenditure to the Executive Office of the Board of Supervisors on a form available from the Executive Office.

#### REGISTERING IS IMPORTANT

Failure to comply with the ordinance may subject offending Lobbyists, Lobbying Firms, and Lobbyist Employers to serious penalties including fines up to \$2,000 and denial of contracts, licenses, permits, grants or franchises. Moreover, some violators may be refused permission to address the Board of Supervisors or any County commission.

#### HERE'S HOW TO COMPLY WITH THE LAW

Within 10 days of qualifying as a County Lobbyist, Lobbying Firm, or Lobbyist Employer as described in the ordinance, you must register with the Executive Office of the Board of Supervisors.

Registering with the County is easy. To receive a copy of the ordinance and registration forms, or to receive additional information or answers to specific questions, please contact the Executive Office of the Board of Supervisors at the following address or you may call one of the following telephone numbers:

Executive Office of the Board of Supervisors County of Los Angeles 383 Kenneth Hahn Hall Of Administration 500 West Temple Street Los Angeles, California 90012

(213) 974-1093 (213) 974-1578

A copy of the ordinance is available for your review at this County facility or on the Internet.

http://bos.co.la.ca.us/

Thank you for your cooperation and attention.

# Part II Sample Agreement



BY AND BETWEEN

THE COUNTY OF LOS ANGELES, DEPARTMENT OF PUBLIC WORKS

**AND** 

[NAME OF CONTRACTOR]

**FOR** 

SAN GABRIEL DAM HYDROELECTRIC PROJECT - MAINTENANCE AND INSPECTION SERVICES (2012-AN031)

#### TABLE OF CONTENTS

#### AGREEMENT FOR SAN GABRIEL DAM HYDROELECTRIC PROJECT - MAINTENANCE AND INSPECTION SERVICES (2012-AN031)

			PAGE
<b>SAMPLE AGREE</b>	MENT.		. 1-4
<b>EXHIBIT A Scor</b>	pe of W	/ork	A.1-2
		ntract General Requirements	
Section 1		pretation of Contract	
	Α. ΄	Ambiguities or Discrepancies	B.1
	B.	Definitions	
	C.	Headings	
Section 2	Stand	dard Terms and Conditions Pertaining to Contract Administration	
	A.	Amendments	B.4
	B.	Assignment and Delegation	
	C.	Authorization Warranty	
	D.	Budget Reduction	
	Ē.	Complaints	
	F.	Compliance with Applicable Laws	
	G.	Compliance with Civil Rights Laws	. B.7
	H.	Confidentiality	
	i.	Conflict of Interest	
	J.	Consideration of Hiring County Employees Targeted for Layoffs or	
	٥.	Former County Employees on Reemployment List	B 8
	K.	Consideration of Hiring GAIN and GROW Participants	
	L.	Contractor's Acknowledgment of County's Commitment to Child	. D.0
	∟.	Support Enforcement	R A
	M.	Contractor's Charitable Activities Compliance	
	N.	Contractor's Warranty of Adherence to County's Child Support	. D.0
	IN.	Compliance Program	RG
	Ο.	County's Quality Assurance Plan	
	O. P.	Damage to County Facilities, Buildings, or Grounds	
	Q.	Employment Eligibility Verification	
	R.	Facsimile Representations	
	<u></u> S.	Fair Labor Standards	
	З. Т.	Force Majeure	
		•	
	U. V.	Governing Laws, Jurisdiction, and Venue	
	v. W.	Most Favored Public Entity  Nondiscrimination and Affirmative Action	
	Χ.	Nonexclusivity	D. IS
	Y.	No Payment for Services Provided Following	D 42
	7	Expiration/Suspension/Termination of Contract	
	Z.	Notice of Delays	
	AA.	Notice of Disputes	B.14

	BB.	Notice to Employees Regarding the Federal Earned Income Credit	
	CC.	Notices	. B.14
	DD.	Publicity	B.15
	EE.	Public Records Act	. B.15
	FF.	Record Retention and Inspection/Audit Settlement	. B.16
	GG.	Recycled-Content Paper Products	. B.17
	HH.	Contractor's Employee Criminal Background Investigation	B.17
	II.	Subcontracting	
	JJ.	Validity	
	KK.	Waiver	
	LL.	Warranty Against Contingent Fees	. B.18
Section 3	Term	ninations/Suspensions	
	A.	Termination/Suspension for Breach of Warranty to Maintain	
		Compliance with County's Child Support Compliance Program	
	B.	Termination/Suspension for Convenience	
	C.	Termination/Suspension for Default	
	D.	Termination for Improper Consideration	
	E.	Termination/Suspension for Insolvency	
	F.	Termination for Nonadherence of County Lobbyists Ordinance	B.23
	G.	Termination/Suspension for Nonappropriation of Funds	B.23
Section 4	Gene	eral Conditions of Contract Work	
	A.	Authority of Public Works and Inspection	
	B.	Cooperation	B.24
	C.	Cooperation and Collateral Work	
	D.	Equipment, Labor, Supervision, and Materials	
	E.	Gratuitous Work	
	F.	Jobsite Safety	
	G.	Labor	
	Н.	Labor Law Compliance	
	I.	Overtime	
	٠J.	Permits/Licenses	
	K.	Prohibition Against Use of Child Labor	. B.25
	L.	Public Convenience	
	M.	Public Safety	
	N.	Quality of Work	
	Ο.	Quantities of Work	B.26
	Ρ.	Safety Requirements	B.27
	Q.	Storage of Materials and Equipment	
	R.	Transportation	
	S.	Work Area Controls	
	Τ.	County Contract Database/CARD	. B.2 <b>7</b>
Section 5	Inde	mnification and Insurance Requirements	
	Α.	Independent Contractor Status	
	В.	Indemnification	
	C.	Workplace Safety Indemnification	
	ח	General Insurance Requirements	B.29

		ᆫ	. The state of the	
		F	. Insurance Coverage Requirements	B.33
S	ection	6 C	ontractor Responsibility and Debarment	
		Α	. Responsible Contractor	B.34
		В	. Chapter 2.202 of the County Code	B.34
		С	. Nonresponsible Contractor	B.34
		D		
		Ε	. Subcontractors of Contractor	B.35
S	ection	7 C	ompliance with County's Jury Service Program	
		Α	,	B.36
		В		B.36
S	ection	8 S	afely Surrendered Baby Law Program	
		Α	. Contractor's Acknowledgment of County's Commitment to the Safely	
			Surrendered Baby Law	
		В		
	Section		ransitional Job Opportunities Preference Program	
	ection		ocal Small Business Enterprise (SBE) Preference Program	
	ection	-	ompliance with County's Defaulted Property Tax Reduction Program	
S	Section	12 P	revailing Wage	B.42
EXHIBIT	•		Revenue Service Notice 1015	
EXHIBIT		•	Surrendered Baby Law Posters	
EXHIBIT			ed Property Tax Reduction Program	
EXHIBI			briel Dam Hydroelectric Project – Location Map	
EXHIBI.			briel Dam Hydroelectric Project – Site Plan	
EXHIBI			briel Dam Hydroelectric Project – Operational Diagram	
EXHIBI			Ibriel Dam Hydroelectric Project – Various Part Weights	
EXHIBI			abriel Dam Hydroelectric Project – Lubricants and Capacities	
EXHIBI	i K	⊏quipr	nent Description	

# SAMPLE AGREEMENT FOR

# SAN GABRIEL DAM HYDROELECTRIC PROJECT - MAINTENANCE AND INSPECTION SERVICES – (2012-AN031)

INSPECTION SERVICES – (2012-AN031)	
THIS AGREEMENT, made and entered into this day of, 2012, by and between the COUNTY OF LOS ANGELES, a subdivision of the State of California, a body corporate and politic (hereinafter referred to as COUNTY) and [Name of CONTRACTOR], a [State of Incorporation] [Form of Entity] (hereinafter referred to as CONTRACTOR).	
WITNESSETH	
<u>FIRST</u> : The CONTRACTOR, for the consideration hereinafter set forth and the acceptance by the Board of Supervisors of said COUNTY of the CONTRACTOR'S Proposal filed with the COUNTY on, 2012, hereby agrees to provide services as described in this Contract for San Gabriel Dam Hydroelectric Project - Maintenance and Inspection Services (2012-AN031).	
SECOND: This AGREEMENT, together with Exhibit A, Scope of Work; Exhibit B, Service Contract General Requirements; Exhibit C, Internal Revenue Service Notice 1015; Exhibit D, Safely Surrendered Baby Law Posters; Exhibit E, Defaulted Property Tax Reduction Program; Exhibit F, San Gabriel Dam Hydroelectric Project - Location Map; Exhibit G, San Gabriel Dam Hydroelectric Project - Site Plan; Exhibit H, San Gabriel Dam Hydroelectric Project - Various Part Weights; Exhibit J, San Gabriel Dam Hydroelectric Project - Lubricants and Capacities; Exhibit K, Equipment Description; Exhibit L, Existing Equipment Incorporated Into Control and Protection Systems Upgrade for San Gabriel Hydroelectric Projects; the CONTRACTOR'S Proposal, all attached hereto; the Request for Proposals; and Addenda to the Request for Proposals, all of which are incorporated herein by reference, are agreed by the COUNTY and the CONTRACTOR to constitute the Contract.	
THIRD: The COUNTY agrees, in consideration of satisfactory performance of the foregoing services in strict accordance with the Contract specifications to the satisfaction of the Director of Public Works, to pay the CONTRACTOR pursuant to the Schedule of Prices set forth in the Proposal and attached hereto as Form PW-2, an amount not to exceed per year or such greater amount as the Board may approve (Maximum Contract Sum).	
FOURTH: This Contract's initial term shall be for a period of one year commencing on or Board approval, whichever occurs last. At the sole discretion of the COUNTY, this Contract may be extended in increments of one year, for a maximum potential contract of three years. The COUNTY, acting through the Director, may give a written notice of intent to extend this Contract at least ten days prior to the end of each term. At the sole discretion of the COUNTY, in lieu of extending the Contract for the full one year, this Contract may be extended on a month-to-month basis, upon written notice to	

the CONTRACTOR at least ten days prior to the end of a term. The Director will provide a

written notice of nonrenewal at least ten days before the last day of any term, in which case this Contract shall expire as of midnight on the last day of that term. Where all option years have been exercised, the Director will not provide a written notice of nonrenewal. In addition, upon notice of at least ten days, the Director may extend the final contract term on a month-to-month basis, not to exceed a total of six months, for the convenience of the COUNTY.

<u>FIFTH</u>: The CONTRACTOR shall bill monthly in arrears, for the work performed during the preceding month. Work performed shall be billed at the hourly rates and/or unit prices quoted in Form PW-2, Schedule of Prices. .

SIXTH: Public Works will make payment to the CONTRACTOR within 30 days of receipt and approval of a properly completed and undisputed invoice. However, should the CONTRACTOR be certified by the COUNTY as a Local Small Business Enterprise, payment will be made in accordance with Board of Supervisors Policy No. 3.035, Small Business Liaison and Prompt Payment Program. Each invoice shall be in triplicate (original and two copies) and shall itemize the work completed. The invoices shall be submitted to:

County of Los Angeles Department of Public Works Attention Fiscal Division, Accounts Payable P.O. Box 7508 Alhambra, CA 91802-7508

<u>SEVENTH</u>: In no event shall the aggregate total amount of compensation paid to the CONTRACTOR exceed the amount of compensation authorized by the Board. Such aggregate total amount is the Maximum Contract Sum.

<u>EIGHTH</u>: The CONTRACTOR understands and agrees that only the designated Public Works Contract Manager is authorized to request or order work under this Contract. The CONTRACTOR acknowledges that the designated Contract Manager is not authorized to request or order any work that would result in the CONTRACTOR earning an aggregate compensation in excess of this Contract's Maximum Contract Sum.

NINTH: The CONTRACTOR shall not perform or accept work requests from the Contract Manager or any other person that will cause the Maximum Contract Sum of this Contract to be exceeded. The CONTRACTOR shall monitor the balance of this Contract's Maximum Contract Sum. When the total of the CONTRACTOR'S paid invoices, invoices pending payment, invoices yet to be submitted, and ordered services reaches 75 percent of the Maximum Contract Sum, the CONTRACTOR shall immediately notify the Contract Manager in writing. The CONTRACTOR shall send written notification to the Contract Manager when this Contract is within six months from expiration of the term as provided for hereinabove.

<u>TENTH:</u> The Director may adjust the rate of compensation set forth in Form PW-2 (Schedule of Prices) annually based on the increase or decrease in the U.S. Department of Labor, Bureau of Labor Statistics', All Urban Consumers Price Index (CPI) for the Los Angeles-Riverside-Orange County Area for the 12-month period preceding the contract anniversary date, which shall be the effective date for any such cost-of-living adjustment.

The percentage change in the rate of compensation shall equal 12 times the average monthly change in the CPI over the first nine months of the contract term preceding the effective date. However, any percentage increase shall not exceed the general salary movement granted to COUNTY employees as determined by the COUNTY'S Chief Executive Office as of July 1 for the prior 12-month period. Furthermore, should fiscal circumstances ultimately prevent the Board from approving any increase in COUNTY employee salaries, no cost-of-living adjustment will be granted.

<u>ELEVENTH</u>: In the event that terms and conditions, which may be listed in the CONTRACTOR'S Proposal, conflict with the COUNTY'S specifications, requirements, and terms and conditions as reflected in this AGREEMENT including, but not limited to, Exhibits A through L, inclusive, the COUNTY'S provisions shall control and be binding.

<u>TWELFTH</u>: The CONTRACTOR agrees in strict accordance with the Contract specifications and conditions to meet the COUNTY'S requirements.

<u>THIRTEENTH</u>: This Contract constitutes the entire agreement between the COUNTY and the CONTRACTOR with respect to the subject matter of this Contract and supersedes all prior and contemporaneous agreements and understandings.

II// // //IIII $/\!/$ II// II// IIH// $/\!/$ IIIIII// IIIIII $/\!/$ IIII

// // // // // // IN WITNESS WHEREOF, the COUNTY has, by order of its Board of Supervisors, caused these presents to be subscribed by the Director of Public Works, and the CONTRACTOR has subscribed its name by and through its duly authorized officers, as of the day, month, and year first written above.

	COUNTY OF LOS ANGELES
	By Director of Public Works
APPROVED AS TO FORM:	
JOHN F. KRATTLI County Counsel	
Deputy	[NAME OF CONTRACTOR]
	By Its President
	Its President
	Type or Print Name
	By Its Secretary
	its Secretary
	Type or Print Name

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#### SCOPE OF WORK

# SAN GABRIEL DAM HYDROELECTRIC PROJECT- MAINTENANCE AND INSPECTION SERVICES (2012-AN031)

#### A. Public Works Contract Manager

Public Works Contract Manager will be Mr. George Manole of Water Resources Division, who may be contacted at (626) 458-6165, e-mail address: <a href="mailto:gmanole@dpw.lacounty.gov">gmanole@dpw.lacounty.gov</a>, Monday through Thursday, 7 a.m. to 5 p.m. The Contract Manager is the only person authorized by Public Works to request work of the Contractor. From time to time, Public Works may change the Contract Manager. The Contractor will be notified in writing when there is a change in the Contract Manager.

## B. Work Location

The facility is located at 9700 North San Gabriel Canyon Road, Azusa, California. (Exhibit F – San Gabriel Dam Hydroelectric Project – Location Map)

# C. Work Description

#### 1. General Statement

- a. Work to be accomplished consists of the inspection and maintenance of the mechanical equipment (Task 1) and the inspection and maintenance of electrical equipment (Task 2) at Public Works San Gabriel Dam hydroelectric facility. In addition to the maintenance and inspection, the Contractor may be called upon to perform as-needed trouble shooting services and/or repairs to the hydroelectric facility. The work shall conform to the requirements set forth in this Scope of Work.
- b. The County reserves the right to determine if any work is or will be needed and/or requested under this Contract, at the County's sole and absolute discretion. The Contractor waives all claims against the County for any and all damages resulting from the County's failure to use the Contractor's services including, but not limited to, lost profit.
- c. Public Works will establish a start date and issue a Notice to Proceed (NTP) describing the required work. The Contractor shall complete the mechanical work as described in Task 1, upon the issuance of the NTP. The NTP will specify the subtasks included, based on field conditions, and the duration of work. The Contractor

shall complete the electrical work as described in Task 2, upon the issuance of the NTP. The NTP will specify the duration of work.

- d. All work described in this Exhibit will be optional at Public Works direction and, if elected by Public Works, will commence on date requested by Contract Manager.
- e. Contractor shall perform all electrical work under a valid and active C-10 State Contractor's license.
- f. The Contract Manager may request certain subtask(s) of Task 1 to be delayed or suspended for a period of time for operational and/or administrative needs. When requested by the Contract Manager, the Contractor shall suspend and delay the requested subtask(s). When the suspension and delay of work is requested by the Contract Manager, the Contractor shall only bill for the subtask(s) completed. Task 1 shall be broken down according to the subtasks provided below. The subtasks are also identified in Form PW-2, Schedule of Prices, with a price breakdown for each subtask. The total cost to complete Task 1, Items a-n, as indicated in Section C.2. of this Exhibit, shall not exceed the lump sum indicated for Task 1 in Form PW-2, Schedule of Prices.

#### 2. Task 1-Mechanical Maintenance-Unit 1 and 2

The Contractor will provide mechanical maintenance service to Unit 1 (the Silo Unit) and Unit 2 (the In-Line Unit). Upon issuance of a NTP by Contract Manager, Contractor shall perform the following subtasks as identified on the NTP.

Note: The Contractor shall be responsible for the lockout and tagout procedures that shall be coordinated with Public Works prior to the Contractor performing any work at this site.

#### a. Provide Crane for Unit 1 (Silo Unit)

The Contractor shall provide a crane as needed to complete a work order according to the following criteria:

(1) Be responsible for the removal and replacement of the silo cover, runner, and draft tube. The silo cover shall be replaced at the end of each working day. The Contractor shall seal the silo cover during periods of inclement weather and upon completion of all work.

- (2) Provide a hydraulic crane and all rigging necessary to perform the work indicated below. Attached as Exhibit I, San Gabriel Dam Hydroelectric Project, Various Part Weights is a table of the approximate weights of various components associated with the Silo Unit. The hydraulic crane shall have a capacity of not less than 30 tons with at least 90 feet of boom.
- (3) Be responsible for providing qualified personnel for operating the crane.
- (4) Provide two-way radio equipment for communication.
- (5) Provide all necessary cribbing required for the crane and the silo cover.
- (6) Provide all rigging necessary to assist the crane to the Silo Unit.
- (7) Be responsible for driving the crane to the Silo Unit and driving the crane out after all work has been completed. Public Works will provide an equipment operator and equipment of sufficient size to assist with the delivery and removal of contractor's crane to the Silo Unit area.

# b. <u>Provide Crane for Unit 2 (In-Line Unit)</u>

The Contractor may use its own crane or may use Public Works' existing stiff leg crane, if available. The Contractor shall specify the equipment in the Work Plan and provide a price in Form PW-2. If the Contractor chooses to use Public Works' crane, it shall operate under the following conditions:

- (1) Public Works will provide a crane operator, on an as-needed basis, who is familiar with the operation of the existing stiff-leg crane.
- (2) Public Works' crane operator will only be available Monday through Friday, during the hours of 8 a.m. to 3:30 p.m.
- (3) If Public Works' crane operator is needed, the Contractor shall request an operator and provide a minimum of 24-hour notification. Any work required by Public Works' crane operator outside of the above-mentioned time frame will require prior approval by Public Works.

(4) If Public Works' stiff leg crane is used, the Contractor will not include the price to provide a crane for Unit 2 in their invoice for performing Task 1.

## c. Provide Lubricants (Unit 1 and Unit 2)

#### The Contractor shall:

- (1) Provide all lubricants to perform the work indicated below. The lubricant manufacturer and the approximate quantities necessary are indicated on Exhibit J, San Gabriel Dam Hydroelectric Project Lubricants and Capacities. Any lubricants not used by the Contractor shall become the property of Public Works.
- (2) Provide sufficient empty 55-gallon drums in good condition to perform the work.
- (3) Only use waste containers for waste oil generated as a result of performing the work.
- (4) Clearly mark the waste containers and indicate the contents of the containers and place in a designated area by Public Works

#### d. <u>Inspect Turbine (Unit 1 and Unit 2)</u>

- (1) Turbine The Contractor shall disassemble the turbine, record the "as found" front and back clearances, clean and reassemble the turbine, and record the "as left" front and back clearances for the runner to wear ring clearance, runner run out, and turbine (generator) thrust.
- (2) Runner The Contractor shall remove the runner, perform a die penetrant check for cracks or signs of cavitation, and clean up and reinstall the runner. Any welding on the runner or general area shall be TIG welded with reverse polarization, grounded as close to the area to be welded as possible, and be performed by a certified welder.
- (3) Wicket Gate The Contractor shall check the wicket gates timing, perform a carbon paper test ("blue check") for contact, recheck the wicket gates timing, and record the "as found" and "as left" contact areas.

(4) Shaft Sealing Box - The Contractor shall remove the shaft sealing box, clean, inspect for damage, record measurements, and reinstall.

#### (5) Draft Tube

- (a) Unit 1 The Contractor shall remove the draft tube, inspect for debris and signs of cavitation, and reinstall the draft tube.
- (b) Unit 2 The Contractor shall:
  - Remove the draft tube and blind flange the downstream flange connection. The Contractor shall notify the Contract Manager a minimum of 24 hours prior to the removal of the draft tube.
  - ii. Inspect the draft tube for debris and signs of cavitation.
  - iii. Remove the blind flange from the downstream flange connection and reinstall the draft tube. The Contractor shall notify the Contract Manager a minimum of 24 hours prior to the removal of the blind flange.

# (6) Spiral Case

The Contractor shall inspect the spiral case for debris and signs of cavitation.

(7) Other Items

The Contractor shall:

- (a) Align the generator shaft to the turbine and record the "as found" and "as left" measurements.
- (b) Remove any rust noted during the inspections and touch up with Public Works supplied paint.

# e. <u>Inspect Generator (Unit 1 and Unit 2)</u>

The Contractor shall:

- (1) Remove the inspection plates and check for seal leakage.
- (2) Check for signs of oil leakage on the front and rear bearings. Any signs of leakage are to be cleaned and noted.
- (3) Check the condition and fastening of all connections.
- (4) Measure the insulation resistance on the machine and the exciter windings. Additionally, the Contractor shall determine and record the Polarization Index.
- (5) Inspect the generator and exciter for dirt and clean as required.
- (6) Vacuum dust from all accessible interior parts, including windings.
- (7) Wipe the windings with clean, dry, nonfraying, nonfluffing, and lint free cloths to remove surface dirt.
- (8) Rewipe the windings with clean, dry, nonfraying, nonfluffing, and lint free cloths moistened with a safety solvent. Solvent that was previously approved and utilized was "AArrow #6300M, Fast Drying Safety Solvent," available from Chem Arrow Corp., Irwindale, California.
- (9) Measure and record the bearing insulation resistance.
- (10) Tighten the foundation bolts and check locking elements as per the manufacturer's specifications.
- (11) Remove the duplex strainer, disassemble, clean, reassemble, and reinstall the duplex strainer.
- (12) Disassemble, clean, inspect, check for roundness, and reinstall the bearing slinger rings.
- (13) Remove RTD probes prior to inspection of the bearings, and reinstall them after all work on the bearings has been completed, including the disconnecting and reconnecting the RTD probes leads.
- (14) Replace the bearing oil with the lubricant indicated in Exhibit J, San Gabriel Dam Hydroelectric Project Lubricants and Capacities.

- (15) Vacuum any accumulated dirt around the generator.
- (16) Service the oil/water heat exchanger and pressure test the water side of the heat exchanger at 60 psi for two hours upon completion of all work.
- (17) Clean and inspect the sliding faces of the sleeve bearing components.
- (18) Clean, inspect, measure, and record the diametric clearances and contact for both radial bearings and the thrust bearing.
- (19) Check the air gap, pole connection, and corona effect.
- (20) Perform a vibration signature test after all work has been completed.
- (21) Clean up the immediate work area and properly dispose of any waste material generated in completing the above described work.
- (22) Be responsible for the proper disposal of any hazardous material generated as a result of performing the above described work.
- f. <u>Inspect Turbine Shut-off Valve (TSV-1 and TSV-2)</u>

#### The Contractor shall:

- (1) Install an additional temporary safety device to ensure that TSV-1 and TSV-2 cannot accidentally close.
- (2) Provide the necessary test equipment and personnel to abide by Cal/OSHA requirements regarding confined space entry.
- (3) Verify with Public Works' Dam Operator that the appropriate penstock has been drained and the appropriate locking devices have been installed.
- (4) Remove the bolts on the access hatch.
- (5) Remove the fish screen located in Penstock No. 2 upstream of TSV-1, and the fish screen located in Penstock No. 1 upstream of TSV-2.

- (6) Provide the necessary video equipment, video tape, and lighting to record the inspection.
- (7) Record on video tape the inspection of TSV-1 and TSV-2, which shall include as a minimum the rubber seal, steel seating ring, condition of the protective coating, and the locking devices on the retaining segments for signs of damage, deterioration, or cavitation.
- (8) Upon receiving approval from the Contract Manager, the Contractor shall reinstall the fish screen.
- (9) Manufacture a new gasket for the access hatch and reinstall the bolts for the hatch. The bolts shall be torqued according to industry standards.
- (10) Upon completion of all the work associated with the TSV-1 and TSV-2, the video tape(s) shall become the property of Public Works.
- g. <u>Inspect Hydraulic Power Control Unit (HPCU-1 and HPCU-2)</u>

#### The Contractor shall:

- (1) Replace the hydraulic oil with the appropriate lubricant indicated in Exhibit J, San Gabriel Dam Hydroelectric Project Lubricants and Capacities.
- (2) Utilize a transfer pump with new filters of the proper type and size to transfer the lubricant from a new 55-gallon drum to the HPCU.
- (3) Inspect both pressure filters and replace if necessary.
- (4) Inspect both suction strainers and replace if necessary.
- (5) Inspect the return filter and replace if necessary.
- (6) Clean the surface of the HPCU after all work has been completed.
- h. Inspect 16-Inch By-Pass Ball Valve (BP-1)

Check the valve for proper hydraulic and mechanical operation.

i. <u>Inspect 18-Inch Monojet Fixed Cone Sleeve Valve (B-2)</u>

Check the valve for proper mechanical and electrical operation.

j. Inspect 30-Inch Butterfly Valve (B-2A) and 4-Inch Gate Valve

Check both valves for proper mechanical operation.

k. PLC Program for Back-up Valves

Provide maintenance and technical support for the PLC software that operates the back-up valves and all related appurtenances.

I. <u>Maintenance and Inspection Report</u>

Upon completion of the work, the Contractor shall supply Public Works with a type written summary status report of the maintenance and inspection work performed. The report shall state as a minimum the following:

- (1) An executive summary that shall prioritize and review all major discrepancies.
- (2) The "as found" and "as left" measurements for the following various turbine components:
  - (a) Front and back runner to wear ring clearance.
  - (b) Runner run out.
  - (c) Turbine (generator) thrust.
  - (d) Wicket gate contact area.
  - (e) Shaft sealing box clearance.
  - (f) Generator shaft alignment to the turbine.
- (3) The "as found" and "as left" measurements for the generator Polarization Index and Air Gap.
- (4) The results of the following:
  - (a) Oil/water heat exchanger pressure test.

- (b) Diametric clearance measurements and contact for both radial bearings and thrust bearings.
- (c) Vibration signature test.
- (d) Provide a DVD with the recording of the turbine shut-off valve inspection.
- (e) Report any recommendations for future inspections and repairs of the turbine, generator, turbine shut-off valve, and hydraulic power control unit.

#### m. <u>Vibration Test</u>

Due to lack of water, or other circumstances, the Contractor may not be able to perform the required vibration signature test as specified. The Contractor shall be required to return to the site and perform the vibration signature test when adequate water is available to operate the units or the circumstances preventing the testing has been resolved. Public Works will pay the Contractor according to Contractor's submitted Form PW-2, Schedule of Prices, when the vibration signature test is performed. If the vibration signature test is not acceptable, the Contractor shall correct the problem and perform a vibration signature test after all work has been completed at no additional charge to Public Works.

- n. <u>Public Works Responsibilities During Mechanical Maintenance for Unit 1 (Silo Unit) and Unit 2 (In-Line Unit)</u>
  - (1) Upon contractor's request, Public Works will provide an electrician(s) on an as-needed basis, who is familiar with the facility. The Public Works electrician will only perform lockout/blockout procedures to allow the Contractor to perform his work in a safe manner. The Public Works electrician will not perform any work described in the Scope of Work.
  - (2) Public Works' electrician(s) will only be available Monday through Friday, 9 a.m. to 2 p.m.
  - (3) If a Public Works electrician(s) is needed, Public Works requires 24-hour notification. Any work required by Public Works' electrician(s) outside of the above-mentioned time frame will require prior approval by Public Works.

- (4) Public Works will provide the Contractor with paint for the purpose of touch-up painting.
- (5) Public Works will dispose of the used lubricants generated as a result of performing the above-mentioned work after they have been placed in properly labeled containers and all work has been completed.

## 3. Task 2 - Electrical Maintenance and Inspection (Optional)

Public Works reserves the right to implement Task 2 as an Optional Task upon reasonable notice. Should Public Works elect this option, the Contract Manager will issue a written NTP for Task 2.

a. Contractor's Responsibilities for Electrical Maintenance of Unit 1 (Silo Unit) and Unit 2 (In-Line Unit).

The Contractor shall be responsible for the lockout and tagout procedures that shall be coordinated with Public Works prior to the Contractor performing the following:

(1) Inspect Load Break Interrupter Switches

The Contractor shall:

- (a) Service and inspect each load break interrupter switch for proper contact alignment, blade wipe, blade pressure, and blade hinge spring tension.
- (b) Test each vacuum bottle for voltage breakdown across the electrodes using an A.C., 60Hz high-potential tester, and adjust to factory specifications.
- (c) Clean and vacuum each interrupter switch and all moveable devices shall be relubricated in accordance with the manufacturer's specifications.
- (d) Remove all fuses, and the fuse ferrules and fuse clips shall be checked for signs of damage or overheating.
- (e) Measure primary contact resistance of each phase measured with a low resistance tester and the data shall be recorded.
- (f) After cleaning and lubricating each interrupter switch,
  San Gabriel Dam Hydroelectric
  -A.11- Project Maintenance & Inspection Services
  (2012-AN031)

conduct a phase-to-phase and phase-to-ground insulation resistance test using an insulation resistance tester of appropriate voltage.

### (2) Inspect Medium Voltage Switchgear

#### The Contractor shall:

- (a) Clean and inspect all switchgear cubicles and circuit breaker compartments shall be cleaned and inspected for evidence of damaged or defective devices, such as loose insulators, supports, and other components.
- (b) Inspect all compartment space heaters for proper operation.
- (c) Check all over current protective device compartment function positions for proper operation.
- (d) Inspect all busses and bus joints for evidence of overheating, electrical tracking, or weakening of the insulation supports wherever possible (switchgear circuits de-energized).
- (e) Inspect and lubricate all compartment panel door latches as required.
- (f) Clean, inspect, and lubricate all switch mechanisms as per factory specifications or recommendations.
- (g) Clean and inspect all potential transformers, control power transformers, and related compartments. All PT and CPT fuse clips and fuse ferrules shall be inspected and cleaned.
- (h) Inspect, clean, and tighten all ground conductors and connections to ensure their adequacy.
- (i) Inspect all stress cones and cable terminations for tracking, deterioration, or other signs of failure.

## (3) <u>Inspect Medium Voltage Vacuum Circuit Breakers</u>

#### The Contractor shall:

- (a) Remove all circuit breakers from their cubicles, clean, inspect for damage or worn components, lubricate, and adjust per manufacturer's specifications. All springs, cams, latches, bearings, and other mechanical parts shall be inspected for excessive wear, damage, and proper adjustment.
- (b) Test each vacuum bottle for voltage breakdown across the electrodes using an A.C., 60HZ high-potential tester.
- (c) Clean and inspect all phase barriers for cracks, tracking, or other defects.
- (d) Inspect all secondary disconnects, auxiliary relays, and interlocks for proper operation.
- (e) After cleaning, perform a phase-to-phase and phase-to-ground insulation resistance test and record the data.
- (f) Check each circuit breaker for proper mechanical and electrical operation.
- (g) Clean, inspect, and lubricate all circuit breaker elevating mechanisms.
- (h) After the circuit breakers have been serviced and tested, perform an operational/functional trip verification test by rotating the disc element or closing the contacts of each circuit protective relay in order to trip each circuit breaker.

# (4) Inspect Circuit Protective Relays

The Contractor shall clean, inspect, adjust, service, and test all protective relays in accordance with the manufacturer's specifications. In addition, the Contractor shall:

- (a) Check all protective relays for metal chips and dirt particles lodged between the induction disc and operating magnets.
- (b) Check all protective relay induction discs for proper alignment and end play in the upper and lower bearings.
- (c) Check all protective relay instantaneous and seal-in units for proper contact alignment and for satisfactory operation of the indicating flags.
- (d) Check all stationary contacts of the indication discs for proper position in relation to the zero time dial setting.
- (e) Check all protective relay internal wiring connections, and the relay case external connections for proper tightness or other discrepancies.
- (f) Inspect all protective relay contacts for excessive pitting, burning, or discrepancies.
- (g) Check all protective relay contacts for proper circuit continuity.
- (h) Check and adjust the minimum pick-up or operating point of each relay, as required.
- (i) Check and adjust each circuit protective relay to correspond to the manufacturer's operating time/current curves at two and three times the relay current setting.
- (j) Test all seal-in instantaneous units for proper electrical operation and calibration.
- (k) Check all RTD relays, safety devices, and sensors for proper operation, and settings. Each shall have a field data sheet showing the initial "as found" and final "as left" adjustments necessary to conform to the manufacturer's settings.

## (5) Inspect Meter and Instrumentation

The Contractor shall:

- (a) Test each indicating meter by applying voltage and/or current to the meter circuit. Using a standard test instrument, indicating meters shall be checked for calibration at five cardinal points across the meter scale.
- (b) Check all voltmeter and ammeter switches for proper operation.
- (c) Clean and inspect all voltage transformers, control power transformers, and related compartments. All PT and CPT fuse clips and fuse ferrules shall be inspected and cleaned.

## (6) <u>Inspect Battery Bank and Equipment</u>

The Contractor shall test and inspect the 125V battery bank and equipment as follows:

- (a) Inspect for physical damage and evidence of corrosion and clean the surface of the system.
- (b) Check intercell bus link integrity and verify tightness of bolted connections and/or cable connections.
- (c) Measure intercell connection resistance.
- (d) Verify proper battery support racks, mounting, anchorage, clearance, and the presence of flame arresters.
- (e) Measure system charging voltage and each individual cell voltage with charge energized and in float mode of operation.
- (f) Perform a capacity load test in accordance with manufacturer's specifications.

## (7) <u>Inspect Liquid Filled Transformers</u>

#### The Contractor shall:

- (a) Visually inspect the transformer for damaged insulators, damaged bushings, or indications of overheating at the low voltage and high voltage cable terminations.
- (b) Inspect the transformer pressure relief device, tap changer, liquid level indicator, and cooling tubes for leaks or other discrepancies.
- (c) Remove an insulating liquid sample from each transformer and perform the following test:
  - Dielectric Breakdown Voltage: ASTM D-877 or D-1816
  - ii. Dissolved Gas Analysis (DGA): ASTM D-3616
  - iii. Acid Neutralization Number: ASTM D-974
  - iv. Interfacial Tension: ASTM D-971
  - v. Color: ASTM D-1500
  - vi. Parts per Million Water: ASTM D-1533B
- (d) Verify correct operation of auxiliary devices such as cooling fans, temperature alarms, etc.
- (e) Perform insulation-resistance, winding-to-winding, and windings-to-ground tests.
- (f) Perform a turns-ratio test between windings at designated tap position.
- (g) Check torque settings on the landing lugs.
- (h) Clean the transformer surface.
- (i) Test transformer temperature gauge and alarm circuit.

#### (8) Inspect 5KV Cable Testing

The Contractor shall:

- (a) Inspect exposed cable sections for physical damage.
- (b) Inspect all accessible shield groundings, cable supports, and terminations.
- (c) Inspect for proper fireproofing in common cable areas, where possible.
- (d) Test the cable shields for continuity.
- (e) Disconnect 5KV cable connections.
- (f) Perform D.C. Hypotential Test. The Contractor shall:
  - i. Individually test each with all other conductors grounded. All shields shall be grounded.
  - ii. Apply the D.C. Hypotential in at least eight equal increments until the maximum test voltage of 19KV is reached. D.C. leakage current shall be recorded at each step after a constant stabilization time consistent with system charging current decay.
  - iii. Make a graphic plot of leakage current (X axis) versus voltage (Y axis) at each increment.
  - iv. Raise the test conductor to a maximum test voltage and hold for a total of ten minutes. Readings of leakage current (Y axis) versus time (X axis) shall be recorded and plotted on 30-second intervals for the first two minutes and every minute thereafter.
  - v. Reduce the applied potential in the test conductor to zero by grounding for a period adequate to drain all insulation stored potential.
- (g) Reterminate 5KV cables and wrap connections with high voltage tape.
- (h) Be responsible for all switching and reenergizing of
  San Gabriel Dam Hydroelectric
  -A.17Project Maintenance & Inspection Services
  (2012-AN031)

the equipment after all work has been completed which shall be coordinated with Public Works.

#### (9) Inspect Replacement of Air Filters

The Contractor shall:

- (a) Remove and replace all existing air filters that affect air circulation for the switchgear house and bus ducts with filters of a quality that is standard for such switchgear house facilities. The filters to be replaced include, but are not limited to, those located in walls, doors, side panels, bus ducts, and filter banks.
- (b) Supply all necessary replacement air filters.
- (c) Make note of the condition and sufficiency of the air filters removed, and include these observations in the Engineering Appraisal Report with suggestions for correcting any insufficiency.

## (10) Engineering Appraisal Report

Upon completion of the inspection and appraisal testing of the electrical equipment, an engineering report shall be submitted by the Contractor for Public Works' approval. The report shall include at a minimum the following:

- (a) An executive summary that prioritizes and reviews all major discrepancies.
- (b) An introduction section that details the objective for the inspection and appraisal work, a detailed list of the substations equipment tested, diagnostic test equipment tested, and diagnostic test equipment used at the jobsite.
- (c) A section that thoroughly reviews equipment discrepancies and makes recommendations for correcting all of the equipment problems found during the inspection and appraisal testing.
- (d) A separate field data test sheet for each load-break switch, transformer, circuit breaker, protective device, etc., that gives complete nameplate information.

## (11) Other Inspection and Maintenance

Upon issuance of NTP, Contractor shall be responsible for, but not limited to, the following:

- (a) Maintaining the flood alarm in the Silo.
- (b) Ensuring the signal from the pull box to Azusa conduit level transmitter and data logger function properly.
- (c) Ensuring that space heaters in the 12KV breaker cabinet remain functional keeping condensation from accumulating that might cause drastic impact on electrical equipment.
- (d) Ensuring control equipment is operable (operator's workstation, air conditioner, and horn of the alarm).
- (e) Replacing the Kirk Key when it is bent or shows any sign of damages. The Kirk Key is essential in controlling the generator circuit breakers.
- (f) Providing preventive and scheduled maintenance for all equipment.
- (g) Maintaining the function and normal operation of circuit boards and modules.
- (h) Providing diagnosis of hardware failures to the faulted board or module.
- (j) Removing and replacing the removable circuit boards and modules when needed.
- (k) Performing emergency maintenance and restoration procedures.
- (I) Checking the switchgear cubicle ventilation.
- (m) Inspecting and maintaining the "Smart" Pressure Transmitters, Pressure Switches, Level Transmitters, and Dry Well Level Switches.
- (n) Inspecting and maintaining the Uninterruptible Power Supply (UPS) Systems for both Unit 1 and Unit 2.

(o) Inspecting and maintaining the Controller HMI Systems for both Unit 1 and Unit 2.

## (12) Software and Hardware Inspection

Upon issuance of NTP, Contractor's responsibilities are to maintain and troubleshoot any software or hardware related problems. No alteration of original software is permitted. Contractor shall be responsible for being familiar with the software and hardware listed below, but not limited to the following:

#### (a) Software

- Operator Interface INTELLUTION: Proficy HMI IFIX, 900 I/O, Developer Plus (IN-IFIX-900-DV-PLS)
- I/O Driver INTELLUTION: IFIX/FIX, Modicon Modbus Ethernet, Windows NT/2000/XP (IN-DRV-MBE)
- Operator Interface INTELLUTION: Proficy HMI IFIX Iclient, Runtime (IN-IFIX-ICL-RT)
- Operator Interface INTELLUTION: Proficy Real-Time Information Portal, Enterprise, 2 Users (IN-INFOA-ENT-002)
- Reporting BUSINESS OBJECTS: Crystal Reports XI, Developer Edition, 1 user license, Windows (W-1RD-E-WX-00)
- Configuration Lsconfig, CD-Rom
- Communication KEPWARE: Kepserverex Opc Server, Modicon Modus Suite (OPC-MDBUS-NA00)
- PLC Programming SCHNEIDER AUTOMATION: Unity
- Pro X Large (XL) Version 2.1, Premium, Atrium, Quantum Processors, CD-Rom, Single User License (UNYSPUEFUCD21)

- Maintenance EXECUTIVE SOFTWARE: Diskeeper Professional Edition, Version 9.0 (109744)
- Transmitter, Pressure FOXBORO: 4-20MA
   Output, 10-300 PSI, I/A Series, Configurable,
   Bracket-Mounted Gauge, Hart Communication
   Protocol (IGP20-T22D1-M1-L1)

## (b) <u>Hardware</u>

- Computer Desktop DELL: Optiplex GX620
- UPS System MGE UPS Systems 85220 Pulsar Ex Rt, 2200 VA, 120VAC IN / 120 VAC OUT
- UPS System MGE UPS Systems 85000 Pulsar 2200, Exb Battery Extension
- UPS System MGE UPS Systems 108-00224-00 Extension Battery Cable, EX20 EX 30 Exb
- (14). Public Works Responsibility for Electrical Maintenance of Unit No. 1 (Silo Unit) and Unit No. 2 (In-Line Unit)
  - (1) Public Works will upon Contractor's request, provide an electrician(s) who is knowledgeable with the facility on an as needed basis. The Public Works electrician will only perform lockout/blockout procedures to allow the Contractor to perform his work in a safe manner. The Public Works electrician will not perform any work described in the Scope of Work.
  - (2) Public Works electrician(s) will only be available Monday through Friday, 9 a.m. to 2 p.m.
  - (3) If Public Works electrician(s) is needed, Public Works requires 24-hour notification. Any work required by Public Works electrician(s) outside of the above mentioned time frame, will require prior approval by Public Works.
  - (4) Upon Public Works prior approval, the Contractor shall be

responsible for deenergizing the equipment to be serviced including, but not limited to, the lockout and tagout procedures.

- (5) Public Works will be responsible for any necessary coordination with Southern California Edison.
- (6) Public Works will provide staff to the Contractor to familiarize the Contractor with any special safety procedures prior to the start of work.
- (7) Public Works will provide the Contractor with all necessary drawings and protective device settings.

# (15). Equipment to be Inspected, Tested, and Calibrated

The electrical equipment to be inspected, tested, and calibrated at the San Gabriel Hydroelectric Project includes:

## No. Description

- 1. (1) Protective Relay Equipment
- 2. (1) System PRIDE relay (99 device)
- 3. (2) Watt-transducer
- 4. (1) Volt-transducer
- (2) Watt meters
- 6. (2) Power factor meters
- 7. (2) Ammeters and switches
- 8. (3) Frequency meters
- 9. (5) Voltmeters and switches
- 10. (1) Syncroscope meter
- 11. (1) 15KV Vacuum load interrupter switch
- 12. (1) Line-up of 5KV switchgear and equipment
- 13. (3) 4.16KV Overcurrent protective devices
- 14. (1) 7,000KVA 12KVA/4160V liquid filled transformer
- 15. (1) Lot of bearing RTD protective relays
- 16. (1) Lot of generator RTD protective relays
- 17. (2) 5KV, 3-Phase sets of cables
- 18. (4) Pressure transducers
- 19. (1) 125V Battery bank and equipment
- 20. (1) 75KVA, 4160/208-120V dry type distribution transformer
- 21. (1) 15KVA, 120-204/480V pad mount transformer
- 22. (1) Speed Sensor for Unit 1
- 23. (1) Speed Sensor for Unit 2

#### E. Additional Work

- 1. The Contract Manager may authorize the Contractor to perform additional work including, but not limited to, repairs and replacements when the need for such work arises due to unanticipated or unforeseen circumstances or to incorporate new or enhanced equipment into the contract work. If the Contract Manager determines such additional work can be obtained in whole or in part by temporarily modifying the Contractor's tasks and work schedules, he or she may direct such modification. Authorized additional work that results in unanticipated labor expenses shall be paid by Public Works at the rates in Form PW-2.
- 2. Prior to performing any additional work, the Contractor shall prepare and submit a written description of the work with an estimate of labor and materials. No additional work shall commence without written authorization from the Contract Manager. However, when a condition threatens imminent injury to the public or damage to property, the Contract Manager may orally authorize the work to be performed upon receiving an oral estimate from the Contractor. Within 24 hours after receiving an oral authorization, the Contractor shall submit a written estimate to the Contract Manager for approval.
- All additional work provided herein shall commence on the specified date established. The Contractor shall proceed diligently to complete said work within the time allotted in the NTP.
- 4. Any alterations of the PLC configuration shall be made by a Licensed Electrical Engineer (PE).

## F. <u>Performance Warranty</u>

Contractor warrants that it shall perform its work in a good and workmanlike manner in accordance with the standards of care and diligence customary in the industry; that any materials installed in County facilities will be new and good quality; and that its work will conform to the Contract requirements. In the event the Contractor fails to perform in accordance with those standards, Contractor shall, at no cost to the County, promptly correct any and all defects in the service and or materials provided hereunder. Contractor shall reimburse the County for all costs incurred as a result of defective or substandard workmanship and/or materials. The warranty period shall be one year from the completion of the Contractor's work.

Contractor is not required to warrant third party materials or equipment. However, the Contractor shall assist Public Works in obtaining pass-through of all warranties and guarantees available for third party materials and equipment.

#### G. Hours and Days of Service

Hours of services shall be primarily performed within the 7 a.m. to 5 p.m. time period, Monday through Friday, each week, except legal holidays, at which time the service shall be done before or after such holiday. Work hours may be altered, when necessary, with the approval of the Director.

At Contract Manager's request, the Contractor may be required to perform emergency work beyond the schedule described above. The work shall be compensated with the appropriate rate of overtime pay during workdays or double pay during weekends and holidays, as shown on form PW-2.

### H. Utilities

The County will provide utilities.

#### I. Storage Facilities

Public Works will provide limited storage facilities for the contractor's equipment and materials, subject to the following restrictions:

- The Contractor shall store only equipment/materials required to fulfill its responsibilities under this contract and at the direction of Contract Manager.
- The Contractor shall not store equipment/materials should the Contractor not have assigned work under this contract for a period greater than two working days.
- The Contractor shall not hold Public Works, District, or County liable or responsible for any damage to contractor's equipment/materials, by whatever means, or for the theft of materials or equipment from the jobsite.
- Public Works will determine facility storage space availability when work is requested.

#### J. Removal of Debris

All debris derived from these services shall be removed from Public Works property and become the property of the Contractor. The Contractor shall dispose of all debris from these services in a legally established area appropriate for type of debris being disposed. Disposal shall be at the Contractor's expense. The Contractor shall not allow any debris from its operations under this Contract to be deposited in the storm drains and/or gutters in violation of the National Pollutant Discharge Elimination System.

The Contractor is advised that due to the nature of this Contract, discarded hazardous waste may be encountered during the performance of this contract. In the event an unknown substance or hazardous material is discovered, the Contractor shall immediately notify the Contract Manager. The Contractor shall NOT attempt to perform any type of hazardous waste remediation not included under the Scope of Work of this Contract, including identifying, containing, cleaning, moving, disposing, etc. The Contractor shall exercise extreme caution in the event unknown waste is encountered.

#### K. Special Safety Requirements

All Contractor's operators shall be expected to observe all applicable State of California Occupational Safety and Health Administration (Cal/OSHA) and Public Works' safety requirements while at Public Works' jobsites.

Hard hats will be worn at all times. Suitable clothing, gloves, and shoes that meet Cal/OSHA requirements are required.

#### L. Maps

See Exhibit F, San Gabriel Dam Hydroelectric Project – Location Map; Exhibit G, San Gabriel Dam Hydroelectric Project – Site Plan; and Exhibit H, San Gabriel Dam Hydroelectric Project – Operational Diagram.

## M. L&S Construction Drawings

A copy of the L&S construction drawings (L & S Electric, Inc.) will be provided to the Contractor for reference after contract award. Exhibit K consists of a list with new equipment installed during the protection systems upgrade, and Exhibit L shows the existing equipment incorporated into the protection systems upgrade.

#### N. Additional Responsibilities of the Contractor

The Contractor shall maintain a valid and active California-issued C-10 State Contractor's License to perform the electrical work during the entire contract term.

#### O. Project Safety Official

The Contractor shall designate in writing a Project Safety Official who shall be thoroughly familiar with the Contractor's Injury and Illness Prevention Program and Code of Safe Practices. The Contractor's Project Safety Official shall be available at all times to abate any potential safety hazards and shall have the authority and responsibility to shut down an operation, if necessary. Failure by the Contractor to provide the required Project Safety Official shall be grounds for the County to direct the cessation of all work activities and operations at no cost to the County until such time as the Contractor is in compliance.

## P. <u>Liquidated Damages</u>

- In any case of the contractor's failure to meet certain specified performance requirements, the County may, in lieu of other remedies provided by law or the contract, assess liquidated damages in specified sums and deduct them from any regularly scheduled payment to the contractor. However, neither the provision of a sum of liquidated damages for nonperformance or untimely or inadequate performance nor the County's acceptance of liquidated damages shall be construed to waive the County's right to reimbursement for damage to its property or indemnification against third-party claims.
- 2. The amounts of liquidated damages have been set in recognition of the following circumstances existing at the time of the formation of the contract:
  - a. All the time limits and acts required to be done by both parties are of the essence of the contract.
  - b. The parties are both experienced in the performance of the contract work.
  - c. The contract contains a reasonable statement of the work to be performed in order that the expectations of the parties to the contract are realized. The expectation of the County is that the work will be performed with due care in a workmanlike, competent, timely, and cost-efficient manner, while the expectation of the Contractor is a realization of a profit through the ability to perform the contract work in accordance with the terms and conditions of the contract at the Proposal price.
  - d. The parties are not under any compulsion to contract.
  - e. The contractor's acceptance of the assessment of liquidated damages against it for unsatisfactory and late performance is by agreement and willingness to be bound as part of the consideration being offered to the County for the award of the contract.
  - f. It would be difficult for the County to prove the loss resulting from nonperformance or untimely, negligent, or inadequate performance of the work.
  - g. The liquidated sums specified represent a fair approximation of the damages incurred by the County resulting from the contractor's failure to meet the performance standard as to each item for which an amount of liquidated damages is specified.

3. The Contractor shall pay Public Works, or Public Works may withhold from monies due the contractor, liquidated damages in the sum of \$1,500 for each consecutive calendar day that the Contractor fails to complete work within the time specified unless otherwise provided in this Contract.

#### SERVICE CONTRACT GENERAL REQUIREMENTS

#### **SECTION 1**

#### INTERPRETATION OF CONTRACT

#### A. Ambiguities or Discrepancies

Both parties have either consulted or had the opportunity to consult with counsel regarding the terms of this Contract and are fully cognizant of all terms and conditions. Should there be any uncertainty, ambiguity, or discrepancy in the terms or provisions hereof, or should any misunderstanding arise as to the interpretation to be placed upon any position hereof or the applicability of the provisions hereunder, neither party shall be deemed as the drafter of this Contract and the uncertainty, ambiguity, or discrepancy shall not be construed against either party.

#### B. Definitions

Whenever in the Request for Proposals, Contract, Scope of Work, Specifications, Terms, Requirements, and/or Conditions the following terms are used, the intent and meaning shall be interpreted as follows:

Agreement. The written, signed accord covering the performance of the requested

<u>Board</u>. The Board of Supervisors of County of Los Angeles and Ex-Officio Board of Supervisors of the Los Angeles County Flood Control District.

Contract. The written agreement covering the performance of the service and the furnishing of labor, materials, supervision, and equipment in the performance of the service. The contract includes the Agreement, Exhibit A, Scope of Work (Specifications); Exhibit B, Service Contract General Requirements; Exhibit C, Internal Revenue Service Notice 1015; Exhibit D, Safely Surrendered Baby Law Posters; Exhibit E, Defaulted Property Tax Reduction Program, and other appropriate exhibits, amendments and change orders. Included are all supplemental agreements amending or extending the service to be performed, which may be required to supply acceptable services specified herein.

<u>Contractor</u>. The person or persons, sole proprietor, partnership, joint venture, corporation, or other legal entity who has entered into an agreement with County to perform or execute the work covered by this Contract.

<u>Contract Work or Work</u>. The entire contemplated work of maintenance and repair to be performed, and services rendered as prescribed in this Contract.

<u>County</u>. Includes County of Los Angeles, County of Los Angeles Department of Public Works, Los Angeles County Road Department, and/or Los Angeles County Engineer.

Day. Calendar day(s) unless otherwise specified.

<u>Direct Employee</u>. Worker employed by Contractor under Contractor's state and federal taxpayer identification.

<u>Director</u>. The Director of Public Works, County of Los Angeles, as used herein, includes the Road Commissioner, County of Los Angeles; County Engineer, County of Los Angeles; Chief Engineer, Los Angeles County Flood Control District; and/or authorized representative(s).

<u>District</u>. Los Angeles County Flood Control District, or Los Angeles County Waterworks Districts, or Los Angeles County Consolidated Sewer Maintenance District.

Employee Leasing. Any agreement to employ any worker, at any tier, that is neither a subcontract nor a direct employee relationship.

<u>Fiscal Year</u>. The 12 month period beginning July 1st and ending the following June 30th.

<u>Maximum Contract Sum</u>. The Maximum Contract Sum is the aggregate total amount of compensation authorized by the Board.

<u>Proposal</u>. The written materials that a Proposer submits in response to a solicitation document (Request for Proposals).

<u>Proposer</u>. Any individual, person or persons, sole proprietor, firm, partnership, joint venture, corporation, or other legal entity submitting a Proposal for the work, acting directly or through a duly authorized representative.

Public Works. County of Los Angeles Department of Public Works.

<u>Solicitation</u>. Request for Proposals, Invitation for Bids, Request for Statement of Qualifications, or Request for Quotation.

<u>Specifications</u>. The directions, provisions, and requirements contained herein, as supplemented by such special provisions as may be necessary pertaining to method, manner, and place of performing the work under this Contract.

<u>Subcontract</u>. An agreement by the Contractor to employ a Subcontractor at any tier; to employ or agree to employ a Subcontractor, at any tier.

<u>Subcontractor</u>. Any individual, person or persons, sole proprietor, firm, partnership, joint venture, corporation, or other legal entity furnishing supplies, services of any nature, equipment, and/or materials to Contractor in furtherance of the Contractor's performance of this Contract, at any tier, under oral or written agreement.

# C. <u>Headings</u>

The headings herein contained are for convenience and reference only and are not intended to define or limit the scope of any provision thereof.

#### **SECTION 2**

# STANDARD TERMS AND CONDITIONS PERTAINING TO CONTRACT ADMINISTRATION

#### A. Amendments

- 1. For any change which affects the scope of work, contract sum, payments, or any term or condition included in this Contract, an amendment shall be prepared and executed by Contractor and the Board or if delegated by the Board, the Director and Contractor.
- The Board or County's Chief Executive Officer or designee may require the addition and/or change of certain terms and conditions in this Contract during the term of this Contract. County reserves the right to add and/or change such provisions as required by the Board or the Chief Executive Officer. To implement such changes, an amendment or a change order to this Contract shall be prepared by Public Works and signed by the Contractor.
- 3. County may, at its sole discretion, authorize extensions of time to this Contract's term. Contractor agrees that such extensions of time shall not change any other term or condition of this Contract during the period of such extensions. To implement an extension of time, an amendment to this Contract shall be prepared and executed by Contractor and the Board or if delegated by the Board, the Director and Contractor. To the extent that extensions of time for Contractor performance do not impact either scope or amount of this Contract, Public Works may, at its sole discretion, grant Contractor extensions of time, provided the aggregate of all such extensions during the life of this Contract shall not exceed 120 days.
- 4. For any change which does not materially affect the scope of work or any other term or condition included under this Contract, a change order shall be prepared by Public Works and signed by the Contractor. If the change order is prepared by the Contractor, it shall be approved by Public Works and signed by the Contractor and the County.

#### B. Assignment and Delegation

1. Contractor shall not assign its rights or delegate its duties under this Contract, or both, whether in whole or in part, without the prior written consent of County, in its discretion, and any attempted assignment or delegation without such consent shall be null and void. For purposes of this paragraph, County consent shall require a written amendment to this Contract, which is formally approved and executed by Contractor and the Board or if delegated by the Board, the Director and Contractor.

Any payments by County to any approved delegate or assignee on any claim under this Contract shall be deductible, at County's sole discretion, against the claims which Contractor may have against County.

- Shareholders, partners, members, or other equity holders of Contractor may transfer, sell, exchange, assign, or divest themselves of any interest they may have therein. However, in the event any such sale, transfer, exchange, assignment, or divestment is effected in such a way as to give majority control of Contractor to any person(s), corporation, partnership, or legal entity other than the majority controlling interest therein at the time of execution of this Contract, such disposition is an assignment requiring the prior written consent of County in accordance with applicable provisions of this Contract.
- 3. Any assumption, assignment, delegation, or takeover of any of Contractor's duties, responsibilities, obligations, or performance of same by any entity other than Contractor, whether through assignment, subcontract, delegation, merger, buyout, or any other mechanism, with or without consideration for any reason whatsoever without County's express prior written approval, shall be a material breach of this Contract, which may result in the suspension or termination of this Contract. In the event of such a termination, County shall be entitled to pursue the same remedies against Contractor as it could pursue in the event of default of Contractor.

# C. <u>Authorization Warranty</u>

Contractor represents and warrants that the person(s) executing this Contract for Contractor is an authorized agent who has actual authority to bind Contractor to each and every term, condition, and obligation of this Contract and that all requirements of Contractor have been fulfilled to provide such actual authority.

# D. Budget Reduction

In the event that the County's Board of Supervisors adopts, in any fiscal year, a County Budget which provides for reductions in the salaries and benefits paid to the majority of County employees and imposes similar reductions with respect to County Contracts, the County reserves the right to reduce its payment obligation under this Contract correspondingly for that fiscal year and any subsequent fiscal year during the term of this Contract (including any extensions), and the services to be provided by the Contractor under this Contract shall also be reduced correspondingly. Except as set forth in the preceding sentence, the Contractor shall continue to provide all of the services set forth in this Contract. The County's notice to the Contractor regarding said reduction in payment obligation shall be provided within 30 calendar days of the Board's approval of such actions.

#### E. <u>Complaints</u>

Contractor shall develop, maintain, and operate procedures for receiving, investigating, and responding to any complaints by any individual.

- Within 12 business days after this Contract's effective date, Contractor shall provide County with Contractor's policy for receiving, investigating, and responding to any complaints by any individual.
- 2. County will review Contractor's policy and provide Contractor with approval of said plan or with requested changes.
- 3. If County requests changes in Contractor's policy, Contractor shall make such changes and resubmit the plan within five business days for County approval.
- If, at any time, Contractor wishes to change Contractor's policy, Contractor shall submit proposed changes to County for approval before implementation.
- 5. Contractor shall preliminarily investigate all complaints and notify the Contract Manager of the status of the investigation within five business days of receiving the complaint.
- When complaints cannot be resolved informally, a system of follow-through shall be instituted which adheres to formal plans for specific actions and strict time deadlines.
- 7. Copies of all written responses shall be sent to the Contract Manager within three business days of mailing to the complainant.

# F. Compliance with Applicable Laws

- Contractor shall comply with all applicable Federal, State, and local laws, rules, regulations, ordinances, or directives, and all provisions required thereby to be included in this Contract are hereby incorporated by reference.
- 2. Contractor shall defend, indemnify, and hold the County of Los Angeles, its Special Districts, Elected Officials, Officers, Agents, Employees, and Volunteers harmless from and against any and all liability, damages, costs, and expenses, including, but not limited to, defense costs and attorneys' fees arising from or related to any violation on the part of Contractor or its employees, agents, or Subcontractors of any such laws, rules, regulations, ordinances, or directives.

# G. Compliance with Civil Rights Laws

Contractor hereby assures that it will comply with Subchapter VI of the Civil Rights Act of 1964, 42 USC Sections 2000 (e)(1) through 2000 (e)(17), to the end that no person shall, on the grounds of race, creed, color, sex, religion, ancestry, age, condition of physical disability, marital status, political affiliation, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under this Contract or under any project, program, or activity supported by this Contract. Contractor shall comply with its EEO Certification (Form PW-7).

#### H. Confidentiality

- Contractor shall maintain the confidentiality of all records obtained from County under this Contract in accordance with all applicable Federal, State, and local laws, ordinances, regulations, and directives relating to confidentiality.
- 2. Contractor shall inform all of its officers, employees, agents, and Subcontractors providing services hereunder of the confidentiality provisions of this Contract.

#### I. Conflict of Interest

- No County employee whose position with County enables such employee to influence the award of this Contract or any competing contract, and no spouse or economic dependent of such employee shall be employed in any capacity by Contractor or have any other direct or indirect financial interest in this Contract. No officer or employee of Contractor who may financially benefit from the performance of the work hereunder shall in any way participate in County's approval, or ongoing evaluation, of such work, or in any way attempt to unlawfully influence County's approval or ongoing evaluation of such work.
- 2. Contractor represents and warrants that it is aware of, and its authorized officers have read, the provisions of Los Angeles County Code, Section 2.180.010, "Certain Contracts Prohibited," and that execution of this Agreement will not violate those provisions. Contractor shall comply with all conflict of interest laws, ordinances, and regulations now in effect or hereafter to be enacted during the term of this Contract. Contractor warrants that it is not now aware of any facts that create a conflict of interest. If Contractor hereafter becomes aware of any facts that might reasonably be expected to create a conflict of interest, it shall immediately make full written disclosure of such facts to County. Full written disclosure shall include, but is not limited to, identification of all persons implicated and a complete description of all relevant circumstances. Failure to comply with

the provisions of this paragraph shall be a material breach of this Contract subjecting Contractor to either contract termination for default or debarment proceedings or both. Contractor must sign and adhere to the "Conflict of Interest Certification" (Form PW-5).

J. Consideration of Hiring County Employees Targeted for Layoffs or Former County Employee on Reemployment List

Should Contractor require additional or replacement personnel after the effective date of this Contract to perform the services set forth herein, Contractor shall give first consideration for such employment openings to qualified permanent County employees who are targeted for layoff or qualified, former County employees who are on a reemployment list during the life of this Contract.

# K. Consideration of Hiring GAIN and GROW Participants

- 1. Should Contractor require additional or replacement personnel after the effective date of this Contract, Contractor shall give consideration for any such employment openings to participants in County's Department of Public Social Services' Greater Avenues for Independence (GAIN) Program and General Relief Opportunity for Work (GROW) Program who meet Contractor's minimum qualifications for the open position. For this purpose, consideration shall mean that Contractor will County will refer GAIN and GROW interview qualified candidates. participants by category to Contractor.
- 2. In the event that both laid-off County employees and GAIN and GROW participants are available for hiring, County employees shall be given first priority.
- L. <u>Contractor's Acknowledgment of County's Commitment to Child Support</u> Enforcement

Contractor acknowledges that County places a high priority on the enforcement of child support laws and the apprehension of child support evaders. Contractor understands that it is County's policy to encourage all County Contractors to voluntarily post County's L.A.'s Most Wanted: Delinquent Parents poster in a prominent position at Contractor's place of business. County's Child Support Services Department will supply Contractor with the poster to be used.

#### M. Contractor's Charitable Activities Compliance

The Supervision of Trustees and Fundraisers for Charitable Purposes Act regulates entities receiving or raising charitable contributions. The "Nonprofit Integrity Act of 2004" (SB 1262, Chapter 919) increased Charitable Purposes Act requirements. By requiring Contractors to complete the

Charitable Contributions Certification (Form PW-12), County seeks to ensure that all County Contractors which receive or raise charitable contributions comply with California law in order to protect County and its taxpayers. A Contractor which receives or raises charitable contributions without complying with its obligations under California law commits a material breach subjecting it to either contract termination for default or debarment proceedings or both. (Los Angeles County Code Chapter 2.202)

# N. <u>Contractor's Warranty of Adherence to County's Child Support Compliance Program</u>

- Contractor acknowledges that County has established a goal of ensuring that all individuals who benefit financially from County through contracts are in compliance with their court-ordered child, family, and spousal support obligations in order to mitigate the economic burden otherwise imposed upon County and its taxpayers.
- 2. As required by County's Child Support Compliance (Los Angeles County Code Chapter 2.200), and without limiting Contractor's duty under this Contract to comply with all applicable provisions of law, Contractor warrants that it is now in compliance and shall during the term of this Contract maintain compliance with the employment and wage reporting requirements as required by the Security (42 USC Section Social Act California Unemployment Insurance Code Section 1088.5, and shall implement all lawfully served Wage and Earnings Withholding Orders or Support Services Department Notices of Wage Earnings Assignment for Child, Family, or Spousal Support, pursuant to Code of Civil Procedure Section 706.031 and Family Code Section 5246(b).

# O. County's Quality Assurance Plan

County or its agent will evaluate Contractor's performance under this Contract on not less than an annual basis. Such evaluation will include assessing Contractor's compliance with all this Contract's terms and conditions and performance standards. Contractor deficiencies which County determines are severe or continuing and that may place performance of this Contract in jeopardy, if not corrected, will be reported to the Board. The report will include improvement/corrective action measures taken by County and Contractor. If improvement does not occur consistent with the corrective action measures, County may suspend or terminate this Contract for default or impose other penalties as specified in this Contract.

# P. <u>Damage to County Facilities, Buildings, or Grounds</u>

- Contractor shall repair, or cause to be repaired, at its own cost, any and all damage to County facilities, buildings, or grounds caused by Contractor or employees or agents of Contractor.
- Such repairs shall be made immediately after Contractor has become aware of such damage, but in no event later than 30 days after the occurrence. If Contractor fails to make timely repairs, County may make any necessary repairs. All costs incurred by County, as determined by County, for such repairs shall be repaid by Contractor by cash payment upon demand. County may deduct from any payment otherwise due Contractor for costs incurred by County to make such repairs.

#### Q. Employment Eligibility Verification

- 1. Contractor warrants that it fully complies with all Federal and State statutes and regulations regarding the employment of aliens and others and that all of its employees performing work under this Contract meet the citizenship or alien status requirements set forth in Federal and State statutes and regulations. Contractor shall obtain, from all covered employees performing services hereunder, all verification and other documentation of employment eligibility status required by Federal and State statutes and regulations including, but not limited to, the Immigration Reform and Control Act of 1986 (P.L. 99-603), or as they currently exist and as they may be hereafter amended. Contractor shall retain all such documentation for all covered employees for the period prescribed by law.
- 2. Contractor shall, defend, and hold harmless, the County of Los Angeles, its Special Districts, Elected Officials, Officers, Agents, Employees, and Volunteers, from employer sanctions and any other liability which may be assessed against Contractor or County or both in connection with any alleged violation of Federal or State statutes or regulations pertaining to the eligibility for employment of persons performing services under this Contract.

#### R. <u>Facsimile Representations</u>

At the discretion of County, County may agree to regard facsimile representations of original signatures of Contractor's authorized officers, when appearing in appropriate places on the change notices and amendments prepared pursuant to this Exhibit's Amendments, and received via communications facilities, as legally sufficient evidence that such original signatures have been affixed to change notices and amendments to this Contract, such that the Contractor need not follow up facsimile transmissions of

such documents with subsequent (nonfacsimile) transmission of "original" versions of such documents.

# S. Fair Labor Standards

Contractor shall comply with all applicable provisions of the Federal Fair Labor Standards Act and shall indemnify, defend, and hold harmless the County of Los Angeles, its Special Districts, Elected Officials, Officers, Agents, Employees, and Volunteers from any and all liability, including, but not limited to, wages, overtime pay, liquidated damages, penalties, court costs, and attorneys' fees arising under any wage and hour law, including, but not limited to, the Federal Fair Labor Standards Act, for work performed by Contractor's employees for which County may be found jointly or solely liable.

#### T. Force Majeure

- Neither party shall be liable for such party's failure to perform its obligations under and in accordance with this Contract, if such failure arises out of fires, floods, epidemics, quarantine restrictions, other natural occurrences, strikes, lockouts (other than a lockout by such party or any of such party's subcontractors), freight embargoes, or other similar events to those described above, but in every such case the failure to perform must be totally beyond the control and without any fault or negligence of such party (such events are referred to in this sub-paragraph as "force majeure events").
- 2. Notwithstanding the foregoing, a default by a subcontractor of Contractor shall not constitute a force majeure event, unless such default arises out of causes beyond the control of both Contractor and such subcontractor, and without any fault or negligence of either of them. In such case, Contractor shall not be liable for failure to perform, unless the goods or services to be furnished by the subcontractor were obtainable from other sources in sufficient time to permit Contractor to meet the required performance schedule. As used in this sub-paragraph, the term "subcontractor" and "subcontractors" mean subcontractors at any tier.
- 3. In the event Contractor's failure to perform arises out of a force majeure event, Contractor agrees to use commercially reasonable best efforts to obtain goods or services from other sources, if applicable, and to otherwise mitigate the damages and reduce the delay caused by such force majeure event.

# U. Governing Laws, Jurisdiction, and Venue

This Contract shall be governed by, and construed in accordance with the laws of the State of California. To the maximum extent permitted by applicable law, Contractor and County agree and consent to the exclusive jurisdiction of the courts of the State of California for all purposes concerning this Contract and further agree and consent that venue of any action brought in connection with or arising out of this Contract, shall be exclusively in the County of Los Angeles.

# V. <u>Most Favored Public Entity</u>

If the Contractor's prices decline, or should the Contractor at any time during the term of this Contract provide the same goods or services under similar quantity and delivery conditions to the State of California or any county, municipality, or district of the State at prices below those set forth in this Contract, then such lower prices shall be immediately extended to the County.

#### W. Nondiscrimination and Affirmative Action

- Contractor certifies and agrees that all persons employed by it, its affiliates, subsidiaries, or holding companies are and shall be treated equally without regard to or because of race, color, religion, ancestry, national origin, sex, age, physical or mental disability, marital status, or political affiliation, in compliance with all applicable Federal and State antidiscrimination laws and regulations.
- 2. Contractor shall certify to, and comply with, the provisions of Contractor's EEO Certification (Form PW-7).
- 3. Contractor shall take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to race, color, religion, ancestry, national origin, sex, age, physical or mental disability, marital status, or political affiliation, in compliance with all applicable Federal and State antidiscrimination laws and regulations. Such action shall include, but not be limited to, employment, upgrading, demotion, transfer, recruitment or recruitment advertising, layoff or termination, rates of pay or other forms of compensation, and selection of training, including apprenticeship.
- 4. Contractor certifies and agrees that it will deal with its Subcontractors, bidders, or vendors without regard to or because of race, color, religion, ancestry, national origin, sex, age, physical or mental disability, marital status, or political affiliation.
- 5. Contractor certifies and agrees that it, its affiliates, subsidiaries, or holding companies shall comply with all applicable Federal and State laws and

regulations to the end that no person shall, on the grounds of race, color, religion, ancestry, national origin, sex, age, physical or mental disability, marital status, or political affiliation, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under this Contract or under any project, program, or activity supported by this Contract.

- 6. Contractor shall allow County representatives access to Contractor's employment records during regular business hours to verify compliance with the provisions of this paragraph when so requested by County.
- 7. If County finds that any of the above provisions have been violated, such violation shall constitute a material breach of this Contract upon which County may terminate for default or suspend this Contract. While County reserves the right to determine independently that the antidiscrimination provisions of this Contract have been violated, in addition, a determination by the California Fair Employment Practices Commission or the Federal Equal Employment Opportunity Commission that Contractor has violated Federal or State antidiscrimination laws or regulations shall constitute a finding by County that Contractor has violated the antidiscrimination provisions of this Contract.
- 8. The parties agree that in the event Contractor violates any of the antidiscrimination provisions of this Contract, County shall, at its sole option, be entitled to a sum of \$500 for each violation pursuant to California Civil Code Section 1671 as liquidated damages in lieu of terminating or suspending this Contract.

#### X. Nonexclusivity

Nothing herein is intended nor shall be construed as creating any exclusive arrangement with Contractor. This Contract shall not restrict County from acquiring similar, equal, or like goods and/or services from other entities or sources.

# Y. No Payment for Services Provided Following Expiration/Suspension/Termination of Contract

Contractor shall have no claim against County for payment of any money or reimbursement, of any kind whatsoever, for any service provided by Contractor after the expiration, suspension, or other termination of this Contract. Should Contractor receive any such payment, it shall immediately notify County and shall immediately repay all such funds to County. Payment by County for services rendered after expiration/suspension/termination of this Contract shall not constitute a waiver of County's right to recover such payment from

Contractor. This provision shall survive the expiration/suspension/termination of this Contract.

#### Z. Notice of Delays

Except as otherwise provided under this Contract, when either party has knowledge that any actual or potential situation is delaying or threatens to delay the timely performance of this Contract, that party shall, within one business day, give notice thereof, including all relevant information with respect thereto, to the other party.

#### AA. Notice of Disputes

Contractor shall bring to the attention of the Contract Manager any dispute between County and Contractor regarding the performance of services as stated in this Contract. If the Contract Manager is not able to resolve the dispute. the Director will resolve it.

#### BB. Notice to Employees Regarding the Federal Earned Income Credit

Contractor shall notify its employees, and shall require each Subcontractor to notify its employees, that they may be eligible for the Federal Earned Income Credit under the Federal income tax laws. Such notice shall be provided in accordance with the requirements set forth in Internal Revenue Service Notice 1015 (Exhibit C).

#### CC. **Notices**

Notices desired or required to be given under these Specifications, Conditions, or Terms herein or any law now or hereafter in effect may, at the option of the party giving the same, be given by enclosing the same in a sealed envelope addressed to the party for whom intended and by depositing such envelope with postage prepaid with the United States Post Office and any such notice and the envelope containing the same shall be addressed to Contractor at its place of business, or such other place as may be hereinafter designated in writing by Contractor. The notices and envelopes containing the same to County shall be addressed to:

> Chief. Administrative Services Division County of Los Angeles Department of Public Works P.O. Box 1460 Alhambra, CA 91802-1460

In the event of suspension or termination of this Contract, notices may also be given upon personal delivery to any person whose actual knowledge of such suspension or termination would be sufficient notice to Contractor. Actual knowledge of such suspension or termination by an individual Contractor San Gabriel Dam Hydroelectric

Project - Maintenance & Inspection Services (2012-AN031) or by a copartner, if Contractor is a partnership; or by the president, vice president, secretary, or general manager, if Contractor is a corporation; or by the managing agent regularly in charge of the work on behalf of said Contractor shall in any case be sufficient notice.

# DD. Publicity

Contractor shall not disclose any details in connection with this Contract to any person or entity except as may be otherwise provided hereunder or required by law. However, in recognizing Contractor's need to identify its services and related clients to sustain itself, County shall not inhibit Contractor from publicizing its role under this Contract within the following conditions:

- 1. Contractor shall develop all publicity material in a professional manner.
- 2. During the term of this Contract, Contractor shall not, and shall not authorize another to, publish or disseminate commercial advertisements, press releases, feature articles, or other materials using the name of County without the prior written consent of the Contract Manager. County shall not unreasonably withhold such written consent.
- 3. Contractor may, without prior written consent of County, indicate in its proposals and sales materials that it has been awarded this Contract with County, provided that the requirements of this paragraph shall apply.

#### EE. Public Records Act

- 1. Any documents submitted by Contractor; all information obtained in connection with County's right to audit and inspect Contractor's documents, books, and accounting records pursuant to this Exhibit's Record Retention and Inspection/Audit Settlement, of this Contract; as well as those documents which were required to be submitted in response to the RFP used in the solicitation process for this Contract, become the exclusive property of County. All such documents become a matter of public record and shall be regarded as public records, except those documents that are marked "Trade Secret," "Confidential," or "Proprietary" and are deemed excluded from disclosure under Government Code 6250 et seq. (Public Records Act). County shall not in any way be liable or responsible for the disclosure of any such records including, with limitation, those so marked, if disclosure is required by law, or by an order issued by a court of competent jurisdiction.
- 2. In the event County is required to defend an action on a Public Records Act request for any of the aforementioned documents, information, books, records, and/or contents of a proposal marked "Trade Secret," "Confidential," or "Proprietary," Contractor agrees to defend and indemnify

County from all costs and expenses, including reasonable attorney's fees, in connection with any requested action or liability arising under the Public Records Act.

# FF. Record Retention and Inspection/Audit Settlement

Contractor shall maintain accurate and complete financial records of its activities and operations relating to this Contract in accordance with generally accepted accounting principles. Contractor shall also maintain accurate and complete employment and other records relating to its performance of this Contract. Contractor agrees that County, or its authorized representatives, shall have access to and the right to examine, audit, excerpt, copy, or transcribe any pertinent transaction, activity, or record relating to this Contract. All such material, including, but not limited to, all financial records, bank statements, cancelled checks, or other proof of payment, timecards, sign-in/sign-out sheets, and other time and employment records, and proprietary data and information, shall be kept and maintained by Contractor and shall be made available to County during the term of this Contract and for a period of five years thereafter unless County's written permission is given to dispose of any such material prior to such time. All such material shall be maintained by Contractor at a location in County, provided that if any such material is located outside County, then, at County's option, Contractor shall pay County for travel, per diem, and other costs incurred by County to examine, audit, excerpt, copy, or transcribe such material at such other location.

- In the event that an audit of Contractor is conducted specifically regarding this Contract by any Federal or State auditor, or by any auditor or accountant employed by Contractor or otherwise, then Contractor shall file a copy of such audit report with County's Auditor-Controller within 30 days of Contractor's receipt thereof, unless otherwise provided by applicable Federal or State law or under this Contract. Subject to applicable law, County shall make a reasonable effort to maintain the confidentiality of such audit report(s).
- 2. Failure on the part of Contractor to comply with any of the provisions of this paragraph shall constitute a material breach of this Contract upon which County may suspend or terminate for default or suspend this Contract.
- If, at any time during the term of this Contract or within five years after the expiration or termination of this Contract, representatives of County conduct an audit of Contractor regarding the work performed under this Contract, and if such audit finds that County's dollar liability for any such work is less than payments made by County to Contractor, then the difference shall be either: a) repaid by Contractor to County by cash payment upon demand or b) at the sole option of County's Auditor-Controller, deducted from any amounts due to Contractor from County, whether under this Contract or

otherwise. If such audit finds that County's dollar liability for such work is more than the payments made by County to Contractor, then the difference shall be paid to Contractor by County by cash payment, provided that in no event shall County's maximum obligation for this Contract exceed the funds appropriated by County for the purpose of this Contract.

### GG. Recycled-Content Paper Products

Consistent with Board policy to reduce the amount of solid waste deposited at County landfills, Contractor agrees to use recycled-content paper to the maximum extent possible under this Contract.

#### HH. Contractor's Employee Criminal Background Investigation

Each of the Contractor's staff performing services under this Contract who is in a designated sensitive position, as determined by the County in County's sole discretion, shall undergo and pass a background investigation to the satisfaction of County as a condition of beginning and continuing to perform services under this Contract. Such background investigation must be obtained through fingerprints submitted to the California Department of Justice to include State and local-level review, which may include, but shall not be limited to, criminal conviction information. The fees associated with the background investigation shall be at the expense of the Contractor, regardless if the member of Contractor's staff passes or fails the background investigation.

# II. Subcontracting

The requirements of this Contract may not be subcontracted by Contractor without the advance written approval of County. Any attempt by Contractor to subcontract without the prior written consent of County may be deemed a material breach of this Contract and the County may suspend or terminate for this Contract default.

- If Contractor desires to subcontract, Contractor shall provide the following information promptly at County's request:
  - A description of the work to be performed by the Subcontractor.
  - b. A draft copy of the proposed subcontract.
  - c. Other pertinent information and/or certifications requested by County.
- Contractor shall indemnify and hold County harmless with respect to the activities of each and every Subcontractor in the same manner and to the same degree as if such Subcontractor(s) were Contractor employees.

- Contractor shall remain fully responsible for all performances required of it under this Contract, including those that the Contractor has determined to subcontract, notwithstanding County's approval of Contractor's proposed subcontract.
- 4. County's consent to subcontract shall not waive County's right to prior and continuing approval of any and all personnel, including Subcontractor employees, providing services under this Contract. Contractor is responsible to notify its Subcontractors of this County right.
- 5. County's Contract Manager is authorized to act for and on behalf of County with respect to approval of any subcontract and Subcontractor employees.
- Contractor shall be solely liable and responsible for all payments or other compensation to all Subcontractors and their officers, employees, agents, and successors in interest arising through services performed hereunder, notwithstanding County's consent to subcontract.
- 7. Contractor shall obtain certificates of insurance, which establish that the Subcontractor maintains all the programs of insurance required by County from each approved Subcontractor. Contractor shall ensure delivery of all such documents to Administrative Services Division, P.O. Box 1460, Alhambra, California 91802-1460, before any Subcontractor employee may perform any work hereunder.
- 8. Employee Leasing is prohibited.

## JJ. Validity

If any provision of this Contract or the application thereof to any person or circumstance is held invalid, the remainder of this Contract and the application of such provision to other persons or circumstances shall not be affected thereby.

#### KK. Waiver

No waiver by County of any breach of any provision of this Contract shall constitute a waiver of any other breach of said provision or of any other provision of this Contract. Failure of County to enforce at anytime, or from time to time, any provision of this Contract shall not be construed as a waiver thereof.

#### LL. Warranty Against Contingent Fees

 Contractor warrants that no person or selling agency has been employed or retained to solicit or secure this Contract upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee, excepting bona fide employees or bona fide established commercial

- or selling agencies maintained by Contractor for the purpose of securing business.
- 2. For breach of this warranty, County shall have the right, in its sole discretion, to suspend or terminate this Contract for default, deduct from amounts owing to the Contractor, or otherwise recover, the full amount of such commission, percentage, brokerage, or contingent fee.

#### TERMINATIONS/SUSPENSIONS

A. <u>Termination/Suspension for Breach of Warranty to Maintain Compliance with</u>
County's Child Support Compliance Program

Failure of Contractor to maintain compliance with the requirements set forth in this Exhibit's Contractor's Warranty of Adherence to County's Child Support Compliance Program shall constitute a default under this Contract. Without limiting the rights and remedies available to County under any other provision of this Contract, failure of Contractor to cure such default within 90 calendar days of written notice shall be grounds upon which the County may suspend terminate this Contract pursuant this to Exhibit's Termination/Suspension for Default, and pursue debarment of Contractor pursuant to Los Angeles County Code Chapter 2.202.

#### B. Termination/Suspension for Convenience

- This Contract may be suspended or terminated, in whole or in part, from time to time, when such action is deemed by County, in its sole discretion, to be in its best interest. Suspension or termination of work hereunder shall be effected by notice of suspension or termination to Contractor specifying the extent to which performance of work is suspended or terminated and the date upon which such suspension or termination becomes effective. The date upon which such suspension or termination becomes effective shall be no less than ten days after the notice is sent.
- 2. After receipt of a notice of suspension or termination and except as otherwise directed by County, Contractor shall:
  - a. Stop work under this Contract on the date and to the extent specified in such notice.
  - b. Complete performance of such part of the work as shall not have been suspended or terminated by such notice.
- All material including books, records, documents, or other evidence bearing on the costs and expenses of Contractor under this Contract shall be maintained by Contractor in accordance with this Exhibit's Record Retention and Inspection/Audit Settlement.
- 4. If this Contract is suspended or terminated, Contractor shall complete within the Director's suspension or termination date contain within the notice of suspension or termination, those items of work which are in various stages of completion, which the Director has advised the San Gabriel Dam Hydroelectric

Project - Maintenance & Inspection Services (2012-AN031)

Contractor are necessary to bring the work to a timely, logical, and orderly end. Reports, samples, and other materials prepared by Contractor under this Contract shall be delivered to County upon request and shall become the property of County.

# C. <u>Termination/Suspension for Default</u>

- 1. County may, by written notice to Contractor, suspend or terminate the whole or any part of this Contract, if, in the judgment of the County:
  - a. Contractor has materially breached this Contract; or
  - b. Contractor fails to timely provide and/or satisfactorily perform any task, deliverable, service, or other work required under this Contract; or
  - c. Contractor fails to demonstrate a high probability of timely fulfillment of performance requirements under this Contract, or of any obligations of this Contract and in either case, fails to demonstrate convincing progress toward a cure within five working days (or such longer period as County may authorize in writing) after receipt of written notice from County specifying such failure.
- 2. In the event County suspends or terminates this Contract in whole or in part pursuant to this paragraph, County may procure, upon such terms and in such manner, as County may deem appropriate, goods and services similar to those so suspended or terminated. Contractor shall be liable to County for any and all excess costs incurred by County, as determined by County, for such similar goods and services. Contractor shall continue the performance of this Contract to the extent not suspended or terminated under the provisions of this paragraph.
- 3. Except with respect to defaults of any Subcontractor, Contractor shall not be liable for any excess costs of the type identified in subparagraph "2" above, if its failure to perform this Contract arises out of causes beyond the control and without the fault or negligence of Contractor. Such causes may include, but are not limited to, acts of God or of the public enemy. acts of County in either its sovereign or contractual capacity, acts of the Federal or State government in its sovereign capacity, fires, floods, epidemics. quarantine restrictions. strikes, freight embargoes. and unusually severe weather; but in every case, the failure to perform must be beyond the control and without the fault or negligence of If the failure to perform is caused by the default of a Subcontractor, and if such default arises out of causes beyond the control of both Contractor and Subcontractor, and without the fault or negligence of either of them, Contractor shall not be liable for any such excess costs

for failure to perform, unless the goods or services to be furnished by the Subcontractor were obtainable from other sources in sufficient time to permit Contractor to meet the required delivery schedule.

- 4. If, after County has given notice of termination or suspension under the provisions of this paragraph, it is determined by County that Contractor was not in default under the provisions of this paragraph or that the default was excusable under the provisions of this paragraph, the rights and obligations of the parties shall be the same as if the notice of termination or suspension had been issued pursuant to this Exhibit's Termination/Suspension for Convenience.
- 5. The rights and remedies of County provided in this paragraph shall not be exclusive and are in addition to any other rights and remedies provided by law or under this Contract.
- 6. As used herein, the terms "Subcontractor" and "Subcontractors" mean subcontractor at any tier.

# D. <u>Termination/Suspension for Improper Consideration</u>

- 1. County may, by written notice to Contractor, immediately suspend or terminate the right of Contractor to proceed under this Contract if it is found that consideration, in any form, was offered or given by Contractor, either directly or through an intermediary, to any County officer, employee, or agent with the intent of securing this Contract or securing favorable treatment with respect to the award, amendment, extension of this Contract, or the making of any determinations with respect to Contractor's performance pursuant to this Contract. In the event of such termination or suspension, County shall be entitled to pursue those same remedies against Contractor as it could pursue in the event of default by Contractor.
- Contractor shall immediately report any attempt by a County officer or employee to solicit such improper consideration. The report shall be made either to County manager charged with the supervision of the employee or to County Auditor-Controller's Employee Fraud Hotline at (800) 544-6861.
- 3. Among other items, such improper consideration may take the form of cash; discounts; services; the provision of travel, entertainment, or tangible gifts.

# E. <u>Termination/Suspension for Insolvency</u>

1. County may suspend or terminate this Contract forthwith in the event of the occurrence of any of the following:

- a. Insolvency of Contractor. Contractor shall be deemed to be insolvent if it has ceased to pay its debts for at least 60 days in the ordinary course of business or cannot pay its debts as they become due, whether or not a petition has been filed under the Federal Bankruptcy Code, and whether or not Contractor is insolvent within the meaning of the Federal Bankruptcy Code;
- b. The filing of a voluntary or involuntary bankruptcy petition relative to Contractor under the Federal Bankruptcy Code;
- The appointment of a bankruptcy Receiver or Trustee for Contractor;
   or
- d. The execution by Contractor of a general assignment for the benefits of creditors.
- 2. The rights and remedies of County provided in this paragraph shall not be exclusive and are in addition to any other rights and remedies provided by law or under this Contract.

# F. <u>Termination/Suspension for Nonadherence to County Lobbyists Ordinance</u>

Contractor, and each County lobbyist or County lobbying firm as defined in Los Angeles County Code Section 2.160.010, retained by Contractor, shall fully comply with County's Lobbyist Ordinance, Los Angeles County Code Chapter 2.160. Failure on the part of Contractor or any County Lobbyists or County Lobbying firm retained by Contractor to fully comply with County's Lobbyist Ordinance shall constitute a material breach of this Contract, upon which County may in its sole discretion, immediately suspend or terminate for default of this Contract.

# G. <u>Termination/Suspension for Nonappropriation of Funds</u>

Notwithstanding any other provision of this Contract, County shall not be obligated for Contractor's performance hereunder or by any provision of this Contract during any of County's future fiscal years unless and until the Board appropriates funds for this Contract in County's budget for each such future fiscal year. In the event that funds are not appropriated for this Contract, then this Contract may be suspended or terminated as of June 30 of the last fiscal year for which funds were appropriated. County will notify Contractor in writing of any such nonallocation of funds at the earliest possible date.

#### GENERAL CONDITIONS OF CONTRACT WORK

#### A. <u>Authority of Public Works and Inspection</u>

The Director will have the final authority in all matters affecting the work covered by this Contract's Terms, Requirement, Conditions, and Specifications. On all questions relating to work acceptability or interpretations of these Terms, Requirements, Conditions, and Specifications, the decision of the Director will be final.

#### B. Cooperation

Contractor shall cooperate with Public Works' forces engaged in any other activities at the jobsite. Contractor shall carry out all work in a diligent manner and according to instructions of the Director.

# C. <u>Cooperation and Collateral Work</u>

Contractor shall perform work as directed by the Director. The Director will be supported by other Public Works personnel in assuring satisfactory performance of the work under these Specifications and that satisfactory contract controls and conditions are maintained.

# D. <u>Equipment, Labor, Supervision, and Materials</u>

All equipment, labor, supervision, and materials required to accomplish this Contract, except as might be specifically outlined in other sections, shall be provided by Contractor.

#### E. Gratuitous Work

Contractor agrees that should work be performed outside the Scope of Work indicated and without Public Works' prior written approval in accordance with this Exhibit's Amendments, such work shall be deemed to be a gratuitous effort by Contractor, and Contractor shall have no claim against County.

# F. Jobsite Safety

Contractor shall be solely responsible for ensuring that all work performed under this Contract is performed in strict compliance with all applicable Federal, State, and local occupational safety regulations. Contractor shall provide at its expense all safeguards, safety devices, and protective equipment and shall take any and all actions appropriate to providing a safe jobsite.

#### G. Labor

No person shall be employed on any work under this Contract who is found to be intemperate, troublesome, disorderly, or is otherwise objectionable to Public Works. Any such person shall be reassigned immediately and not again employed on Public Works' projects or providing services.

#### H. Labor Law Compliance

Contractor, its agents, and employees shall be bound by and shall comply with all applicable provisions of the Labor Code of the State of California as well as all other applicable Federal, State, and local laws related to labor, including compliance with prevailing wage laws. The Contractor is responsible for selecting the classification of workers, which will be required to perform this service in accordance with the Contractor's method of performing the work and when applicable, is required to pay current prevailing wage rates adopted by the Director of the Department of Industrial Relations and will indemnify the County for any claims resulting from their failure to so comply. Contractor shall comply with Labor Code Section 1777.5 with respect to the employment of apprentices.

#### I. Overtime

Eight hours labor constitutes a legal day's work. Work in excess thereof, or greater than 40 hours during any one week, shall be permitted only as authorized by and in accordance with Labor Code Section 1815 et seq.

#### J. Permits/Licenses

Contractor shall be fully responsible for possessing or obtaining all permits/licenses, except as might be specifically outlined in other sections, from the appropriate Federal, State, or local authorities relating to work to be performed under this Contract.

#### K. Prohibition Against Use of Child Labor

#### Contractor shall:

- a. Not knowingly sell or supply to County any products, goods, supply, or other personal property manufactured in violation of child labor standards set by the International Labor Organization through its 1973 Convention Concerning Minimum Age for Employment.
- b. Upon request by County, identify the country/countries of origin of any products, goods, supplies, or other personal property Contractor sells or supplies to County.

- Upon request by County, provide to County the manufacturer's certification of compliance with all international child labor conventions.
- d. Should County discover that any products, goods, supplies, or other personal property sold or supplied by Contractor to County are produced in violation of any international child labor conventions, Contractor shall immediately provide an alternative, compliant source of supply.
- Failure by Contractor to comply with provisions of this paragraph will constitute a material breach of this Contract and will be grounds for immediate suspension or termination of this Contract for default.

#### L. Public Convenience

Contractor shall conduct operations to cause the least possible obstruction and inconvenience to public traffic or disruption to the peace and quiet of the area within which the work is being performed.

# M. Public Safety

It shall be Contractor's responsibility to maintain security against public hazards at all times while performing work at contracted work locations. In the event Contractor determines a public hazard exists at a work location, Contractor shall immediately mark the location to prevent public access to the hazard and immediately notify the Contract Manager.

#### N. Quality of Work

Contractor shall provide the County high and consistent quality work under this Contract and which is at least equivalent to that which Contractor provides to all other clients it serves. All work shall be executed by experienced and well-trained workers. All work shall be under supervision of a well-qualified supervisor. Contractor also agrees that work shall be furnished in a professional manner and according to these Specifications.

#### O. Quantities of Work

Contractor shall be allowed no claims for anticipated profits or for any damages of any sort because of any difference between the work estimated by Contractor in responding to County's solicitation and actual quantities of work done under this Contract or for work decreased or eliminated by County.

# P. Safety Requirements

Contractor shall be responsible for the safety of equipment, material, and personnel under Contractor's jurisdiction during the work.

# Q. Storage of Material and Equipment

Contractor shall not store material or equipment at the jobsite, except as might be specifically authorized by this Contract. County will not be liable or responsible for any damage, by whatever means, or for the theft of Contractor's material or equipment from any jobsite.

#### R. Transportation

County will not provide transportation to and from the jobsite and will not provide travel around the limits of the jobsite.

#### S. Work Area Controls

- Contractor shall comply with all applicable laws and regulations.
   Contractor shall maintain work area in a neat, orderly, clean, and safe manner. Contractor shall avoid spreading out equipment excessively. Location and layout of all equipment and materials at each jobsite will be subject to the Contract Manager's approval.
- Contractor shall be responsible for the security of any and all of Public Works/County facilities in its care. Contractor shall provide protection against vandalism and accidental and malicious damage, both during working and nonworking hours.

# T. County Contract Database/CARD

The County maintains databases that track/monitor contractor performance history. Information entered into such databases may be used for a variety of purposes, including determining whether the County will exercise a contract term extension option.

#### INDEMNIFICATION AND INSURANCE REQUIREMENTS

#### A. Independent Contractor Status

- This Contract is by and between County and Contractor and is not intended, and shall not be construed, to create the relationship of agent, servant, employee, partnership, joint venture, or association, as between County and Contractor. The employees and agents of one party shall not be, or be construed to be, the employees or agents of the other party for any purpose whatsoever.
- 2. Contractor shall be solely liable and responsible for providing to, or on behalf of, all persons performing work pursuant to this Contract all compensation and benefits. County shall have no liability or responsibility for the payment of any salaries, wages, unemployment benefits, disability benefits, Federal, State, or local taxes, or other compensation, benefits, or taxes for any personnel provided by or on behalf of Contractor.
- 3. Contractor understands and agrees that all persons performing work pursuant to this Contract are, for purposes of Workers' Compensation liability, solely employees of Contractor and not employees of County. Contractor shall be solely liable and responsible for furnishing any and all Workers' Compensation benefits to any person as a result of any injuries arising from or connected with any work performed by or on behalf of Contractor pursuant to this Contract.

#### B. Indemnification

Contractor shall indemnify, defend, and hold harmless the County of Los Angeles, its Special Districts, Elected Officials, Officers, Agents, Employees, and Volunteers from and against any and all liability including, but not limited to, demands, claims, actions, fees, costs, and expenses of any nature whatsoever (including attorney and expert witness fees), arising from or connected with Contractor's acts and/or omissions arising from and/or relating to this Contract. This indemnification also shall include any and all intellectual property liability, including copyright infringement and similar claims.

### C. Workplace Safety Indemnification

In addition to and without limiting the indemnification required by this Exhibit's Section 5.B (above), and to the extent allowed by law, Contractor agrees to defend, indemnify, and hold harmless the County of Los Angeles, its Special Districts, Elected Officials, Officers, Agents, Employees, and Volunteers from and against any and all investigations, complaints, citations, liability, expense (including defense

costs and legal fees), claims, and/or causes of action for damages of any nature whatsoever, including, but not limited to, injury or death to employees of Contractor, its Subcontractors or County, attributable to any alleged act or omission of Contractor and/or its Subcontractors which is in violation of any Cal/OSHA regulation. The obligation to defend, indemnify, and hold harmless County includes all investigations and proceedings associated with purported violations of Section 336.10 of Title 8 of the California Code of Regulations pertaining to multiemployer worksites. Contractor shall not be obligated to indemnify for liability and expenses arising from the active negligence of County. County may deduct from any payment otherwise due Contractor any costs incurred or anticipated to be incurred by County, including legal fees and staff costs, associated with any investigation or enforcement proceeding brought by Cal/OSHA arising out of the work being performed by Contractor under this Contract.

#### D. General Insurance Requirements

- 1. Without limiting Contractor's indemnification of County, and in the performance of this Contract and until all of its obligations pursuant to this Contract have been met, Contractor shall provide and maintain at its own expense insurance coverage satisfying the requirements specified in this paragraph and paragraph F of this Section. These minimum insurance coverage terms, types and limits (the "Required Insurance") also are in addition to and separate from any other contractual obligation imposed upon Contractor pursuant to this Contract. The County in no way warrants that the Required Insurance is sufficient to protect the Contractor for liabilities which may arise from or relate to this Contract.
- Evidence of Coverage and Notice to County A certificate(s) of insurance coverage (Certificate) satisfactory to County, and a copy of an Additional Insured endorsement confirming the County of Los Angeles, its Special Districts, Elected Officials, Officers, Agents, Employees, and Volunteers has been given Insured status under the Contractor's General Liability policy, shall be delivered to County at the address shown below and provided prior to commencing services under this Contract.
  - a. Renewal Certificates shall be provided to County not less than ten days prior to Contractor's policy expiration dates. The County reserves the right to obtain complete, certified copies of any required Contractor and/or Subcontractor insurance policies at any time.
  - b. Certificates shall identify all Required Insurance coverage types and limits specified herein, reference this Contract by name or number, and be signed by an authorized representative of the insurer(s). The Insured party named on the Certificate shall match the name of the Contractor identified as the contracting party in this

Contract. Certificates shall provide the full name of each insurer providing coverage, its NAIC (National Association of Insurance Commissioners) identification number, its financial rating, the amounts of any policy deductibles or self-insured retentions exceeding fifty thousand (\$50,000.00) dollars, and list any County required endorsement forms.

- c. Neither the County's failure to obtain, nor the County's receipt of, or failure to object to a non-complying insurance certificate or endorsement, or any other insurance documentation or information provided by the Contractor, its insurance broker(s) and/or insurer(s), shall be construed as a waiver of any of the Required Insurance provisions.
- d. Certificates and copies of any required endorsements shall be sent to:

County of Los Angeles
Department of Public Works, Administrative Services Division
P.O. Box 1460
Alhambra, California 91802-1460
Attention of: Contract Analyst (noted in the RFP Notice)

- e. Contractor also shall promptly report to County any injury or property damage accident or incident, including any injury to a Contractor employee occurring on County property, and any loss, disappearance, destruction, misuse, or theft of County property, monies or securities entrusted to Contractor. Contractor also shall promptly notify County of any third party claim or suit filed against Contractor or any of its Subcontractors which arises from or relates to this Contract, and could result in the filing of a claim or lawsuit against Contractor and/or County.
- 3. Additional Insured Status and Scope of Coverage The County of Los Angeles, its Special Districts, Elected Officials, Officers, Agents, Employees, and Volunteers shall be provided additional insured status under Contractor's General Liability policy with respect to liability arising out of Contractor's ongoing and completed operations performed on behalf of the County. The County of Los Angeles, its Special Districts, Elected Officials, Officers, Agents, Employees, and Volunteers additional insured status shall apply with respect to liability and defense of suits arising out of the Contractor's acts or omissions, whether such liability is attributable to the Contractor or to the County. The full policy limits and scope of protection also shall apply to the County of Los Angeles, its Special Districts, Elected Officials, Officers, Agents, Employees, and Volunteers as an additional insured, even if they exceed the County's

minimum Required Insurance specifications herein. Use of an automatic additional insured endorsement form is acceptable providing it satisfies the Required Insurance provisions herein.

- 4. <u>Cancellation of or Changes in Insurance</u>: Contractor shall provide County with, or Contractor's insurance policies shall contain a provision that County shall receive, written notice of cancellation or any change in Required Insurance, including insurer, limits of coverage, term of coverage or policy period. The written notice shall be provided to County at least ten days in advance of cancellation for non-payment of premium and 30 days in advance for any other cancellation or policy change. Failure to provide written notice of cancellation or any change in Required Insurance may constitute a material breach of the Contract, in the sole discretion of the County, upon which the County may suspend or terminate this Contract.
- 5. <u>Failure to Maintain Insurance:</u> Contractor's failure to maintain or to provide acceptable evidence that it maintains the Required Insurance shall constitute a material breach of the Contract, upon which County immediately may withhold payments due to Contractor, and/or suspend or terminate this Contract. County, at its sole discretion, may obtain damages from Contractor resulting from said breach.
- Insurer Financial Ratings: Coverage shall be placed with insurers acceptable to the County with A.M. Best ratings of not less than A:VII unless otherwise approved by County.
- 7. <u>Contractor's Insurance Shall Be Primary:</u> Contractor's insurance policies, with respect to any claims related to this Contract, shall be primary with respect to all other sources of coverage available to Contractor. Any County maintained insurance or self-insurance coverage shall be in excess of and not contribute to any Contractor coverage.
- 8. Waivers of Subrogation: To the fullest extent permitted by law, the Contractor hereby waives its rights and its insurer(s)' rights of recovery against County under all the Required Insurance for any loss arising from or relating to this Contract. The Contractor shall require its insurers to execute any waiver of subrogation endorsements which may be necessary to effect such waiver.
- Subcontractor Insurance Coverage Requirements: Contractor shall include all Subcontractors as insureds under Contractor's own policies, or shall provide County with each Subcontractor's separate evidence of insurance coverage. Contractor shall be responsible for verifying each Subcontractor complies with the Required Insurance provisions herein,

and shall require that each Subcontractor name the County of Los Angeles, its Special Districts, Elected Officials, Officers, Agents, Employees, and Volunteers and Contractor as additional insureds on the Subcontractor's General Liability policy. Contractor shall obtain County's prior review and approval of any Subcontractor request for modification of the Required Insurance.

- 10. <u>Deductibles and Self-Insured Retentions (SIRs)</u>: Contractor's policies shall not obligate the County to pay any portion of any Contractor deductible or SIR. The County retains the right to require Contractor to reduce or eliminate policy deductibles and SIRs as respects the County, or to provide a bond guaranteeing Contractor's payment of all deductibles and SIRs, including all related claims investigation, administration, and defense expenses. Such bond shall be executed by a corporate surety licensed to transact business in the State of California.
- 11. <u>Claims Made Coverage:</u> If any part of the Required Insurance is written on a claims made basis, any policy retroactive date shall precede the effective date of this Contract. Contractor understands and agrees it shall maintain such coverage for a period of not less than three years following Contract expiration, termination or cancellation.
- 12. <u>Application of Excess Liability Coverage:</u> Contractors may use a combination of primary, and excess insurance policies which provide coverage as broad as ("follow form" over) the underlying primary policies, to satisfy the Required Insurance provisions.
- 13. <u>Separation of Insureds:</u> All liability policies shall provide cross-liability coverage as would be afforded by the standard ISO (Insurance Services Office, Inc.) separation of insureds provision with no insured versus insured exclusions or limitations.
- 14. <u>Alternative Risk Financing Programs:</u> The County reserves the right to review, and then approve, Contractor use of self-insurance, risk retention groups, risk purchasing groups, pooling arrangements and captive insurance to satisfy the Required Insurance provisions. The County of Los Angeles, its Special Districts, Elected Officials, Officers, Agents, Employees, and Volunteers shall be designated as an Additional Covered Party under any approved program.
- 15. <u>County Review and Approval of Insurance Requirements:</u> The County reserves the right to review and adjust the Required Insurance provisions, conditioned upon County's determination of changes in risk exposures.

# E. <u>Compensation for County Costs</u>

In the event that the Contractor fails to comply with any of the indemnification or insurance requirements of this Contract, and such failure to comply results in any costs to the County, the Contractor shall pay full compensation for all costs incurred by the County.

#### F. Insurance Coverage Requirements

1. <u>Commercial General Liability</u> insurance (providing scope of coverage equivalent to ISO policy form CG 00 01), naming The County of Los Angeles, its Special Districts, Elected Officials, Officers, Agents, Employees, and Volunteers as an additional insured, with limits of not less than:

General Aggregate: \$2 million
Products/Completed Operations Aggregate: \$1 million
Personal and Advertising Injury: \$1 million
Each Occurrence: \$1 million

- 2. <u>Automobile Liability</u> insurance (providing scope of coverage equivalent to ISO policy form CA 00 01) with limits of not less than \$1 million for bodily injury and property damage, in combined or equivalent split limits, for each single accident. Insurance shall cover liability arising out of Contractor's use of autos pursuant to this Contract, including owned, leased, hired, and/or non-owned autos, as each may be applicable.
- 3. Workers Compensation and Employers' Liability insurance or qualified self-insurance satisfying statutory requirements, which includes Employers' Liability coverage with limits of not less than \$1 million per accident. If Contractor is a temporary staffing firm or a professional employer organization (PEO), coverage also shall include an Alternate Employer Endorsement (providing scope of coverage equivalent to ISO policy form WC 00 03 01 A) naming the County as the Alternate Employer, and the endorsement form shall be modified to provide that County will receive not less than 30 days advance written notice of cancellation of this coverage provision. If applicable to Contractor's operations, coverage also shall be arranged to satisfy the requirements of any federal workers or workmen's compensation law or any federal occupational disease law.

#### CONTRACTOR RESPONSIBILITY AND DEBARMENT

#### A. Responsible Contractor

A responsible Contractor is a Contractor who has demonstrated the attribute of trustworthiness as well as quality, fitness, capacity, and experience to satisfactorily perform the contract. It is County's policy to conduct business only with responsible Contractors.

#### B. Chapter 2.202 of County Code

Contractor is hereby notified that, in accordance with Chapter 2.202 of County Code, if County acquires information concerning the performance of Contractor on this or other contracts which indicates that Contractor is not responsible, County may, in addition to other remedies provided in this Contract, debar Contractor from bidding or proposing on, being awarded, and/or performing work on County contracts for a specified period of time, which generally will not exceed five years but may exceed five years or be permanent if warranted by the circumstances, and suspend or terminate any or all existing contracts Contractor may have with County.

# C. Nonresponsible Contractor

County may debar a Contractor if the Board finds, in its discretion, that Contractor has done any of the following: (1) violated any term of a contract with County or a nonprofit corporation created by County; (2) committed an act or omission which negatively reflects on Contractor's quality, fitness, or capacity to perform a contract with County, any other public entity, or a nonprofit corporation created by County, or engaged in a pattern or practice which negatively reflects on same; (3) committed an act or offense which indicates a lack of business integrity or business honesty; or (4) made or submitted a false claim against County or any other public entity.

#### D. Contractor Hearing Board

- If there is evidence that Contractor may be subject to debarment, Public Works will notify Contractor in writing of the evidence which is the basis for the proposed debarment and will advise Contractor of the scheduled date for a debarment hearing before Contractor Hearing Board.
- Contractor Hearing Board will conduct a hearing where evidence on the proposed debarment is presented. Contractor and/or Contractor's representative shall be given an opportunity to submit evidence at that hearing. After the hearing, Contractor Hearing Board will prepare a tentative proposed decision, which shall contain a recommendation San Gabriel Dam Hydroelectric

Project - Maintenance & Inspection Services

regarding whether Contractor should be debarred, and, if so, the appropriate length of time of the debarment. Contractor and Public Works shall be provided an opportunity to object to the tentative proposed decision prior to its presentation to the Board.

- 3. After consideration of any objections, or if no objections are submitted, a record of the hearing, the proposed decision, and any other recommendation of Contractor Hearing Board shall be presented to the Board. The Board shall have the right to modify, deny, or adopt the proposed decision and recommendation of Contractor Hearing Board.
- 4. If a Contractor has been debarred for a period longer than five years, that Contractor may, after the debarment has been in effect for at least five years, submit a written request for review of the debarment determination to reduce the period of debarment or terminate the debarment. County may, in its discretion, reduce the period of debarment or terminate the debarment if it finds that Contractor has adequately demonstrated one or more of the following: (1) elimination of the grounds for which the debarment was imposed; (2) a bona fide change in ownership or management; (3) material evidence discovered after debarment was imposed; or (4) any other reason that is in the best interests of County.
- 5. Contractor Hearing Board will consider a request for review of a debarment determination only where (1) Contractor has been debarred for a period longer than five years; (2) the debarment has been in effect for at least five years; and (3) the request is in writing, states one or more of the grounds for reduction of the debarment period or termination of the debarment, and includes supporting documentation. Upon receiving an appropriate request, Contractor Hearing Board will provide notice of the hearing on the request. At the hearing, Contractor Hearing Board shall conduct a hearing where evidence on the proposed reduction of debarment period or termination of debarment is presented. This hearing shall be conducted and the request for review decided by Contractor Hearing Board pursuant to the same procedure as for a debarment hearing.
- 6. Contractor Hearing Board's proposed decision shall contain a recommendation on the request to reduce the period of debarment or terminate the debarment. Contractor Hearing Board shall present its proposed decision and recommendation to the Board. The Board shall have the right to modify, deny, or adopt the proposed decision and recommendation of Contractor Hearing Board.

### E. Subcontractors of Contractor

These terms shall also apply to Subcontractors of County contractors.

#### COMPLIANCE WITH COUNTY'S JURY SERVICE PROGRAM

#### A. Jury Service Program

This Contract is subject to the provisions of County's ordinance entitled Contractor Employee Jury Service (Jury Service Program) as codified in Sections 2.203.010 through 2.203.090 of the Los Angeles County Code.

# B. Written Employee Jury Service Policy

- Unless Contractor has demonstrated to County's satisfaction either that 1. "Contractor" Contractor not а as defined under is Jury Service Program (Section 2.203.020 of County Code) or that Contractor qualifies for an exception to the Jury Service Program (Section 2.203.070 of County Code), Contractor shall have and adhere to a written policy that provides that its Employees shall receive from Contractor, on an annual basis, no less than five days of regular pay for actual jury service. The policy may provide that Employee deposit any fees received for such jury service with Contractor or that Contractor deduct from the Employee's regular pay the fees received for jury service.
- 2. For purposes of this Section, "Contractor" means a person, partnership, corporation, or other entity which has a contract with County or a subcontract with a County contractor and has received or will receive an aggregate sum of \$50,000 or more in any 12-month period under one or more County contracts or subcontracts. "Employee" means any California resident who is a full-time employee of Contractor. "Full-time" means 40 hours or more worked per week, or a lesser number of hours if: 1) the lesser number is a recognized industry standard as determined by County, or 2) Contractor has a long-standing practice that defines the lesser number of hours as full-time. Full-time employees providing short-term, temporary services of 90 days or less within a 12-month period are not considered full-time for purposes of the Jury Service Program. If Contractor uses any Subcontractor to perform services for County under this Contract, the Subcontractor shall also be subject to the provisions of this Section. The provisions of this Section shall be inserted into any such subcontract agreement and a copy of the Jury Service Program shall be attached to the agreement.
- 3. If Contractor is not required to comply with the Jury Service Program when this Contract commences, Contractor shall have a continuing obligation to review the applicability of its "exception status" from the Jury Service Program, and Contractor shall immediately notify County if Contractor at any time either comes within the Jury Service Program's definition of

"Contractor" or if Contractor no longer qualifies for an exception to the Jury Service Program. In either event, Contractor shall immediately implement a written policy consistent with the Jury Service Program. County may also require, at any time during this Contract and at its sole discretion, that Contractor demonstrate to County's satisfaction that Contractor either continues to remain outside of the Jury Service Program's definition of "Contractor" and/or that Contractor continues to qualify for an exception to the Jury Service Program.

4. Contractor's violation of this Section of this Contract may constitute a material breach of this Contract. In the event of such material breach, County may, in its sole discretion, suspend or terminate this Contract and/or bar Contractor from the award of future County contracts for a period of time consistent with the seriousness of the breach.

#### SAFELY SURRENDERED BABY LAW PROGRAM

# A. <u>Contractor's Acknowledgment of County's Commitment to the Safely Surrendered Baby Law</u>

Contractor acknowledges that County places a high priority on the implementation of the Safely Surrendered Baby Law. Contractor understands that it is County's policy to encourage all County Contractors to voluntarily post County's "Safely Surrendered Baby Law" poster in a prominent position at Contractor's place of business. Contractor will also encourage its Subcontractors, if any, to post this poster in a prominent position in the Subcontractor's place of business. County's Department of Children and Family Services will supply Contractor with the poster to be used. Information on how to receive the poster can be found on the Internet at www.babysafela.org

# B. Notice to Employees Regarding the Safely Surrendered Baby Law

Contractor shall notify and provide to its employees, and shall require each Subcontractor to notify and provide to its employees, a fact sheet regarding the Safely Surrendered Baby Law, its implementation in County, and where and how to safely surrender a baby. The fact sheet is set forth in Exhibit D of this Contract and is also available on the Internet at <a href="https://www.babysafela.org">www.babysafela.org</a> for printing purposes.

#### TRANSITIONAL JOB OPPORTUNITIES PREFERENCE PROGRAM

This Contract is subject to the provisions of the County's ordinance entitled Transitional Job Opportunities Preference Program, as codified in Chapter 2.205 of the Los Angeles County Code.

Contractor shall not knowingly and with the intent to defraud, fraudulently obtain, retain, attempt to obtain or retain, or aid another in fraudulently obtaining or retaining or attempting to obtain or retain certification as a Transitional Job Opportunity vendor.

Contractor shall not willfully and knowingly make a false statement with the intent to defraud, whether by affidavit, report, or other representation, to a County official or employee for the purpose of influencing the certification or denial of certification of any entity as a Transitional Job Opportunities vendor.

If Contractor has obtained County certification as a Transitional Job Opportunities vendor by reason of having furnished incorrect supporting information or by reason of having withheld information, and which knew, or should have known, the information furnished was incorrect or the information withheld was relevant to its request for certification, and which by reason of such certification has been awarded this Contract to which it would not otherwise have been entitled, shall:

- 1. Pay to the County any difference between the contract amount and what the County's costs would have been if the contract had been properly awarded.
- 2. In addition to the amount described in subdivision (1), be assessed a penalty in the amount of not more than ten percent of the amount of this Contract.
- 3. Be subject to the provisions of Chapter 2.202 of the Los Angeles County Code (Determinations of Contractor Nonresponsibility and Contractor Debarment).

The above penalties shall also apply to any entity that has previously obtained proper certification, however, as a result of a change in their status would no longer be eligible for certification, and fails to notify Public Works of this information prior to responding to a solicitation or accepting a contract award.

#### LOCAL SMALL BUSINESS ENTERPRISE (SBE) PREFERENCE PROGRAM

- A. This Contract is subject to the provisions of County's ordinance entitled Local Small Business Enterprise Preference Program, as codified in Chapter 2.204 of the Los Angeles County Code.
- B. Contractor shall not knowingly and with the intent to defraud, fraudulently obtain, retain, attempt to obtain or retain, or aid another in fraudulently obtaining or retaining or attempting to obtain or retain certification as a Local Small Business Enterprise.
- C. Contractor shall not willfully and knowingly make a false statement with the intent to defraud, whether by affidavit, report, or other representation, to a County official or employee for the purpose of influencing the certification or denial of certification of any entity as a Local Small Business Enterprise.
- D. If Contractor has obtained County certification as a Local Small Business Enterprise by reason of having furnished incorrect supporting information or by reason of having withheld information, and which knew, or should have known, the information furnished was incorrect or the information withheld was relevant to its request for certification, and which by reason of such certification has been awarded this Contract to which it would not otherwise have been entitled, shall:
  - 1. Pay to County any difference between this Contract amount and what County's costs would have been if this Contract had been properly awarded.
  - 2. In addition to the amount described in subdivision (1), be assessed a penalty in an amount of not more than 10 percent of the amount of this Contract.
  - 3. Be subject to the provisions of Chapter 2.202 of the Los Angeles County Code (Determinations of Contractor Nonresponsibility and Contractor Debarment).
- E. The above penalties shall also apply if Contractor is no longer eligible for certification as a result of a change of its status and Contractor failed to notify the State and County's Office of Affirmative Action Compliance of this information.

# COMPLIANCE WITH COUNTY'S DEFAULTED PROPERTY TAX REDUCTION PROGRAM

#### A. Defaulted Property Tax Reduction Program

This Contract is subject to the provisions of County's ordinance entitled Defaulted Property Tax Reduction Program ("Defaulted Tax Program") as codified in Sections 2.206 of the Los Angeles County Code (Exhibit E).

# B. <u>Contractor's Warranty of Compliance with County's Defaulted Property Tax</u> Reduction Program

Contractor acknowledges that County has established a goal of ensuring that all individuals and businesses that benefit financially from the County through any contract are current in paying their property tax obligations (secured and unsecured roll) in order to mitigate the economic burden otherwise imposed upon the County and its taxpayers.

Unless Contractor qualifies for an exemption or exclusion, Contractor warrants and certifies that to the best of its knowledge it is now in compliance, and during the term of this contract will maintain compliance, with Los Angeles County Code Chapter 2.206.

# C. <u>Termination for Breach of Warranty of Compliance with County's Defaulted Property Tax Reduction Program</u>

Failure of Contractor to maintain compliance with the requirements set forth in Paragraph B, above, shall constitute default under this Contract. Without limiting the rights and remedies available to County under any other provision of this contract, failure of Contractor to cure such default within ten days of notice shall be grounds upon which County may terminate this contract and/or pursue debarment of Contractor, pursuant to County Code Chapter 2.206.

### **SECTION 12**

### PREVAILING WAGES

### A. Prevailing Wages

The Director of the California Department of Industrial Relations has established the general prevailing rate of per diem wages for each craft, classification, type of worker, or mechanic needed to execute public works and improvements. The current general prevailing wage rate determinations are available at <a href="https://www.dir.ca.gov/dlsr/pwd/index.htm">www.dir.ca.gov/dlsr/pwd/index.htm</a>. The Contractor is required to pay its agents and employees the applicable, current prevailing wage rate and is responsible for selecting the classification of workers required to perform this service.

The Contractor agrees to comply with the provisions of Section 1775 of the California Labor Code relating to the payment of prevailing wages, including the assessment of penalties determined by the California Labor Commissioner. Pursuant to Section 1773.2 of the California Labor Code, copies of the prevailing rate of per diem wages are on file at the County Department of Public Works, Construction Division, and will be made available for inspection by request to the Contract Manager. Future effective wage rates will be on file with the Department of Industrial Relations. The new wage rates shall become effective on the day following the expiration date of the current determinations and apply to the Contract in the same manner as if they had been included or referenced in the Contract.

### B. Posting of Prevailing Wage Rates

The Contractor agrees to comply with the provisions of Section 1773.2 of the California Labor Code. The Contractor shall post a copy of the prevailing wage rates at the Work site.

### C. Work Records

The Contractor shall comply with the requirements of Section 1812 of the Labor Code. The Contractor shall maintain an accurate written record of all employees working on the Project each calendar day. The record shall include each employee's name, Social Security number, job classification, and the actual number of hours worked.

### D. Certified Payroll Records

The Contractor shall comply with the requirements of Section 1776 of the Labor Code. The Contractor shall keep accurate payroll records showing the name, address, Social Security number, work classification, straight time, and overtime hours worked each day and week, and the actual per diem wages

paid to each journeyman, apprentice, worker, or other employee the Contractor employs in connection with the Work. Whenever requested by the Contract Manager, the Contractor shall provide a certified copy of each such employee's payroll record ("certified payrolls") at the end of each week for the period ending the previous week. Failure to submit such payroll records will result in the County and/or District withholding from any monies due the Contractor the amount of \$250 for each week in which certified payrolls have not been submitted.

### E. Subcontractor

Subcontractors, if any, must comply with all prevailing wage requirements as provided in this Section.

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### Notice 1015

(Rev. December 2011)

# Have You Told Your Employees About the Earned Income Credit (EIC)?

### What Is the EIC?

The EIC is a refundable tax credit for certain workers.

### Which Employees Must I Notify About the EIC?

You must notify each employee who worked for you at any time during the year and from whom you did not withhold income tax. However, you do not have to notify any employee who claimed exemption from withholding on Form W-4, Employee's Withholding Allowance Certificate.

**Note.** You are encouraged to notify each employee whose wages for 2011 are less than \$49,078 that he or she may be eligible for the EIC.

### How and When Must I Notify My Employees?

You must give the employee one of the following:

- The IRS Form W-2, Wage and Tax Statement, which has the required information about the EIC on the back of Copy B.
- A substitute Form W-2 with the same EIC information on the back of the employee's copy that is on Copy B of the IRS Form W-2.
- Notice 797, Possible Federal Tax Refund Due to the Earned Income Credit (EIC).
- Your written statement with the same wording as Notice 797.

If you are required to give Form W-2 and do so on time, no further notice is necessary if the Form W-2 has the required information about the EIC on the back of the employee's copy. If a substitute Form W-2 is given on time but does not have the required information, you must notify the employee within 1 week of the date the substitute Form W-2 is given. If Form W-2 is required but is not given on time, you must give the employee Notice 797 or your written statement by the date Form W-2 is required to be given. If Form W-2 is not required, you must notify the employee by February 7, 2012.

You must hand the notice directly to the employee or send it by first-class mail to the employee's last known address. You will not meet the notification requirements by posting Notice 797 on an employee bulletin board or sending it through office mail. However, you may want to post the notice to help inform all employees of the EIC. You can get copies of the notice from IRS.gov or by calling 1-800-829-3676.

# How Will My Employees Know If They Can Claim the EIC?

The basic requirements are covered in Notice 797. For more detailed information, the employee needs to see Pub. 596, Earned Income Credit (EIC), or the instructions for Form 1040, 1040A, or 1040EZ.

### How Do My Employees Claim the EIC?

Eligible employees claim the EIC on their 2011 tax return. Even employees who have no tax withheld from their pay or owe no tax can claim the EIC and get a refund, but they must file a tax return to do so. For example, if an employee has no tax withheld in 2011 and owes no tax but is eligible for a credit of \$829, he or she must file a 2011 tax return to get the \$829 refund.

### Can My Employees Get Advance EIC Payments?

After 2010, your employees can no longer get advance payments of the credit in their pay during the year as they could in 2010 and earlier years, because the law changed. However, if they are eligible, they will still be able to claim the credit on their tax return.

Form W-5, Earned Income Credit Advance Payment Certificate, is no longer in use.

Notice **1015** (Rev. 12-2011) Cat. No. 20599I





Babies can be safely surrendered to staff at any hospital or fire station in Los Angeles County

No shame. No blame. No names.

In Los Angeles County: 1-877-BABY SAFE • 1-877-222-9723

www.babysafela.org



# Safely Surrendered Baby Law

What is the Safely Surrendered Baby Law?

Every baby deserves a chance for a healthy life. If someone you know is considering abandoning a baby, let her know there are other options. For three days (72 hours) after birth, a baby can be surrendered to staff at any hospital or fire station in Los Angeles County.

### How does it work?

A distressed parent who is unable or unwilling to care for a baby can legally, confidentially, and safely surrender a baby within three days (72 hours) of birth. The baby must be handed to an employee at a hospital or fire station in Los Angeles County. As long as the baby shows no sign of abuse or neglect, no name or other information is required. In case the parent changes his or her mind at a later date and wants the baby back, staff will use bracelets to help connect them to each other. One bracelet will be placed on the baby, and a matching bracelet will be given to the parent or other surrendering adult.

# What if a parent wants the baby back?

Parents who change their minds can begin the process of reclaiming their baby within 14 days. These parents should call the Los Angeles County Department of Children and Family Services at 1-800-540-4000.

# Can only a parent bring in the baby?

No. While in most cases a parent will bring in the baby, the Law allows other people to bring in the baby if they have lawful custody.

# Does the parent or surrendering adult have to call before bringing in the baby?

No. A parent or surrendering adult can bring in a baby anytime, 24 hours a day, 7 days a week, as long as the parent or surrendering adult surrenders the baby to someone who works at the hospital or fire station.

# Does the parent or surrendering adult have to tell anything to the people taking the baby?

No. However, hospital or fire station personnel will ask the surrendering party to fill out a questionnaire designed to gather important medical history information, which is very useful in caring for the baby. The questionnaire includes a stamped return envelope and can be sent in at a later time.

### What happens to the baby?

The baby will be examined and given medical treatment. Upon release from the hospital, social workers immediately place the baby in a safe and loving home and begin the adoption process.

# What happens to the parent or surrendering adult?

Once the parent or surrendering adult surrenders the baby to hospital or fire station personnel, they may have at any time.

### Why is California doing this?

The purpose of the Safely Surrendered Baby Law is to protect babies from being abandoned, hurt or killed by their parents. You may have heard tragic stories of babies left in dumpsters or public bathrooms. Their parents may have been under severe emotional distress. The mothers may have hidden their pregnancies, fearful of what would happen if their families found out. Because they were afraid and had no one or nowhere to turn for help, they abandoned their babies. Abandoning a baby is illegal and places the baby in extreme danger. Too often, it results in the huby's death. The Safely Surrendered Baby Law prevents this tragedy from ever happening again in California.

# A baby's story

Early in the morning on April 9, 2005, a healthy baby boy was safely surrendered to nurses at Harbor-UCLA Medical Center. The woman who brought the baby to the hospital identified herself as the baby's aunt and stated the baby's mother had asked her to bring the baby to the hospital on her behalf. The aunt was given a bracelet with a number matching the anklet placed on the baby; this would provide some identification in the event the mother changed her mind about surrendering the baby and wished to reclaim the baby in the 14-day period allowed by the Law. The aunt was also provided with a medical questionnaire and said she would have the mother complete and mail back in the stamped return envelope provided. The baby was examined by medical staff and pronounced healthy and full-term. He was placed with a loving family that had been approved to adopt him by the Department of Children and Family Services.

# Ley de Entrega de Bebés Sin Peligro



Los recién nacidos pueden ser entregados en forma segura al personal de cualquier hospital o cuartel de bomberos del Condado de Los Ángeles

Sin pena. Sin culpa. Sin nombres.

En el Condado de Los Ángeles: 1-877-BABY SAFE \* 1-877-222-9723

www.babysatela.org



# Ley de Entrega de Bebés Sin Peligro

¿Qué es la Ley de Entrega de Bebés sin Peligro?

Cada recién nacido se merece la oportunidad de tener una vida saludable. Si alguien que usted conoce está pensando en abandonar a un recién nacido, infórmele que tiene otras opciones. Hasta tres días (72 horas) después del nacimiento, se puede entregar un recién nacido al personal de cualquier hospital o cuartel de bomberos del condado de Los Angeles.

### ¿Cómo funciona?

El padre/madre con dificultades que no pueda o no quiera cuidar de su reciên nacido puede entregado en forma legal, confidencial y segura dentro de los tres días (72 horas) del nacimiento. El bebé debe ser l entregado a un empleado de cualquier hospital o cuartel de bomberos del Condado de Los Ángeles. Siempre que el bebé no presense signos de abuso o negligencia, no será necesario suministrar nombres niinformación alguna. Si el padre/madre cambia de opinión posteriormente y desea recuperar a su bebé, los trabajadores utilizarán brazaletes para poder vincularlos. El bebé llevará un brazalete y el padre/madre o el adulto que lo entregue recibirá un brazalete igual.

# ¿Qué pasa si el padre/madre desea recuperar a su bebé?

Los padres que cambien de opinión pueden romenzar el proceso de reclamar a su recién nacido dentro de los 14 días. Estos padres deberán llamar al Departamento de Servicios pata Niños y Familias (Department of Children and Family Services) del Condado de Los Ángeles al 1-800-540-4000.

## ¿Sólo los padres podrán llevar al recién nacido?

No. Si bien en la mayoría de los casos son los padres los que llevan al bebé, la ley permite que otras personas lo hágan si tienen custodia legal,

### ¿Los padres o el adulto que entrega al bebé deben llamar antes de llevar al bebé?

No. El padre/madre o adulto puede flevar al bebé en cualquier momento, las 24 horas del día, los 7 días de la semana, siempre y cuando entreguen a su bebé a un empleado del hospital o cuartel de bomberos.

### ¿Es necesario que el padre/ madre o adulto diga algo a las personas que reciben al bebé?

No. Sin embargo, el personal del hospital o cuartel de bomberos le pedirá a la persona que entregue al bebé que llene un cuestionario con la finalidad de recabar antecedentes médicos importantes, que resultan de gran utilidad para cuidar bien del bebé. El cuestionario incluye un sobre con el sello postal pagado para enviarlo en otro momento.

### ¿Qué pasará con el bebé?

El bebé será examinado y le brindarán atención médica. Cuando le den el alta del hospital, los trabajadores sociales inmediatamente obicarán al bebé en un hogar seguro donde estará bien asendido, y se comenzará el proceso de adopción.

# ¿Qué pasará con el padre/madre o adulto que entregue al bebé?

Una vez que los padres o adulto hayan entregado al bebé al personal del hospital o cuartel de bomberos, pueden irse en cualquier momento.

# ¿Por qué se está haciendo esto en California? ?

La finalidad de la Ley de Entrega de Bebés sin Peligro es proteger a los bebés para que no sean abandonados, lastimados o muertos por sus padres. Usted. probablemente hava escuchado historias trágicas sobre hebés abandonados en basureros o en baños públicos. Los padres de esos bebés probablemente hayan estado pasando por dificultades emocionales graves. Las madres pueden haber ocultado su embarazo, por temor a lo que pasaría si sus familias se enteraran. Abandonaron a sus bebés porque tenían miedo y no tenían nadie a quien pedir ayuda. El abandono de un reción nacido es ilegal y pone al bebé en una situación de peligro extremo. Muy a menudo el abandono provoca la muerredel behé. La Ley de Entrega de Behés sin Peligro impide que vuelva a suceder estatragedia en California.

# Historia de un bebé

A la mañana temprano del día 9 de abril de 2005, se entregó un recién nacido saludable a las enfermeras del Harbor-UCLA Medical Center. La mujer que llevó el recién nacido al hospital se dio a conocer como la tía del bebé, y dijo que la madre le había pedido que llevara al bebé al hospital en su nombre. Le entregaron a la tía un brazalete con un número que coincidía con la pulsera del bebé; esto serviria como identificación en caso de que la madre cambiara de opinión con respecto a la entrega del bebé y decidiera recuperarlo dentro del período de 14 días que permite esta ley. También le dieron a la tía un cuestionario médico, y ella dijo que la madre lo llenaría y lo enviaria de vuelta dentro del sobre con Franqueo pagado que le habían dado. El personal médico examinó al bebé y se determinó que estaba saludable y a término. El bebé fue ubicado con una huena familia que ya había sido aprobada para adoptarlo por el Departamento de Servicios para Niños y Familias.

### Chapter 2.206 DEFAULTED PROPERTY TAX REDUCTION PROGRAM

- 2.206.010 Findings and declarations.
- 2.206.020 Definitions.
- 2.206.030 Applicability.
- 2.206.040 Required solicitation and contract language.
- 2.206.050 Administration and compliance certification.
- 2.206.060 Exclusions/Exemptions.
- 2.206.070 Enforcement and remedies.
- 2.206.080 Severability.

### 2.206.010 Findings and declarations.

The Board of Supervisors finds that significant revenues are lost each year as a result of taxpayers who fail to pay their tax obligations on time. The delinquencies impose an economic burden upon the County and its taxpayers. Therefore, the Board of Supervisors establishes the goal of ensuring that individuals and businesses that benefit financially from contracts with the County fulfill their property tax obligation. (Ord. No. 2009-0026 § 1 (part), 2009.)

### 2.206.020 Definitions.

The following definitions shall be applicable to this chapter:

- A. "Contractor" shall mean any person, firm, corporation, partnership, or combination thereof, which submits a bid or proposal or enters into a contract or agreement with the County.
- B. "County" shall mean the county of Los Angeles or any public entities for which the Board of Supervisors is the governing body.
- C. "County Property Taxes" shall mean any property tax obligation on the County's secured or unsecured roll; except for tax obligations on the secured roll with respect to property held by a Contractor in a trust or fiduciary capacity or otherwise not beneficially owned by the Contractor.
- D. "Department" shall mean the County department, entity, or organization responsible for the solicitation and/or administration of the contract.
- E. "Default" shall mean any property tax obligation on the secured roll that has been deemed defaulted by operation of law pursuant to California Revenue and Taxation Code section 3436; or any property tax obligation on the unsecured roll that remains unpaid on the applicable delinquency date pursuant to California Revenue and Taxation Code section 2922; except for any property tax obligation dispute pending before the Assessment Appeals Board.

- F. "Solicitation" shall mean the County's process to obtain bids or proposals for goods and services.
- G. "Treasurer-Tax Collector" shall mean the Treasurer and Tax Collector of the County of Los Angeles. (Ord. No. 2009-0026 § 1 (part), 2009.)

### 2.206.030 Applicability.

This chapter shall apply to all solicitations issued 60 days after the effective date of the ordinance codified in this chapter. This chapter shall also apply to all new, renewed, extended, and/or amended contracts entered into 60 days after the effective date of the ordinance codified in this chapter. (Ord. No. 2009-0026 § 1 (part), 2009.)

### 2.206.040 Required solicitation and contract language.

All solicitations and all new, renewed, extended, and/or amended contracts shall contain language which:

- A. Requires any Contractor to keep County Property Taxes out of Default status at all times during the term of an awarded contract;
- B. Provides that the failure of the Contractor to comply with the provisions in this chapter may prevent the Contractor from being awarded a new contract and
- C. Provides that the failure of the Contractor to comply with the provisions in this chapter may constitute a material breach of an existing contract, and failure to cure the breach within 10 days of notice by the County by paying the outstanding County Property Tax or making payments in a manner agreed to and approved by the Treasurer-Tax Collector, may subject the contract to suspension and/or termination. (Ord. No. 2009-0026 § 1 (part), 2009.)

### 2.206.050 Administration and compliance certification.

- A. The Treasurer-Tax Collector shall be responsible for the administration of this chapter. The Treasurer-Tax Collector shall, with the assistance of the Chief Executive Officer, Director of Internal Services, and County Counsel, issue written instructions on the implementation and ongoing administration of this chapter. Such instructions may provide for the delegation of functions to other departments.
- B. Contractor shall be required to certify, at the time of submitting any bid or proposal to the County, or entering into any new contract, or renewal, extension or amendment of an existing contract with the County, that it is in

compliance with this chapter is not in Default on any County Property Taxes or is current in payments due under any approved payment arrangement (Ord. No. 2009-0026 § 1 (part), 2009.)

### 2.206.060 Exclusions/Exemptions.

- A. This chapter shall not apply to the following contracts:
  - 1. Chief Executive Office delegated authority agreements under \$50,000;
  - 2. A contract where federal or state law or a condition of a federal or state program mandates the use of a particular contractor;
  - A purchase made through a state or federal contract;
  - A contract where state or federal monies are used to fund service related programs, including but not limited to voucher programs, foster care, or other social programs that provide immediate direct assistance;
  - Purchase orders under a master agreement, where the Contractor was certified at the time the master agreement was entered into and at any subsequent renewal, extension and/or amendment to the master agreement
  - 6. Purchase orders issued by Internal Services Department under \$100,000 that is not the result of a competitive bidding process.
  - 7. Program agreements that utilize Board of Supervisors' discretionary funds;
  - 8. National contracts established for the purchase of equipment and supplies for and by the National Association of Counties, U.S. Communities Government Purchasing Alliance, or any similar related group purchasing organization;
  - 9. A monopoly purchase that is exclusive and proprietary to a specific manufacturer, distributor, reseller, and must match and inter-member with existing supplies, equipment or systems maintained by the county pursuant to the Los Angeles Purchasing Policy and Procedures Manual, section P-3700 or a successor provision;
  - 10. A revolving fund (petty cash) purchase pursuant to the Los Angeles County Fiscal Manual, section 4.6.0 or a successor provision;
  - 11. A purchase card purchase pursuant to the Los Angeles County Purchasing Policy and Procedures Manual, section P-2810 or a successor

provision;

- 12. A non-agreement purchase worth a value of less than \$5,000 pursuant to the Los Angeles County Purchasing Policy and Procedures Manual, section A-0300 or a successor provision; or
- A bona fide emergency purchase pursuant to the Los Angeles County Purchasing Policy and Procedures Manual section P-0900 or a successor provision;
- 14. Other contracts for mission critical goods and/or services where the Board of Supervisors determines that an exemption is justified.
- B. Other laws. This chapter shall not be interpreted or applied to any Contractor in a manner inconsistent with the laws of the United States or California. (Ord. No. 2009-0026 § 1 (part), 2009.)

### 2.206.070 Enforcement and remedies.

- A. The information furnished by each Contractor certifying that it is in compliance with this chapter shall be under penalty of perjury.
- B. No Contractor shall willfully and knowingly make a false statement certifying compliance with this chapter for the purpose of obtaining or retaining a County contract.
- C. For Contractor's violation of any provision of this chapter, the County department head responsible for administering the contract may do one or more of the following:
  - 1. Recommend to the Board of Supervisors the termination of the contract; and/or,
  - 2. Pursuant to chapter 2.202, seek the debarment of the contractor; and/or,
  - Recommend to the Board of Supervisors that an exemption is justified pursuant to Section 2.206.060.A.14 of this chapter or payment deferral as provided pursuant to the California Revenue and Taxation Code. (Ord. No. 2009-0026 § 1 (part), 2009.)

### 2.206.080 Severability.

If any provision of this chapter is found invalid by a court of competent jurisdiction, the remaining provisions shall remain in full force and effect. (Ord. No. 2009-0026 § 1 (part), 2009.)

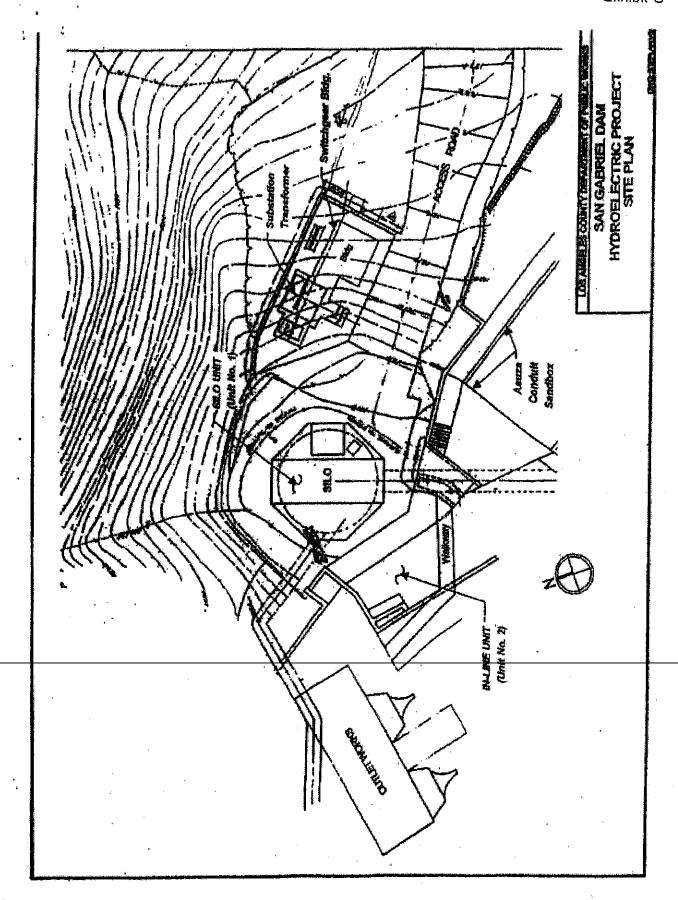
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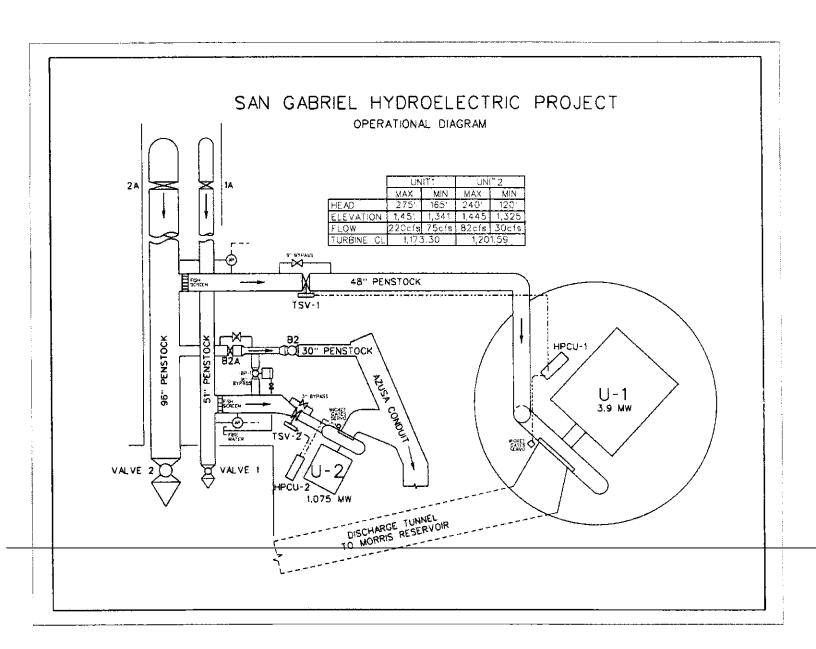
Exhibit F



LOS ANGELES COUNTY DEPARTMENT OF PUBLIC WORKS

SAN GABRIEL DAM HYDROELECTRIC PROJECT LOCATION MAP





# SAN GABRIEL DAM HYDROELECTRIC PROJECT VARIOUS PART WEIGHTS

### Unit 1 (Silo Unit)

- 1. Turbine Runner 1,580 lbs.
- 2. Shaft Sealing Box 200 lbs.
- 3. Draft Tube (Suction Cone) 880 lbs.
- 4. Draft Tube Elbow (Suction Bend) 880 lbs.
- 5. Draft Tube Slip Ring 265 lbs.
- 6. Scroll Case Inspection Cover 125 lbs.

### Unit 2 (In-Line Unit)

- 1. Turbine Runner 440 lbs.
- 2. Draft Tube (Elbow) 920 lbs.
- 3. Generator Hood —1,000 lbs.
- 4. Shaft Sealing Box 150 lbs.

Note: All weights are approximate.

# SAN GABRIEL DAM HYDROELECTRIC PROJECT LUBRICANTS AND CAPACITIES

Hydraulic Power Control Unit I (Silo Unit)

Lubricant type:

Shell Tellus Plus Oil 32

Approximate capacities:

Reservoir

40 gallons

Accumulator

10 gallons

Generator Bearings Unit 1 (Silo Unit)

Lubricant type:

Shell Turbo T Oil 46

Approximate capacities:

Drive End Bearing

7.5 gallons

Opposite End Bearing

41.5 gallons

Hydraulic Power Control Unit 2 (In-Line Unit)

Lubricant type:

Shell Tellus Plus Oil 32

Approximate capacities:

Reservoir

40 gallons

Accumulator

10 gallons

Generator Bearings Unit 2 (1n-Line Unit)

Lubricant type:

Shell Turbo T Oil 46

Approximate capacities:

Drive End Bearing

1.5 gallons

Opposite End Bearing

10.5 gallons

Note: Public Works will not accept any substitutes for lubricant type or manufacturer. Lubricant capacities are approximate and are to be verified by the contractor.

### **Equipment Description**

New switchgear doors have been installed in the existing 4160V switchgear line-up. Each door contains control, metering and protection equipment to allow for complete manual and automatic operation of the associated plant and unit control equipment. Unit control panels have been installed inside the existing 4160V switchgear line-up to house the automation equipment. A local valve control panel has been installed in the Unit 2 pit area for local operation of the turbine shutoff and bypass valves. HMI and UPS computer equipment has been installed in the Control Room to allow for local operation of the automated equipment. Instrumentation has been installed in various locations to monitor and gather process data for the control system.

### 1. Switchgear Doors

- a. Switchgear Door A Equipment:
  - DM-3, -12KV Bus Digital Meter
  - DMR-4, 12KV Bus Digital Multifunction Interconnection Protection Relay
  - DMR-3, Transformer Digital Multifunction Protection Relay
  - 52U-CS, Interconnection Line Breaker Control Switch
  - 43U, Utility Restoration Auto/Manual Selector Switch
  - 860, Interconnection Line Protection Lockout Relay with electric reset
  - 86T, Transformer Lockout Relay
  - 86U-CRS, 86U Lockout Relay Reset Control Switch
  - TS3, DM-3; 12ICV Bus CT/PT Test Switches
  - T\$8, DMR-3, 12KV Bus CT Test Switches
  - TS11, DMR-4, 12KV Bus CT/PT Test Switches
  - TS9, DMR-3, Gen 1 'CT Test Switches
  - TS10, DMR-3, Gen 2 CT Test Switches
  - TS14, DMR-4 Blocking Switches
- b. Switchgear Door B Equipment.
  - DM-2, Generator 2 Digital Meter
  - DMR-2, Generator 2 Digital Multifunction Protection Relay
  - AVR-2, Generator 1 Digital Excitation Control System
  - 86-2, Generator 2 Emergency Lockout Relay
  - 86N-2, Generator 2 Normal Lockout Relay
  - 2E-A, Generator 2 Emergency Stop Switch
  - .43-2, Generator 2 Auto/Manual Selector Switch
  - TS2, DM-2, Gen 2 CT/PT Test Switches
  - TS6, DMR-2, Gen 2 CT/PT Test Switches
  - TS7, DMR-2, Gen 2 CT/PT Test Switches
  - TS13, DMR-2, Blocking Switches
- c. Switchgear Door C Equipment
  - DEP2, Generator 2 Control HMI.

- 90CS-2, Generator 2 Voltage Raise/Lower Control Switch
- 65M-CS2, Generator 2 Wicket Gate Raise/Lower Control Switch
- 52G2-CS, Generator 2 Breaker Open/Close Control Switch
- 41CS-2, Generator 2 Field Breaker Open/Close Control Switch
- d. Switchgear Door D Equipment.
  - Manual Synchronization Scope
  - VM/FM G Voltage/Frequency Meter Incoming
  - VM/FM B, Voltage/Frequency Meter Running
  - DEP1 Generator 1 Control HMI
  - 90CS-1, Generator 1 Voltage Raise/Lower Control Switch
  - 65M-CS1, Generator 1 Wicket Gate Raise/Lower Control Switch
  - 52G1-CS,- Generator 1 Breaker Open/Close Control Switch
  - 41CS-1, Generator 1 Field Breaker Open/Close Control Switch
  - 41SS, Generator 1 or Generator 2 Manual Synchronization Selection Switch
- e. Switchgear Door E Equipment
  - DM-1, Generator 1 Digital Meter
  - DMR-1, Generator 1 Digital Multifunction Protection Relay
  - AVR-I, Generator 1 Digital Excitation Control System
  - 86-1, Generator 1 Emergency Lockout Relay
  - 86N-1, Generator 1 Normal Lockout Relay
  - 1E-A, Generator 1 Emergency Stop Switch
  - 43-1, Generator 1 Auto/Manual Selector Switch
  - TS1, DM-1, Gen 1 CT/PT Test Switches
  - TS4, DMR-1, Gen 1 CT/PT Test Switches
  - T55, DMR-1, Gen 1 CT/PT Test Switches
  - TS 12, D4R-1, Blocking Switches
- f. Switchgear Door F Equipment.
  - None

### Unit Control Panels

- a. Switchgear A Side Panel.
  - RECP46028, UPS Powered Duplex Receptacle for Ethernet Switch
  - ETHSW1, Ethernet Switch/Copper to Fiber Converter
  - FIBER OPTIC 2, Fiber Patch Panel
- b. Switchgear C Side Panel.
  - Unit 2 Programmable Logic Controller
  - Interposing relays

- Terminal blocks
- c. Switchgear C Back Panel.
  - PU48004, PU48014, Redundant 12.5VDC to 24VDC Power Supplies
  - 27-1, 27-2, Analog Signal Isolator / Converters
  - ETHMB-1, ETHMB-2, Modbus to Ethernet Bridges
  - XD31011, Synchronization Phase and Voltage Meter
  - Power Distribution Fusing
  - Control Relays
  - Terminal Blocks
- d. Switchgear D Back Panel.
  - Unit 1 and Plant Equipment Programmable Logic Controller
  - Interposing relays
  - Terminal blocks
- 2. Local Valve Control Panel
  - a. Unit 1 & 2 Local TSV and Bypass Valve Controls.

# Existing equipment incorporated into Control and Protection Systems Upgrade for San Gabriel Hydroelectric Project.

### Unit 1

- 1. 4-20mA Existing Pressure Transmitter, downstream of TSV,
- 2. 4-20mA Existing Speed XMTR 0-600 RPM, generator speed,
- 3. Existing Relay 12X-1, Generator High Speed Shutdown,
- 4. Existing Relay 62X-1B, Generator Speed High Trip 86,
- 5. Existing Relay 39-1, Vibration High,
- Existing Relay 33 TSV 1xOPEN,
- 7. Existing Relay 33 TSV-1XCLOSED,
- 8. 63DWL-1 Existing Pressure Switch, Trashrack Differential Pressure,
- 9. 63WP-1 Existing Pressure Switch, Penstock Pressure Low,
- 10. Existing Relay 33WG1X, Wicket Gate Closed,
- 11. Existing Float Level Switch, Silo Flood Level 2 High,
- 12. Existing Relay 2V-2, Main Transformer Loss of Fan Power Trip,
- 13. Existing 49T Main Transformer Winding Temperature Trip,
- 14. Existing 63SPR Main Transformer Sudden Pressure Trip,
- 15. Existing 37T Main Transformer Loss of Fan Power,
- 16. Existing 27B-A, 27B-B Battery Charger Failure Alarm,
- 17. Existing 27 INV Inverter Failure Alarm.

### Unit 2

- 1. 4-20mA Existing Pressure Transmitter, Pressure Downstream of TSV.
- 2. 4-20mA Existing Speed XMTR 0-720 RPM, Generator Speed,
- 3. Existing Relay 12X-2, Generator High Speed Shutdown,
- 4. Existing Relay 62X-2B Generator Speed High Temperature 86,
- Existing Relay 39-2, Vibration High,
- 63DWL-2 Existing Pressure Switch Trashrack Differential Pressure First Stage,
- 7. 63WP-2 Existing Pressure Switch Pressure Low,
- 8. 63DWH-2 Existing Pressure Switch, Trashrack Differential Pressure Second Stage,
- Existing Relay 33WG2X Wicket Gate Closed.